



## Clinical trial results:

### A Phase Ib/II Study Evaluating the Safety and Efficacy of Obinutuzumab in Combination With Idasanutlin in Patients With Relapsed or Refractory Follicular Lymphoma and Obinutuzumab or Rituximab in Combination with Idasanutlin in Patients with Relapsed or Refractory Diffuse Large B-cell Lymphoma

#### Summary

|                          |                |
|--------------------------|----------------|
| EudraCT number           | 2015-002100-83 |
| Trial protocol           | DE             |
| Global end of trial date | 20 May 2019    |

#### Results information

|                                |              |
|--------------------------------|--------------|
| Result version number          | v1 (current) |
| This version publication date  | 15 May 2020  |
| First version publication date | 15 May 2020  |

#### Trial information

##### Trial identification

|                       |         |
|-----------------------|---------|
| Sponsor protocol code | BH29812 |
|-----------------------|---------|

##### Additional study identifiers

|                                    |             |
|------------------------------------|-------------|
| ISRCTN number                      | -           |
| ClinicalTrials.gov id (NCT number) | NCT02624986 |
| WHO universal trial number (UTN)   | -           |

Notes:

#### Sponsors

|                              |                                                                                                           |
|------------------------------|-----------------------------------------------------------------------------------------------------------|
| Sponsor organisation name    | F. Hoffmann-La Roche, Ltd.                                                                                |
| Sponsor organisation address | Grenzacherstrasse 124, Basel, Switzerland, CH-4070                                                        |
| Public contact               | F. Hoffmann-La Roche, Ltd., F. Hoffmann-La Roche, Ltd., +41 616878333, global.trial_information@roche.com |
| Scientific contact           | F. Hoffmann-La Roche, Ltd., F. Hoffmann-La Roche, Ltd., +41 616878333, global.trial_information@roche.com |

Notes:

#### Paediatric regulatory details

|                                                                      |    |
|----------------------------------------------------------------------|----|
| Is trial part of an agreed paediatric investigation plan (PIP)       | No |
| Does article 45 of REGULATION (EC) No 1901/2006 apply to this trial? | No |
| Does article 46 of REGULATION (EC) No 1901/2006 apply to this trial? | No |

Notes:

## Results analysis stage

|                                                      |             |
|------------------------------------------------------|-------------|
| Analysis stage                                       | Final       |
| Date of interim/final analysis                       | 20 May 2019 |
| Is this the analysis of the primary completion data? | Yes         |
| Primary completion date                              | 20 May 2019 |
| Global end of trial reached?                         | Yes         |
| Global end of trial date                             | 20 May 2019 |
| Was the trial ended prematurely?                     | Yes         |

Notes:

## General information about the trial

Main objective of the trial:

The primary safety objective was to determine the recommended Phase II dose (RP2D) for idasanutlin when given in combination with a fixed dose of obinutuzumab or rituximab on the basis of the incidence of dose-limiting toxicities.

The primary efficacy objective for this study is to evaluate the efficacy of obinutuzumab in combination with idasanutlin in relapsed/refractory (R/R) follicular lymphoma (FL) and rituximab in combination with idasanutlin in R/R diffuse large B-cell lymphoma (DLBCL).

Protection of trial subjects:

This study was conducted in full conformance with the ICH E6 guideline for GCP and the principles of the Declaration of Helsinki, or the laws and regulations of the country in which the research is conducted, whichever afforded the greater protection to the individual. All study subjects were required to read and sign an informed consent form.

Background therapy: -

Evidence for comparator: -

|                                                           |                  |
|-----------------------------------------------------------|------------------|
| Actual start date of recruitment                          | 23 December 2015 |
| Long term follow-up planned                               | No               |
| Independent data monitoring committee (IDMC) involvement? | Yes              |

Notes:

## Population of trial subjects

### Subjects enrolled per country

|                                      |                       |
|--------------------------------------|-----------------------|
| Country: Number of subjects enrolled | Australia: 6          |
| Country: Number of subjects enrolled | Germany: 5            |
| Country: Number of subjects enrolled | Korea, Republic of: 5 |
| Country: Number of subjects enrolled | New Zealand: 3        |
| Country: Number of subjects enrolled | United States: 6      |
| Worldwide total number of subjects   | 25                    |
| EEA total number of subjects         | 5                     |

Notes:

### Subjects enrolled per age group

|                                           |   |
|-------------------------------------------|---|
| In utero                                  | 0 |
| Preterm newborn - gestational age < 37 wk | 0 |
| Newborns (0-27 days)                      | 0 |

|                                          |    |
|------------------------------------------|----|
| Infants and toddlers (28 days-23 months) | 0  |
| Children (2-11 years)                    | 0  |
| Adolescents (12-17 years)                | 0  |
| Adults (18-64 years)                     | 18 |
| From 65 to 84 years                      | 6  |
| 85 years and over                        | 1  |

## Subject disposition

### Recruitment

Recruitment details: -

### Pre-assignment

Screening details:

A total of 45 patients were screened, twenty-five of whom were enrolled in the dose escalation phase. The sponsor decided to terminate the study early and the expansion phase was not opened.

### Period 1

|                              |                                |
|------------------------------|--------------------------------|
| Period 1 title               | Overall Study (overall period) |
| Is this the baseline period? | Yes                            |
| Allocation method            | Non-randomised - controlled    |
| Blinding used                | Not blinded                    |

### Arms

|                              |                                                               |
|------------------------------|---------------------------------------------------------------|
| Are arms mutually exclusive? | Yes                                                           |
| <b>Arm title</b>             | DLBCL Non-Bridging: Idasanutlin 100 mg + Obinutuzumab 1000 mg |

Arm description:

Participants with relapsed/refractory diffuse large B-cell lymphoma (DLBCL) in this non-bridging dose-escalation cohort received induction treatment with idasanutlin 100 milligrams (mg) orally in combination with a fixed dose of obinutuzumab 1000 mg intravenously (IV) for 6 cycles (1 cycle = 28 days).

|                                        |                    |
|----------------------------------------|--------------------|
| Arm type                               | Experimental       |
| Investigational medicinal product name | Idasanutlin        |
| Investigational medicinal product code | RO5503781/F17-01   |
| Other name                             |                    |
| Pharmaceutical forms                   | Film-coated tablet |
| Routes of administration               | Oral use           |

Dosage and administration details:

Idasanutlin 100 milligrams (mg) was taken orally once per day on Days 1 to 5 of each 28-day cycle for up to 6 cycles of induction treatment. Participants with DLBCL who achieved a complete response according to modified Lugano 2014 criteria at the end of induction were allowed to proceed to hematopoietic stem cell transplantation if deemed appropriate by the investigator.

|                                        |                                       |
|----------------------------------------|---------------------------------------|
| Investigational medicinal product name | Obinutuzumab                          |
| Investigational medicinal product code | RO5072759/F06-01                      |
| Other name                             | Gazyvaro                              |
| Pharmaceutical forms                   | Concentrate for solution for infusion |
| Routes of administration               | Intravenous use                       |

Dosage and administration details:

A flat dose of obinutuzumab 1000 mg was administered intravenously (IV) on Days 1, 8, and 15 of Cycle 1 and Day 1 of Cycles 2 to 6 (1 cycle was 28 days) of induction treatment. Participants with DLBCL who achieved a complete response according to modified Lugano 2014 criteria at the end of induction were allowed to proceed to hematopoietic stem cell transplantation if deemed appropriate by the investigator.

|                  |                                                               |
|------------------|---------------------------------------------------------------|
| <b>Arm title</b> | DLBCL Non-Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg |
|------------------|---------------------------------------------------------------|

Arm description:

Participants with relapsed/refractory diffuse large B-cell lymphoma (DLBCL) in this non-bridging dose-escalation cohort received induction treatment with idasanutlin 150 mg orally in combination with a fixed dose of obinutuzumab 1000 mg IV for 6 cycles (1 cycle = 28 days).

|          |              |
|----------|--------------|
| Arm type | Experimental |
|----------|--------------|

|                                        |                                       |
|----------------------------------------|---------------------------------------|
| Investigational medicinal product name | Obinutuzumab                          |
| Investigational medicinal product code | RO5072759/F06-01                      |
| Other name                             | Gazyvaro                              |
| Pharmaceutical forms                   | Concentrate for solution for infusion |
| Routes of administration               | Intravenous use                       |

**Dosage and administration details:**

A flat dose of obinutuzumab 1000 mg was administered IV on Days 1, 8, and 15 of Cycle 1 and Day 1 of Cycles 2 to 6 (1 cycle was 28 days) of induction treatment. Participants with DLBCL who achieved a complete response according to modified Lugano 2014 criteria at the end of induction were allowed to proceed to hematopoietic stem cell transplantation if deemed appropriate by the investigator.

|                                        |                    |
|----------------------------------------|--------------------|
| Investigational medicinal product name | Idasanutlin        |
| Investigational medicinal product code | RO5503781/F17-01   |
| Other name                             |                    |
| Pharmaceutical forms                   | Film-coated tablet |
| Routes of administration               | Oral use           |

**Dosage and administration details:**

Idasanutlin 150 mg was taken orally once per day on Days 1 to 5 of each 28-day cycle for up to 6 cycles of induction treatment. Participants with DLBCL who achieved a complete response according to modified Lugano 2014 criteria at the end of induction were allowed to proceed to hematopoietic stem cell transplantation if deemed appropriate by the investigator.

|                  |                                                               |
|------------------|---------------------------------------------------------------|
| <b>Arm title</b> | DLBCL Non-Bridging: Idasanutlin 200 mg + Obinutuzumab 1000 mg |
|------------------|---------------------------------------------------------------|

**Arm description:**

Participants with relapsed/refractory diffuse large B-cell lymphoma (DLBCL) in this non-bridging dose-escalation cohort received induction treatment with idasanutlin 200 mg orally in combination with a fixed dose of obinutuzumab 1000 mg IV for 6 cycles (1 cycle = 28 days).

|                                        |                    |
|----------------------------------------|--------------------|
| Arm type                               | Experimental       |
| Investigational medicinal product name | Idasanutlin        |
| Investigational medicinal product code | RO5503781/F16-01   |
| Other name                             |                    |
| Pharmaceutical forms                   | Film-coated tablet |
| Routes of administration               | Oral use           |

**Dosage and administration details:**

Idasanutlin 200 mg was taken orally once per day on Days 1 to 5 of each 28-day cycle for up to 6 cycles of induction treatment. Participants with DLBCL who achieved a complete response according to modified Lugano 2014 criteria at the end of induction were allowed to proceed to hematopoietic stem cell transplantation if deemed appropriate by the investigator.

|                                        |                                       |
|----------------------------------------|---------------------------------------|
| Investigational medicinal product name | Obinutuzumab                          |
| Investigational medicinal product code | RO5072759/F06-01                      |
| Other name                             | Gazyvaro                              |
| Pharmaceutical forms                   | Concentrate for solution for infusion |
| Routes of administration               | Intravenous use                       |

**Dosage and administration details:**

A flat dose of obinutuzumab 1000 mg was administered IV on Days 1, 8, and 15 of Cycle 1 and Day 1 of Cycles 2 to 6 (1 cycle was 28 days) of induction treatment. Participants with DLBCL who achieved a complete response according to modified Lugano 2014 criteria at the end of induction were allowed to proceed to hematopoietic stem cell transplantation if deemed appropriate by the investigator.

|                  |                                                                      |
|------------------|----------------------------------------------------------------------|
| <b>Arm title</b> | DLBCL Bridging: Idasanutlin 150 mg + Rituximab 375 mg/m <sup>2</sup> |
|------------------|----------------------------------------------------------------------|

**Arm description:**

Participants with relapsed/refractory diffuse large B-cell lymphoma (DLBCL) in this bridging cohort received induction treatment with idasanutlin 150 mg orally in combination with rituximab 375 milligrams per square meter of body surface area (mg/m<sup>2</sup>) IV for 6 cycles (1 cycle = 28 days).

|          |              |
|----------|--------------|
| Arm type | Experimental |
|----------|--------------|

|                                        |                    |
|----------------------------------------|--------------------|
| Investigational medicinal product name | Idasanutlin        |
| Investigational medicinal product code | RO5503781/F17-01   |
| Other name                             |                    |
| Pharmaceutical forms                   | Film-coated tablet |
| Routes of administration               | Oral use           |

Dosage and administration details:

Idasanutlin 150 mg was taken orally once per day on Days 1 to 5 of each 28-day cycle for up to 6 cycles of induction treatment. Participants with DLBCL who achieved a complete response according to modified Lugano 2014 criteria at the end of induction were allowed to proceed to hematopoietic stem cell transplantation if deemed appropriate by the investigator.

|                                        |                                       |
|----------------------------------------|---------------------------------------|
| Investigational medicinal product name | Rituximab                             |
| Investigational medicinal product code |                                       |
| Other name                             | Mabthera                              |
| Pharmaceutical forms                   | Concentrate for solution for infusion |
| Routes of administration               | Intravenous use                       |

Dosage and administration details:

Rituximab 375 mg per square metre of body surface area ( $\text{mg}/\text{m}^2$ ) was administered IV on Day 1 of each 28-day cycle for up to 6 cycles of induction treatment. Participants with DLBCL who achieved a complete response according to modified Lugano 2014 criteria at the end of induction were allowed to proceed to hematopoietic stem cell transplantation if deemed appropriate by the investigator.

|                  |                                                                           |
|------------------|---------------------------------------------------------------------------|
| <b>Arm title</b> | DLBCL Bridging: Idasanutlin 200 mg + Rituximab 375 $\text{mg}/\text{m}^2$ |
|------------------|---------------------------------------------------------------------------|

Arm description:

Participants with relapsed/refractory diffuse large B-cell lymphoma (DLBCL) in this bridging cohort received induction treatment with idasanutlin 200 mg orally in combination with rituximab 375  $\text{mg}/\text{m}^2$  IV for 6 cycles (1 cycle = 28 days).

|                                        |                    |
|----------------------------------------|--------------------|
| Arm type                               | Experimental       |
| Investigational medicinal product name | Idasanutlin        |
| Investigational medicinal product code | RO5503781/F16-01   |
| Other name                             |                    |
| Pharmaceutical forms                   | Film-coated tablet |
| Routes of administration               | Oral use           |

Dosage and administration details:

Idasanutlin 200 mg was taken orally once per day on Days 1 to 5 of each 28-day cycle for up to 6 cycles of induction treatment. Participants with DLBCL who achieved a complete response according to modified Lugano 2014 criteria at the end of induction were allowed to proceed to hematopoietic stem cell transplantation if deemed appropriate by the investigator.

|                                        |                                       |
|----------------------------------------|---------------------------------------|
| Investigational medicinal product name | Rituximab                             |
| Investigational medicinal product code |                                       |
| Other name                             | Mabthera                              |
| Pharmaceutical forms                   | Concentrate for solution for infusion |
| Routes of administration               | Intravenous use                       |

Dosage and administration details:

Rituximab 375  $\text{mg}/\text{m}^2$  was administered IV on Day 1 of each 28-day cycle for up to 6 cycles of induction treatment. Participants with DLBCL who achieved a complete response according to modified Lugano 2014 criteria at the end of induction were allowed to proceed to hematopoietic stem cell transplantation if deemed appropriate by the investigator.

|                  |                                                            |
|------------------|------------------------------------------------------------|
| <b>Arm title</b> | FL Non-Bridging: Idasanutlin 100 mg + Obinutuzumab 1000 mg |
|------------------|------------------------------------------------------------|

Arm description:

Participants with relapsed/refractory follicular lymphoma (FL) in this non-bridging dose-escalation cohort received induction treatment with idasanutlin 100 milligrams (mg) orally in combination with a fixed dose of obinutuzumab 1000 mg intravenously (IV) for 6 cycles (1 cycle = 28 days).

|          |              |
|----------|--------------|
| Arm type | Experimental |
|----------|--------------|

|                                        |                    |
|----------------------------------------|--------------------|
| Investigational medicinal product name | Idasanutlin        |
| Investigational medicinal product code | RO5503781/F17-01   |
| Other name                             |                    |
| Pharmaceutical forms                   | Film-coated tablet |
| Routes of administration               | Oral use           |

**Dosage and administration details:**

Idasanutlin 100 milligrams (mg) was taken orally once per day on Days 1 to 5 of each 28-day cycle for up to 6 cycles of induction treatment. Participants with FL who achieved a complete response or partial response according to modified Lugano 2014 criteria at the end of induction were to receive maintenance treatment with obinutuzumab.

|                                        |                                       |
|----------------------------------------|---------------------------------------|
| Investigational medicinal product name | Obinutuzumab                          |
| Investigational medicinal product code | RO5072759/F06-01                      |
| Other name                             | Gazyvaro                              |
| Pharmaceutical forms                   | Concentrate for solution for infusion |
| Routes of administration               | Intravenous use                       |

**Dosage and administration details:**

A flat dose of obinutuzumab 1000 mg was administered IV on Days 1, 8, and 15 of Cycle 1 and Day 1 of Cycles 2 to 6 (1 cycle was 28 days) of induction treatment. Participants with FL who achieved a complete response or partial response according to modified Lugano 2014 criteria at the end of induction were to receive maintenance treatment with obinutuzumab 1000 mg IV once every 2 months until disease progression or unacceptable toxicity for up to 2 years.

|                  |                                                            |
|------------------|------------------------------------------------------------|
| <b>Arm title</b> | FL Non-Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg |
|------------------|------------------------------------------------------------|

**Arm description:**

Participants with relapsed/refractory follicular lymphoma (FL) in this non-bridging dose-escalation cohort received induction treatment with idasanutlin 150 mg orally in combination with a fixed dose of obinutuzumab 1000 mg IV for 6 cycles (1 cycle = 28 days).

|                                        |                    |
|----------------------------------------|--------------------|
| Arm type                               | Experimental       |
| Investigational medicinal product name | Idasanutlin        |
| Investigational medicinal product code | RO5503781/F17-01   |
| Other name                             |                    |
| Pharmaceutical forms                   | Film-coated tablet |
| Routes of administration               | Oral use           |

**Dosage and administration details:**

Idasanutlin 150 mg was taken orally once per day on Days 1 to 5 of each 28-day cycle for up to 6 cycles of induction treatment. Participants with FL who achieved a complete response or partial response according to modified Lugano 2014 criteria at the end of induction were to receive maintenance treatment with obinutuzumab.

|                                        |                                       |
|----------------------------------------|---------------------------------------|
| Investigational medicinal product name | Obinutuzumab                          |
| Investigational medicinal product code | RO5072759/F06-01                      |
| Other name                             | Gazyvaro                              |
| Pharmaceutical forms                   | Concentrate for solution for infusion |
| Routes of administration               | Intravenous use                       |

**Dosage and administration details:**

A flat dose of obinutuzumab 1000 mg was administered IV on Days 1, 8, and 15 of Cycle 1 and Day 1 of Cycles 2 to 6 (1 cycle was 28 days) of induction treatment. Participants with FL who achieved a complete response or partial response according to modified Lugano 2014 criteria at the end of induction were to receive maintenance treatment with obinutuzumab 1000 mg IV once every 2 months until disease progression or unacceptable toxicity for up to 2 years.

|                  |                                                        |
|------------------|--------------------------------------------------------|
| <b>Arm title</b> | FL Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg |
|------------------|--------------------------------------------------------|

**Arm description:**

Participants with relapsed/refractory follicular lymphoma (FL) in this bridging cohort received induction treatment with single-agent obinutuzumab 1000 mg IV for Cycle 1 and then idasanutlin 150 mg orally in combination with a fixed dose of obinutuzumab 1000 mg IV for Cycles 2-6 (1 cycle = 28 days).

|          |              |
|----------|--------------|
| Arm type | Experimental |
|----------|--------------|

|                                        |                    |
|----------------------------------------|--------------------|
| Investigational medicinal product name | Idasanutlin        |
| Investigational medicinal product code | RO5503781/F17-01   |
| Other name                             |                    |
| Pharmaceutical forms                   | Film-coated tablet |
| Routes of administration               | Oral use           |

Dosage and administration details:

Idasanutlin 150 mg was taken orally once per day on Days 1 to 5 of each 28-day cycle starting at Cycle 2 for up to 5 cycles of induction treatment. Participants with FL who achieved a complete response or partial response according to modified Lugano 2014 criteria at the end of induction were to receive maintenance treatment with obinutuzumab.

|                                        |                                       |
|----------------------------------------|---------------------------------------|
| Investigational medicinal product name | Obinutuzumab                          |
| Investigational medicinal product code | RO5072759/F06-01                      |
| Other name                             | Gazyvaro                              |
| Pharmaceutical forms                   | Concentrate for solution for infusion |
| Routes of administration               | Intravenous use                       |

Dosage and administration details:

A flat dose of obinutuzumab 1000 mg was administered IV on Days 1, 8, and 15 of Cycle 1 and Day 1 of Cycles 2 to 6 (1 cycle was 28 days) of induction treatment. Participants with FL who achieved a complete response or partial response according to modified Lugano 2014 criteria at the end of induction were to receive maintenance treatment with obinutuzumab 1000 mg IV once every 2 months until disease progression or unacceptable toxicity for up to 2 years.

| <b>Number of subjects in period 1</b> | DLBCL Non-Bridging:<br>Idasanutlin 100 mg<br>+ Obinutuzumab<br>1000 mg | DLBCL Non-Bridging: Idasanutlin<br>150 mg +<br>Obinutuzumab 1000<br>mg | DLBCL Non-Bridging:<br>Idasanutlin 200 mg<br>+ Obinutuzumab<br>1000 mg |
|---------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------|
| Started                               | 2                                                                      | 3                                                                      | 2                                                                      |
| Received Any Study Treatment          | 1                                                                      | 3                                                                      | 2                                                                      |
| Completed                             | 0                                                                      | 0                                                                      | 0                                                                      |
| Not completed                         | 2                                                                      | 3                                                                      | 2                                                                      |
| Consent withdrawn by subject          | -                                                                      | -                                                                      | -                                                                      |
| Death                                 | -                                                                      | 1                                                                      | 2                                                                      |
| Progressive Disease                   | -                                                                      | 1                                                                      | -                                                                      |
| Study Terminated by Sponsor           | 1                                                                      | 1                                                                      | -                                                                      |
| Protocol deviation                    | 1                                                                      | -                                                                      | -                                                                      |

| <b>Number of subjects in period 1</b> | DLBCL Bridging:<br>Idasanutlin 150 mg<br>+ Rituximab 375<br>mg/m <sup>2</sup> | DLBCL Bridging:<br>Idasanutlin 200 mg<br>+ Rituximab 375<br>mg/m <sup>2</sup> | FL Non-Bridging:<br>Idasanutlin 100 mg<br>+ Obinutuzumab<br>1000 mg |
|---------------------------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------|
| Started                               | 3                                                                             | 4                                                                             | 2                                                                   |
| Received Any Study Treatment          | 3                                                                             | 4                                                                             | 2                                                                   |
| Completed                             | 0                                                                             | 0                                                                             | 0                                                                   |
| Not completed                         | 3                                                                             | 4                                                                             | 2                                                                   |
| Consent withdrawn by subject          | -                                                                             | -                                                                             | -                                                                   |
| Death                                 | 2                                                                             | 2                                                                             | -                                                                   |
| Progressive Disease                   | -                                                                             | -                                                                             | -                                                                   |
| Study Terminated by Sponsor           | 1                                                                             | 2                                                                             | 2                                                                   |

|                    |   |   |   |
|--------------------|---|---|---|
| Protocol deviation | - | - | - |
|--------------------|---|---|---|

| <b>Number of subjects in period 1</b> | FL Non-Bridging:<br>Idasanutlin 150 mg<br>+ Obinutuzumab<br>1000 mg | FL Bridging:<br>Idasanutlin 150 mg<br>+ Obinutuzumab<br>1000 mg |
|---------------------------------------|---------------------------------------------------------------------|-----------------------------------------------------------------|
| Started                               | 4                                                                   | 5                                                               |
| Received Any Study Treatment          | 4                                                                   | 5                                                               |
| Completed                             | 0                                                                   | 0                                                               |
| Not completed                         | 4                                                                   | 5                                                               |
| Consent withdrawn by subject          | -                                                                   | 1                                                               |
| Death                                 | -                                                                   | 1                                                               |
| Progressive Disease                   | -                                                                   | -                                                               |
| Study Terminated by Sponsor           | 4                                                                   | 3                                                               |
| Protocol deviation                    | -                                                                   | -                                                               |

## Baseline characteristics

### Reporting groups

|                                                                                                                                                                                                                                                                                                                                                |                                                                      |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|
| Reporting group title                                                                                                                                                                                                                                                                                                                          | DLBCL Non-Bridging: Idasanutlin 100 mg + Obinutuzumab 1000 mg        |
| Reporting group description:<br>Participants with relapsed/refractory diffuse large B-cell lymphoma (DLBCL) in this non-bridging dose-escalation cohort received induction treatment with idasanutlin 100 milligrams (mg) orally in combination with a fixed dose of obinutuzumab 1000 mg intravenously (IV) for 6 cycles (1 cycle = 28 days). |                                                                      |
| Reporting group title                                                                                                                                                                                                                                                                                                                          | DLBCL Non-Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg        |
| Reporting group description:<br>Participants with relapsed/refractory diffuse large B-cell lymphoma (DLBCL) in this non-bridging dose-escalation cohort received induction treatment with idasanutlin 150 mg orally in combination with a fixed dose of obinutuzumab 1000 mg IV for 6 cycles (1 cycle = 28 days).                              |                                                                      |
| Reporting group title                                                                                                                                                                                                                                                                                                                          | DLBCL Non-Bridging: Idasanutlin 200 mg + Obinutuzumab 1000 mg        |
| Reporting group description:<br>Participants with relapsed/refractory diffuse large B-cell lymphoma (DLBCL) in this non-bridging dose-escalation cohort received induction treatment with idasanutlin 200 mg orally in combination with a fixed dose of obinutuzumab 1000 mg IV for 6 cycles (1 cycle = 28 days).                              |                                                                      |
| Reporting group title                                                                                                                                                                                                                                                                                                                          | DLBCL Bridging: Idasanutlin 150 mg + Rituximab 375 mg/m <sup>2</sup> |
| Reporting group description:<br>Participants with relapsed/refractory diffuse large B-cell lymphoma (DLBCL) in this bridging cohort received induction treatment with idasanutlin 150 mg orally in combination with rituximab 375 milligrams per square meter of body surface area (mg/m <sup>2</sup> ) IV for 6 cycles (1 cycle = 28 days).   |                                                                      |
| Reporting group title                                                                                                                                                                                                                                                                                                                          | DLBCL Bridging: Idasanutlin 200 mg + Rituximab 375 mg/m <sup>2</sup> |
| Reporting group description:<br>Participants with relapsed/refractory diffuse large B-cell lymphoma (DLBCL) in this bridging cohort received induction treatment with idasanutlin 200 mg orally in combination with rituximab 375 mg/m <sup>2</sup> IV for 6 cycles (1 cycle = 28 days).                                                       |                                                                      |
| Reporting group title                                                                                                                                                                                                                                                                                                                          | FL Non-Bridging: Idasanutlin 100 mg + Obinutuzumab 1000 mg           |
| Reporting group description:<br>Participants with relapsed/refractory follicular lymphoma (FL) in this non-bridging dose-escalation cohort received induction treatment with idasanutlin 100 milligrams (mg) orally in combination with a fixed dose of obinutuzumab 1000 mg intravenously (IV) for 6 cycles (1 cycle = 28 days).              |                                                                      |
| Reporting group title                                                                                                                                                                                                                                                                                                                          | FL Non-Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg           |
| Reporting group description:<br>Participants with relapsed/refractory follicular lymphoma (FL) in this non-bridging dose-escalation cohort received induction treatment with idasanutlin 150 mg orally in combination with a fixed dose of obinutuzumab 1000 mg IV for 6 cycles (1 cycle = 28 days).                                           |                                                                      |
| Reporting group title                                                                                                                                                                                                                                                                                                                          | FL Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg               |
| Reporting group description:<br>Participants with relapsed/refractory follicular lymphoma (FL) in this bridging cohort received induction treatment with single-agent obinutuzumab 1000 mg IV for Cycle 1 and then idasanutlin 150 mg orally in combination with a fixed dose of obinutuzumab 1000 mg IV for Cycles 2-6 (1 cycle = 28 days).   |                                                                      |

| Reporting group values | DLBCL Non-Bridging: Idasanutlin 100 mg + Obinutuzumab 1000 mg | DLBCL Non-Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg | DLBCL Non-Bridging: Idasanutlin 200 mg + Obinutuzumab 1000 mg |
|------------------------|---------------------------------------------------------------|---------------------------------------------------------------|---------------------------------------------------------------|
| Number of subjects     | 2                                                             | 3                                                             | 2                                                             |

|                                                       |       |        |        |
|-------------------------------------------------------|-------|--------|--------|
| Age categorical<br>Units: Subjects                    |       |        |        |
| In utero                                              | 0     | 0      | 0      |
| Preterm newborn infants<br>(gestational age < 37 wks) | 0     | 0      | 0      |
| Newborns (0-27 days)                                  | 0     | 0      | 0      |
| Infants and toddlers (28 days-23 months)              | 0     | 0      | 0      |
| Children (2-11 years)                                 | 0     | 0      | 0      |
| Adolescents (12-17 years)                             | 0     | 0      | 0      |
| Adults (18-64 years)                                  | 1     | 1      | 2      |
| From 65-84 years                                      | 1     | 1      | 0      |
| 85 years and over                                     | 0     | 1      | 0      |
| Age Continuous<br>Units: Years                        |       |        |        |
| arithmetic mean                                       | 62.5  | 70.7   | 55.5   |
| standard deviation                                    | ± 6.4 | ± 15.2 | ± 10.6 |
| Sex: Female, Male<br>Units: Participants              |       |        |        |
| Female                                                | 1     | 1      | 1      |
| Male                                                  | 1     | 2      | 1      |
| Race (NIH/OMB)<br>Units: Subjects                     |       |        |        |
| American Indian or Alaska Native                      | 0     | 0      | 0      |
| Asian                                                 | 0     | 0      | 2      |
| Native Hawaiian or Other Pacific Islander             | 0     | 0      | 0      |
| Black or African American                             | 0     | 0      | 0      |
| White                                                 | 2     | 3      | 0      |
| More than one race                                    | 0     | 0      | 0      |
| Unknown or Not Reported                               | 0     | 0      | 0      |
| Ethnicity (NIH/OMB)<br>Units: Subjects                |       |        |        |
| Hispanic or Latino                                    | 0     | 0      | 0      |
| Not Hispanic or Latino                                | 2     | 3      | 2      |
| Unknown or Not Reported                               | 0     | 0      | 0      |

| <b>Reporting group values</b>                         | DLBCL Bridging:<br>Idasanutlin 150 mg<br>+ Rituximab 375<br>mg/m <sup>2</sup> | DLBCL Bridging:<br>Idasanutlin 200 mg<br>+ Rituximab 375<br>mg/m <sup>2</sup> | FL Non-Bridging:<br>Idasanutlin 100 mg<br>+ Obinutuzumab<br>1000 mg |
|-------------------------------------------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------|
| Number of subjects                                    | 3                                                                             | 4                                                                             | 2                                                                   |
| Age categorical<br>Units: Subjects                    |                                                                               |                                                                               |                                                                     |
| In utero                                              | 0                                                                             | 0                                                                             | 0                                                                   |
| Preterm newborn infants<br>(gestational age < 37 wks) | 0                                                                             | 0                                                                             | 0                                                                   |
| Newborns (0-27 days)                                  | 0                                                                             | 0                                                                             | 0                                                                   |
| Infants and toddlers (28 days-23 months)              | 0                                                                             | 0                                                                             | 0                                                                   |
| Children (2-11 years)                                 | 0                                                                             | 0                                                                             | 0                                                                   |
| Adolescents (12-17 years)                             | 0                                                                             | 0                                                                             | 0                                                                   |
| Adults (18-64 years)                                  | 2                                                                             | 3                                                                             | 2                                                                   |
| From 65-84 years                                      | 1                                                                             | 1                                                                             | 0                                                                   |

|                   |   |   |   |
|-------------------|---|---|---|
| 85 years and over | 0 | 0 | 0 |
|-------------------|---|---|---|

|                                                                         |               |                |               |
|-------------------------------------------------------------------------|---------------|----------------|---------------|
| Age Continuous<br>Units: Years<br>arithmetic mean<br>standard deviation | 67.3<br>± 8.4 | 56.5<br>± 12.9 | 52.5<br>± 6.4 |
| Sex: Female, Male<br>Units: Participants                                |               |                |               |
| Female                                                                  | 1             | 2              | 0             |
| Male                                                                    | 2             | 2              | 2             |
| Race (NIH/OMB)<br>Units: Subjects                                       |               |                |               |
| American Indian or Alaska Native                                        | 0             | 0              | 0             |
| Asian                                                                   | 0             | 1              | 1             |
| Native Hawaiian or Other Pacific Islander                               | 0             | 0              | 0             |
| Black or African American                                               | 0             | 0              | 0             |
| White                                                                   | 2             | 3              | 1             |
| More than one race                                                      | 0             | 0              | 0             |
| Unknown or Not Reported                                                 | 1             | 0              | 0             |
| Ethnicity (NIH/OMB)<br>Units: Subjects                                  |               |                |               |
| Hispanic or Latino                                                      | 1             | 0              | 0             |
| Not Hispanic or Latino                                                  | 2             | 3              | 2             |
| Unknown or Not Reported                                                 | 0             | 1              | 0             |

| <b>Reporting group values</b>                                           | FL Non-Bridging:<br>Idasanutlin 150 mg<br>+ Obinutuzumab<br>1000 mg | FL Bridging:<br>Idasanutlin 150 mg<br>+ Obinutuzumab<br>1000 mg | Total |
|-------------------------------------------------------------------------|---------------------------------------------------------------------|-----------------------------------------------------------------|-------|
| Number of subjects                                                      | 4                                                                   | 5                                                               | 25    |
| Age categorical<br>Units: Subjects                                      |                                                                     |                                                                 |       |
| In utero                                                                | 0                                                                   | 0                                                               | 0     |
| Preterm newborn infants<br>(gestational age < 37 wks)                   | 0                                                                   | 0                                                               | 0     |
| Newborns (0-27 days)                                                    | 0                                                                   | 0                                                               | 0     |
| Infants and toddlers (28 days-23<br>months)                             | 0                                                                   | 0                                                               | 0     |
| Children (2-11 years)                                                   | 0                                                                   | 0                                                               | 0     |
| Adolescents (12-17 years)                                               | 0                                                                   | 0                                                               | 0     |
| Adults (18-64 years)                                                    | 4                                                                   | 3                                                               | 18    |
| From 65-84 years                                                        | 0                                                                   | 2                                                               | 6     |
| 85 years and over                                                       | 0                                                                   | 0                                                               | 1     |
| Age Continuous<br>Units: Years<br>arithmetic mean<br>standard deviation | 53.3<br>± 6.7                                                       | 64.2<br>± 9.8                                                   | -     |
| Sex: Female, Male<br>Units: Participants                                |                                                                     |                                                                 |       |
| Female                                                                  | 2                                                                   | 4                                                               | 12    |
| Male                                                                    | 2                                                                   | 1                                                               | 13    |

|                                           |   |   |    |
|-------------------------------------------|---|---|----|
| Race (NIH/OMB)                            |   |   |    |
| Units: Subjects                           |   |   |    |
| American Indian or Alaska Native          | 0 | 0 | 0  |
| Asian                                     | 2 | 0 | 6  |
| Native Hawaiian or Other Pacific Islander | 0 | 0 | 0  |
| Black or African American                 | 0 | 0 | 0  |
| White                                     | 1 | 5 | 17 |
| More than one race                        | 1 | 0 | 1  |
| Unknown or Not Reported                   | 0 | 0 | 1  |
| Ethnicity (NIH/OMB)                       |   |   |    |
| Units: Subjects                           |   |   |    |
| Hispanic or Latino                        | 0 | 0 | 1  |
| Not Hispanic or Latino                    | 4 | 5 | 23 |
| Unknown or Not Reported                   | 0 | 0 | 1  |

## End points

### End points reporting groups

|                                                                                                                                                                                                                                                                                                                                                                                       |                                                                      |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|
| Reporting group title                                                                                                                                                                                                                                                                                                                                                                 | DLBCL Non-Bridging: Idasanutlin 100 mg + Obinutuzumab 1000 mg        |
| Reporting group description:<br>Participants with relapsed/refractory diffuse large B-cell lymphoma (DLBCL) in this non-bridging dose-escalation cohort received induction treatment with idasanutlin 100 milligrams (mg) orally in combination with a fixed dose of obinutuzumab 1000 mg intravenously (IV) for 6 cycles (1 cycle = 28 days).                                        |                                                                      |
| Reporting group title                                                                                                                                                                                                                                                                                                                                                                 | DLBCL Non-Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg        |
| Reporting group description:<br>Participants with relapsed/refractory diffuse large B-cell lymphoma (DLBCL) in this non-bridging dose-escalation cohort received induction treatment with idasanutlin 150 mg orally in combination with a fixed dose of obinutuzumab 1000 mg IV for 6 cycles (1 cycle = 28 days).                                                                     |                                                                      |
| Reporting group title                                                                                                                                                                                                                                                                                                                                                                 | DLBCL Non-Bridging: Idasanutlin 200 mg + Obinutuzumab 1000 mg        |
| Reporting group description:<br>Participants with relapsed/refractory diffuse large B-cell lymphoma (DLBCL) in this non-bridging dose-escalation cohort received induction treatment with idasanutlin 200 mg orally in combination with a fixed dose of obinutuzumab 1000 mg IV for 6 cycles (1 cycle = 28 days).                                                                     |                                                                      |
| Reporting group title                                                                                                                                                                                                                                                                                                                                                                 | DLBCL Bridging: Idasanutlin 150 mg + Rituximab 375 mg/m <sup>2</sup> |
| Reporting group description:<br>Participants with relapsed/refractory diffuse large B-cell lymphoma (DLBCL) in this bridging cohort received induction treatment with idasanutlin 150 mg orally in combination with rituximab 375 milligrams per square meter of body surface area (mg/m <sup>2</sup> ) IV for 6 cycles (1 cycle = 28 days).                                          |                                                                      |
| Reporting group title                                                                                                                                                                                                                                                                                                                                                                 | DLBCL Bridging: Idasanutlin 200 mg + Rituximab 375 mg/m <sup>2</sup> |
| Reporting group description:<br>Participants with relapsed/refractory diffuse large B-cell lymphoma (DLBCL) in this bridging cohort received induction treatment with idasanutlin 200 mg orally in combination with rituximab 375 mg/m <sup>2</sup> IV for 6 cycles (1 cycle = 28 days).                                                                                              |                                                                      |
| Reporting group title                                                                                                                                                                                                                                                                                                                                                                 | FL Non-Bridging: Idasanutlin 100 mg + Obinutuzumab 1000 mg           |
| Reporting group description:<br>Participants with relapsed/refractory follicular lymphoma (FL) in this non-bridging dose-escalation cohort received induction treatment with idasanutlin 100 milligrams (mg) orally in combination with a fixed dose of obinutuzumab 1000 mg intravenously (IV) for 6 cycles (1 cycle = 28 days).                                                     |                                                                      |
| Reporting group title                                                                                                                                                                                                                                                                                                                                                                 | FL Non-Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg           |
| Reporting group description:<br>Participants with relapsed/refractory follicular lymphoma (FL) in this non-bridging dose-escalation cohort received induction treatment with idasanutlin 150 mg orally in combination with a fixed dose of obinutuzumab 1000 mg IV for 6 cycles (1 cycle = 28 days).                                                                                  |                                                                      |
| Reporting group title                                                                                                                                                                                                                                                                                                                                                                 | FL Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg               |
| Reporting group description:<br>Participants with relapsed/refractory follicular lymphoma (FL) in this bridging cohort received induction treatment with single-agent obinutuzumab 1000 mg IV for Cycle 1 and then idasanutlin 150 mg orally in combination with a fixed dose of obinutuzumab 1000 mg IV for Cycles 2-6 (1 cycle = 28 days).                                          |                                                                      |
| Subject analysis set title                                                                                                                                                                                                                                                                                                                                                            | DLBCL/FL Combined: Idasanutlin 100 mg + Obinutuzumab 1000 mg         |
| Subject analysis set type                                                                                                                                                                                                                                                                                                                                                             | Sub-group analysis                                                   |
| Subject analysis set description:<br>This is a pharmacokinetics analysis cohort of participants with relapsed/refractory diffuse large B-cell lymphoma (DLBCL) or follicular lymphoma (FL) who received induction treatment with idasanutlin 100 milligrams (mg) orally in combination with a fixed dose of obinutuzumab 1000 mg intravenously (IV) for 6 cycles (1 cycle = 28 days). |                                                                      |
| Subject analysis set title                                                                                                                                                                                                                                                                                                                                                            | DLBCL/FL Combined: Idasanutlin 150 mg + Obinutuzumab 1000 mg         |

|                                                                                                                                                                                                                                                                                                                                                                              |                                                                          |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------|
| Subject analysis set type                                                                                                                                                                                                                                                                                                                                                    | Sub-group analysis                                                       |
| Subject analysis set description:                                                                                                                                                                                                                                                                                                                                            |                                                                          |
| This is a pharmacokinetics analysis cohort of participants with relapsed/refractory diffuse large B-cell lymphoma (DLBCL) or follicular lymphoma (FL) who received induction treatment with idasanutlin 150 mg orally in combination with a fixed dose of obinutuzumab 1000 mg IV for 6 cycles (1 cycle = 28 days).                                                          |                                                                          |
| Subject analysis set title                                                                                                                                                                                                                                                                                                                                                   | DLBCL/FL: Idasanutlin 100/150/200 mg + Obinutuzumab 1000 mg              |
| Subject analysis set type                                                                                                                                                                                                                                                                                                                                                    | Sub-group analysis                                                       |
| Subject analysis set description:                                                                                                                                                                                                                                                                                                                                            |                                                                          |
| This is a pharmacokinetics analysis cohort of participants with relapsed/refractory diffuse large B-cell lymphoma (DLBCL) or follicular lymphoma (FL) who received induction treatment with idasanutlin 100 milligrams (mg), 150 mg, or 200 mg orally in combination with a fixed dose of obinutuzumab 1000 mg intravenously (IV) for 6 cycles (1 cycle = 28 days).          |                                                                          |
| Subject analysis set title                                                                                                                                                                                                                                                                                                                                                   | DLBCL Combined: Idasanutlin 150/200 mg + Rituximab 375 mg/m <sup>2</sup> |
| Subject analysis set type                                                                                                                                                                                                                                                                                                                                                    | Sub-group analysis                                                       |
| Subject analysis set description:                                                                                                                                                                                                                                                                                                                                            |                                                                          |
| This is a pharmacokinetics analysis cohort of participants with relapsed/refractory diffuse large B-cell lymphoma (DLBCL) or follicular lymphoma (FL) who received induction treatment with idasanutlin 150 mg or 200 mg orally in combination with rituximab 375 milligrams per square meter of body surface area (mg/m <sup>2</sup> ) IV for 6 cycles (1 cycle = 28 days). |                                                                          |

**Primary: Percentage of Participants with Complete Response at the End of Induction, Determined by an Independent Review Committee (IRC) on the Basis of Positron Emission Tomography and Computed Tomography (PET-CT) Scans Using Modified Lugano 2014 Criteria**

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                       |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| End point title                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Percentage of Participants with Complete Response at the End of Induction, Determined by an Independent Review Committee (IRC) on the Basis of Positron Emission Tomography and Computed Tomography (PET-CT) Scans Using Modified Lugano 2014 Criteria <sup>[1]</sup> |
| End point description:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                       |
| The plan was for the IRC to evaluate responses at the end of induction treatment using Lugano 2014 criteria for malignant lymphoma for a PET-CT-based complete response (CR), which required a complete metabolic response with a score of 1, 2, or 3 with or without a residual mass in lymph nodes and extralymphatic sites on the PET 5-point scale for 18-fluorodeoxyglucose (FDG) uptake (1 = no uptake above background; 2 = uptake less than or equal to [ $\leq$ ] mediastinum; 3 = uptake greater than [ $>$ ] mediastinum and $\leq$ liver; 4 = uptake moderately $>$ liver; 5 = uptake markedly $>$ liver and/or new lesions). The CR criteria were slightly modified to require normal bone marrow by morphology (if indeterminate, immunohistochemistry negative). PET-CT scans were performed at end of induction only on participants who had received at least 2 cycles of induction treatment; those without a post-baseline tumor assessment were to be considered non-responders. |                                                                                                                                                                                                                                                                       |
| End point type                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Primary                                                                                                                                                                                                                                                               |

End point timeframe:

Within 6 to 8 weeks after Day 1 of Cycle 6 (up to approximately 28 weeks; 1 cycle is 28 days)

Notes:

[1] - No statistical analyses have been specified for this primary end point. It is expected there is at least one statistical analysis for each primary end point.

Justification: The primary end point was to be analyzed based on responses of subjects in the dose expansion phase as evaluated by the IRC; however, there are no results to report because the dose expansion phase did not open for enrollment. The sponsor decided to terminate the study early due to the modest benefit achieved at the maximum tolerated dose during the dose escalation phase.

| End point values            | DLBCL Non-Bridging: Idasanutlin 100 mg + Obinutuzumab 1000 mg | DLBCL Non-Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg | DLBCL Non-Bridging: Idasanutlin 200 mg + Obinutuzumab 1000 mg | DLBCL Bridging: Idasanutlin 150 mg + Rituximab 375 mg/m <sup>2</sup> |
|-----------------------------|---------------------------------------------------------------|---------------------------------------------------------------|---------------------------------------------------------------|----------------------------------------------------------------------|
| Subject group type          | Reporting group                                               | Reporting group                                               | Reporting group                                               | Reporting group                                                      |
| Number of subjects analysed | 0 <sup>[2]</sup>                                              | 0 <sup>[3]</sup>                                              | 0 <sup>[4]</sup>                                              | 0 <sup>[5]</sup>                                                     |

|                                   |        |        |        |        |
|-----------------------------------|--------|--------|--------|--------|
| Units: Percentage of participants |        |        |        |        |
| number (confidence interval 90%)  | ( to ) | ( to ) | ( to ) | ( to ) |

Notes:

[2] - Sponsor terminated the study due to modest benefit achieved; responses were not analyzed by the IRC.

[3] - Sponsor terminated the study due to modest benefit achieved; responses were not analyzed by the IRC.

[4] - Sponsor terminated the study due to modest benefit achieved; responses were not analyzed by the IRC.

[5] - Sponsor terminated the study due to modest benefit achieved; responses were not analyzed by the IRC.

| End point values                  | DLBCL Bridging:<br>Idasanutlin 200 mg +<br>Rituximab 375 mg/m <sup>2</sup> | FL Non-Bridging:<br>Idasanutlin 100 mg +<br>Obinutuzumab 1000 mg | FL Non-Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg | FL Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg |
|-----------------------------------|----------------------------------------------------------------------------|------------------------------------------------------------------|------------------------------------------------------------------|--------------------------------------------------------------|
| Subject group type                | Reporting group                                                            | Reporting group                                                  | Reporting group                                                  | Reporting group                                              |
| Number of subjects analysed       | 0 <sup>[6]</sup>                                                           | 0 <sup>[7]</sup>                                                 | 0 <sup>[8]</sup>                                                 | 0 <sup>[9]</sup>                                             |
| Units: Percentage of participants |                                                                            |                                                                  |                                                                  |                                                              |
| number (confidence interval 90%)  | ( to )                                                                     | ( to )                                                           | ( to )                                                           | ( to )                                                       |

Notes:

[6] - Sponsor terminated the study due to modest benefit achieved; responses were not analyzed by the IRC.

[7] - Sponsor terminated the study due to modest benefit achieved; responses were not analyzed by the IRC.

[8] - Sponsor terminated the study due to modest benefit achieved; responses were not analyzed by the IRC.

[9] - Sponsor terminated the study due to modest benefit achieved; responses were not analyzed by the IRC.

## Statistical analyses

No statistical analyses for this end point

## Secondary: Number of Participants with a Dose-Limiting Toxicity

| End point title | Number of Participants with a Dose-Limiting Toxicity |
|-----------------|------------------------------------------------------|
|-----------------|------------------------------------------------------|

End point description:

A dose-limiting toxicity (DLT) was defined as at least one of the following events occurring during Cycle 1 (or first 2 cycles in the bridging FL cohort) of treatment and assessed by the investigator as not clearly related to the underlying disease: Any Grade 5 adverse event (AE; severity graded per NCI-CTCAE v4.0) unless due to the underlying malignancy or extraneous causes; AE of any grade that leads to a delay of more than (>)14 days in the start of the next treatment cycle; Grade 3 or 4 non-hematologic AEs (with exceptions); Lab results suggestive of potential drug-induced liver injury (according to Hy's law); Grade 3 or 4 neutropenia in the presence of sustained fever of >38 C (lasting >5 days) or a documented infection; Grade 4 neutropenia or thrombocytopenia lasting >7 days; Grade 3 or 4 thrombocytopenia if associated with Grade ≥3 bleeding; Other toxicities considered clinically relevant and related to study treatment as determined by the investigator and medical monitor.

| End point type | Secondary |
|----------------|-----------|
|----------------|-----------|

End point timeframe:

Cycles 1, 2 (1 cycle is 28 days)

|                             |                                                                     |                                                                     |                                                                     |                                                                            |
|-----------------------------|---------------------------------------------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------------|----------------------------------------------------------------------------|
| <b>End point values</b>     | DLBCL Non-Bridging:<br>Idasanutlin 100 mg +<br>Obinutuzumab 1000 mg | DLBCL Non-Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg | DLBCL Non-Bridging:<br>Idasanutlin 200 mg +<br>Obinutuzumab 1000 mg | DLBCL Bridging:<br>Idasanutlin 150 mg +<br>Rituximab 375 mg/m <sup>2</sup> |
| Subject group type          | Reporting group                                                     | Reporting group                                                     | Reporting group                                                     | Reporting group                                                            |
| Number of subjects analysed | 1                                                                   | 3                                                                   | 2                                                                   | 3                                                                          |
| Units: Participants         |                                                                     |                                                                     |                                                                     |                                                                            |
| Any DLT                     | 0                                                                   | 0                                                                   | 2                                                                   | 0                                                                          |
| Thrombocytopenia            | 0                                                                   | 0                                                                   | 2                                                                   | 0                                                                          |

|                             |                                                                            |                                                                  |                                                                  |                                                              |
|-----------------------------|----------------------------------------------------------------------------|------------------------------------------------------------------|------------------------------------------------------------------|--------------------------------------------------------------|
| <b>End point values</b>     | DLBCL Bridging:<br>Idasanutlin 200 mg +<br>Rituximab 375 mg/m <sup>2</sup> | FL Non-Bridging:<br>Idasanutlin 100 mg +<br>Obinutuzumab 1000 mg | FL Non-Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg | FL Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg |
| Subject group type          | Reporting group                                                            | Reporting group                                                  | Reporting group                                                  | Reporting group                                              |
| Number of subjects analysed | 4                                                                          | 2                                                                | 4                                                                | 5                                                            |
| Units: Participants         |                                                                            |                                                                  |                                                                  |                                                              |
| Any DLT                     | 1                                                                          | 0                                                                | 1                                                                | 1                                                            |
| Thrombocytopenia            | 1                                                                          | 0                                                                | 1                                                                | 1                                                            |

## Statistical analyses

No statistical analyses for this end point

## Secondary: Percentage of Participants with Complete Response at the End of Induction, Determined by the Investigator on the Basis of PET-CT Scans Using Modified Lugano 2014 Criteria

|                 |                                                                                                                                                                            |
|-----------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| End point title | Percentage of Participants with Complete Response at the End of Induction, Determined by the Investigator on the Basis of PET-CT Scans Using Modified Lugano 2014 Criteria |
|-----------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

End point description:

The investigator evaluated responses at the end of induction treatment using Lugano 2014 criteria for malignant lymphoma for a PET-CT-based complete response (CR), which required a complete metabolic response with a score of 1, 2, or 3 with or without a residual mass in lymph nodes and extralymphatic sites on the PET 5-point scale for 18-fluorodeoxyglucose (FDG) uptake (1 = no uptake above background; 2 = uptake less than or equal to  $\leq$  mediastinum; 3 = uptake greater than  $>$  mediastinum and  $\leq$  liver; 4 = uptake moderately  $>$  liver; 5 = uptake markedly  $>$  liver and/or new lesions). The CR criteria were slightly modified to require normal bone marrow by morphology (if indeterminate, immunohistochemistry negative). PET-CT scans were performed at end of induction only on participants who had received at least 2 cycles of induction treatment; those without a post-baseline tumor assessment were considered non-responders. Exploratory analysis of dose-escalation phase efficacy is reported.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

Within 6 to 8 weeks after Day 1 of Cycle 6 (up to approximately 28 weeks; 1 cycle is 28 days)

|                                   |                                                                     |                                                                     |                                                                     |                                                                            |
|-----------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------------|----------------------------------------------------------------------------|
| <b>End point values</b>           | DLBCL Non-Bridging:<br>Idasanutlin 100 mg +<br>Obinutuzumab 1000 mg | DLBCL Non-Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg | DLBCL Non-Bridging:<br>Idasanutlin 200 mg +<br>Obinutuzumab 1000 mg | DLBCL Bridging:<br>Idasanutlin 150 mg +<br>Rituximab 375 mg/m <sup>2</sup> |
| Subject group type                | Reporting group                                                     | Reporting group                                                     | Reporting group                                                     | Reporting group                                                            |
| Number of subjects analysed       | 2                                                                   | 3                                                                   | 2                                                                   | 3                                                                          |
| Units: Percentage of participants |                                                                     |                                                                     |                                                                     |                                                                            |
| number (confidence interval 90%)  | 0 (0.00 to 77.64)                                                   | 33.3 (1.70 to 86.46)                                                | 0 (0.00 to 77.64)                                                   | 0 (0.00 to 63.16)                                                          |

|                                   |                                                                            |                                                                  |                                                                  |                                                              |
|-----------------------------------|----------------------------------------------------------------------------|------------------------------------------------------------------|------------------------------------------------------------------|--------------------------------------------------------------|
| <b>End point values</b>           | DLBCL Bridging:<br>Idasanutlin 200 mg +<br>Rituximab 375 mg/m <sup>2</sup> | FL Non-Bridging:<br>Idasanutlin 100 mg +<br>Obinutuzumab 1000 mg | FL Non-Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg | FL Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg |
| Subject group type                | Reporting group                                                            | Reporting group                                                  | Reporting group                                                  | Reporting group                                              |
| Number of subjects analysed       | 4                                                                          | 2                                                                | 4                                                                | 5                                                            |
| Units: Percentage of participants |                                                                            |                                                                  |                                                                  |                                                              |
| number (confidence interval 90%)  | 0 (0.00 to 52.71)                                                          | 50.0 (2.53 to 97.47)                                             | 0 (0.00 to 52.71)                                                | 40.0 (7.64 to 81.07)                                         |

## Statistical analyses

No statistical analyses for this end point

## Secondary: Percentage of Participants with Complete Response at the End of Induction, Determined by the Investigator on the Basis of PET-CT Scans Using Lugano 2014 Criteria

|                 |                                                                                                                                                                   |
|-----------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| End point title | Percentage of Participants with Complete Response at the End of Induction, Determined by the Investigator on the Basis of PET-CT Scans Using Lugano 2014 Criteria |
|-----------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|

### End point description:

The investigator evaluated responses at the end of induction treatment using Lugano 2014 criteria for malignant lymphoma for a PET-CT-based complete response (CR), which required a complete metabolic response with a score of 1, 2, or 3 with or without a residual mass in lymph nodes and extralymphatic sites on the PET 5-point scale for 18-fluorodeoxyglucose (FDG) uptake (1 = no uptake above background; 2 = uptake less than or equal to [ $\leq$ ] mediastinum; 3 = uptake greater than [ $>$ ] mediastinum and  $\leq$  liver; 4 = uptake moderately  $>$  liver; 5 = uptake markedly  $>$  liver and/or new lesions). The CR criteria for participants with bone marrow involvement at screening required no evidence of FDG-avid disease in the marrow. PET-CT scans were performed at end of induction only on participants who had received at least 2 cycles of induction treatment; those without a post-baseline tumor assessment were considered non-responders. Exploratory analysis of dose-escalation phase efficacy is reported.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

### End point timeframe:

Within 6 to 8 weeks after Day 1 of Cycle 6 (up to approximately 28 weeks; 1 cycle is 28 days)

|                                   |                                                                     |                                                                     |                                                                     |                                                                            |
|-----------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------------|----------------------------------------------------------------------------|
| <b>End point values</b>           | DLBCL Non-Bridging:<br>Idasanutlin 100 mg +<br>Obinutuzumab 1000 mg | DLBCL Non-Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg | DLBCL Non-Bridging:<br>Idasanutlin 200 mg +<br>Obinutuzumab 1000 mg | DLBCL Bridging:<br>Idasanutlin 150 mg +<br>Rituximab 375 mg/m <sup>2</sup> |
| Subject group type                | Reporting group                                                     | Reporting group                                                     | Reporting group                                                     | Reporting group                                                            |
| Number of subjects analysed       | 2                                                                   | 3                                                                   | 2                                                                   | 3                                                                          |
| Units: Percentage of participants |                                                                     |                                                                     |                                                                     |                                                                            |
| number (confidence interval 90%)  | 0 (0.00 to 77.64)                                                   | 33.3 (1.70 to 86.46)                                                | 0 (0.00 to 77.64)                                                   | 0 (0.00 to 63.16)                                                          |

|                                   |                                                                            |                                                                  |                                                                  |                                                              |
|-----------------------------------|----------------------------------------------------------------------------|------------------------------------------------------------------|------------------------------------------------------------------|--------------------------------------------------------------|
| <b>End point values</b>           | DLBCL Bridging:<br>Idasanutlin 200 mg +<br>Rituximab 375 mg/m <sup>2</sup> | FL Non-Bridging:<br>Idasanutlin 100 mg +<br>Obinutuzumab 1000 mg | FL Non-Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg | FL Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg |
| Subject group type                | Reporting group                                                            | Reporting group                                                  | Reporting group                                                  | Reporting group                                              |
| Number of subjects analysed       | 4                                                                          | 2                                                                | 4                                                                | 5                                                            |
| Units: Percentage of participants |                                                                            |                                                                  |                                                                  |                                                              |
| number (confidence interval 90%)  | 0 (0.00 to 52.71)                                                          | 50.0 (2.53 to 97.47)                                             | 0 (0.00 to 52.71)                                                | 40.0 (7.64 to 81.07)                                         |

## Statistical analyses

No statistical analyses for this end point

## Secondary: Percentage of Participants with Complete Response at the End of Induction, Determined by the IRC on the Basis of CT Scans Alone Using Lugano 2014 Criteria

|                 |                                                                                                                                                            |
|-----------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|
| End point title | Percentage of Participants with Complete Response at the End of Induction, Determined by the IRC on the Basis of CT Scans Alone Using Lugano 2014 Criteria |
|-----------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|

### End point description:

The independent review committee (IRC) was to evaluate responses at the end of induction treatment using the Lugano 2014 response criteria for malignant lymphoma for a computed tomography (CT)-based complete response (CR). The CR criteria required a complete radiologic response with all of the following: target nodes/nodal masses must regress to less than or equal to 1.5 centimetres in the longest transverse diameter of a lesion [LDi]; no extralymphatic sites of disease; no non-measured or new lesions; enlarged organs regressing to normal size; and bone marrow normal by morphology (if indeterminate, immunohistochemistry negative). CT scans were performed at end of induction only on participants who had received at least 2 cycles of induction treatment; those without a post-baseline tumor assessment were to be considered non-responders.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

### End point timeframe:

Within 6 to 8 weeks after Day 1 of Cycle 6 (up to approximately 28 weeks; 1 cycle is 28 days)

|                                   |                                                                     |                                                                     |                                                                     |                                                                            |
|-----------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------------|----------------------------------------------------------------------------|
| <b>End point values</b>           | DLBCL Non-Bridging:<br>Idasanutlin 100 mg +<br>Obinutuzumab 1000 mg | DLBCL Non-Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg | DLBCL Non-Bridging:<br>Idasanutlin 200 mg +<br>Obinutuzumab 1000 mg | DLBCL Bridging:<br>Idasanutlin 150 mg +<br>Rituximab 375 mg/m <sup>2</sup> |
| Subject group type                | Reporting group                                                     | Reporting group                                                     | Reporting group                                                     | Reporting group                                                            |
| Number of subjects analysed       | 0 <sup>[10]</sup>                                                   | 0 <sup>[11]</sup>                                                   | 0 <sup>[12]</sup>                                                   | 0 <sup>[13]</sup>                                                          |
| Units: Percentage of participants |                                                                     |                                                                     |                                                                     |                                                                            |
| number (confidence interval 90%)  | ( to )                                                              | ( to )                                                              | ( to )                                                              | ( to )                                                                     |

Notes:

[10] - Sponsor terminated the study due to modest benefit achieved; responses were not analyzed by the IRC.

[11] - Sponsor terminated the study due to modest benefit achieved; responses were not analyzed by the IRC.

[12] - Sponsor terminated the study due to modest benefit achieved; responses were not analyzed by the IRC.

[13] - Sponsor terminated the study due to modest benefit achieved; responses were not analyzed by the IRC.

|                                   |                                                                            |                                                                  |                                                                  |                                                              |
|-----------------------------------|----------------------------------------------------------------------------|------------------------------------------------------------------|------------------------------------------------------------------|--------------------------------------------------------------|
| <b>End point values</b>           | DLBCL Bridging:<br>Idasanutlin 200 mg +<br>Rituximab 375 mg/m <sup>2</sup> | FL Non-Bridging:<br>Idasanutlin 100 mg +<br>Obinutuzumab 1000 mg | FL Non-Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg | FL Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg |
| Subject group type                | Reporting group                                                            | Reporting group                                                  | Reporting group                                                  | Reporting group                                              |
| Number of subjects analysed       | 0 <sup>[14]</sup>                                                          | 0 <sup>[15]</sup>                                                | 0 <sup>[16]</sup>                                                | 0 <sup>[17]</sup>                                            |
| Units: Percentage of participants |                                                                            |                                                                  |                                                                  |                                                              |
| number (confidence interval 90%)  | ( to )                                                                     | ( to )                                                           | ( to )                                                           | ( to )                                                       |

Notes:

[14] - Sponsor terminated the study due to modest benefit achieved; responses were not analyzed by the IRC.

[15] - Sponsor terminated the study due to modest benefit achieved; responses were not analyzed by the IRC.

[16] - Sponsor terminated the study due to modest benefit achieved; responses were not analyzed by the IRC.

[17] - Sponsor terminated the study due to modest benefit achieved; responses were not analyzed by the IRC.

## Statistical analyses

No statistical analyses for this end point

## Secondary: Percentage of Participants with Complete Response at the End of Induction, Determined by the Investigator on the Basis of CT Scans Alone Using Lugano 2014 Criteria

|                 |                                                                                                                                                                     |
|-----------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| End point title | Percentage of Participants with Complete Response at the End of Induction, Determined by the Investigator on the Basis of CT Scans Alone Using Lugano 2014 Criteria |
|-----------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|

End point description:

The investigator evaluated responses at the end of induction treatment using the Lugano 2014 response criteria for malignant lymphoma for a computed tomography (CT)-based complete response (CR). The CR criteria required a complete radiologic response with all of the following: target nodes/nodal masses must regress to less than or equal to 1.5 centimetres in the longest transverse diameter of a lesion [LDi]; no extralymphatic sites of disease; no non-measured or new lesions; enlarged organs regressing to normal size; and bone marrow normal by morphology (if indeterminate, immunohistochemistry negative). CT scans were performed at end of induction only on participants who had received at least 2 cycles of induction treatment; those without a post-baseline tumor assessment were to be considered non-responders. Exploratory analysis of dose-escalation phase efficacy is reported.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

Within 6 to 8 weeks after Day 1 of Cycle 6 (up to approximately 28 weeks; 1 cycle is 28 days)

| End point values                  | DLBCL Non-Bridging: Idasanutlin 100 mg + Obinutuzumab 1000 mg | DLBCL Non-Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg | DLBCL Non-Bridging: Idasanutlin 200 mg + Obinutuzumab 1000 mg | DLBCL Bridging: Idasanutlin 150 mg + Rituximab 375 mg/m <sup>2</sup> |
|-----------------------------------|---------------------------------------------------------------|---------------------------------------------------------------|---------------------------------------------------------------|----------------------------------------------------------------------|
| Subject group type                | Reporting group                                               | Reporting group                                               | Reporting group                                               | Reporting group                                                      |
| Number of subjects analysed       | 2                                                             | 3                                                             | 2                                                             | 3                                                                    |
| Units: Percentage of participants |                                                               |                                                               |                                                               |                                                                      |
| number (confidence interval 90%)  | 0 (0.00 to 77.64)                                             | 33.3 (1.70 to 86.46)                                          | 0 (0.00 to 77.64)                                             | 0 (0.00 to 63.16)                                                    |

| End point values                  | DLBCL Bridging: Idasanutlin 200 mg + Rituximab 375 mg/m <sup>2</sup> | FL Non-Bridging: Idasanutlin 100 mg + Obinutuzumab 1000 mg | FL Non-Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg | FL Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg |
|-----------------------------------|----------------------------------------------------------------------|------------------------------------------------------------|------------------------------------------------------------|--------------------------------------------------------|
| Subject group type                | Reporting group                                                      | Reporting group                                            | Reporting group                                            | Reporting group                                        |
| Number of subjects analysed       | 4                                                                    | 2                                                          | 4                                                          | 5                                                      |
| Units: Percentage of participants |                                                                      |                                                            |                                                            |                                                        |
| number (confidence interval 90%)  | 0 (0.00 to 52.71)                                                    | 0 (0.00 to 77.64)                                          | 0 (0.00 to 52.71)                                          | 20.0 (1.02 to 65.74)                                   |

## Statistical analyses

No statistical analyses for this end point

## Secondary: Percentage of Participants with Objective Response at the End of Induction, Determined by the IRC on the Basis of PET-CT Scans Using Lugano 2014 Criteria

|                 |                                                                                                                                                           |
|-----------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|
| End point title | Percentage of Participants with Objective Response at the End of Induction, Determined by the IRC on the Basis of PET-CT Scans Using Lugano 2014 Criteria |
|-----------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|

End point description:

The plan was for the IRC to evaluate responses at the end of induction treatment using Lugano 2014 criteria for malignant lymphoma for a PET-CT-based objective response: either a complete (CR) or partial response (PR). A CR required a complete metabolic response with a score of 1, 2, or 3 on the PET 5-point scale (5PS) for 18-fluorodeoxyglucose (FDG) uptake (scores range from 1 [no uptake above background] to 5 [uptake markedly higher than liver and/or new lesions]), with or without a residual mass in lymph nodes and extralymphatic sites; and a PR required a partial metabolic response with a score of 4 or 5 on the 5PS with reduced 18-FDG uptake compared with baseline and residual mass(es) of any size. For bone marrow involvement, the CR criteria required no evidence of FDG-avid disease, and the PR criteria required residual uptake higher than in normal marrow but reduced compared with baseline. Subjects without a post-baseline tumor assessment were to be considered non-responders.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

Within 6 to 8 weeks after Day 1 of Cycle 6 (up to approximately 28 weeks; 1 cycle is 28 days)

|                                   |                                                                     |                                                                     |                                                                     |                                                                            |
|-----------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------------|----------------------------------------------------------------------------|
| <b>End point values</b>           | DLBCL Non-Bridging:<br>Idasanutlin 100 mg +<br>Obinutuzumab 1000 mg | DLBCL Non-Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg | DLBCL Non-Bridging:<br>Idasanutlin 200 mg +<br>Obinutuzumab 1000 mg | DLBCL Bridging:<br>Idasanutlin 150 mg +<br>Rituximab 375 mg/m <sup>2</sup> |
| Subject group type                | Reporting group                                                     | Reporting group                                                     | Reporting group                                                     | Reporting group                                                            |
| Number of subjects analysed       | 0 <sup>[18]</sup>                                                   | 0 <sup>[19]</sup>                                                   | 0 <sup>[20]</sup>                                                   | 0 <sup>[21]</sup>                                                          |
| Units: Percentage of participants |                                                                     |                                                                     |                                                                     |                                                                            |
| number (confidence interval 90%)  | ( to )                                                              | ( to )                                                              | ( to )                                                              | ( to )                                                                     |

Notes:

[18] - Sponsor terminated the study due to modest benefit achieved; responses were not analyzed by the IRC.

[19] - Sponsor terminated the study due to modest benefit achieved; responses were not analyzed by the IRC.

[20] - Sponsor terminated the study due to modest benefit achieved; responses were not analyzed by the IRC.

[21] - Sponsor terminated the study due to modest benefit achieved; responses were not analyzed by the IRC.

|                                   |                                                                            |                                                                  |                                                                  |                                                              |
|-----------------------------------|----------------------------------------------------------------------------|------------------------------------------------------------------|------------------------------------------------------------------|--------------------------------------------------------------|
| <b>End point values</b>           | DLBCL Bridging:<br>Idasanutlin 200 mg +<br>Rituximab 375 mg/m <sup>2</sup> | FL Non-Bridging:<br>Idasanutlin 100 mg +<br>Obinutuzumab 1000 mg | FL Non-Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg | FL Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg |
| Subject group type                | Reporting group                                                            | Reporting group                                                  | Reporting group                                                  | Reporting group                                              |
| Number of subjects analysed       | 0 <sup>[22]</sup>                                                          | 0 <sup>[23]</sup>                                                | 0 <sup>[24]</sup>                                                | 0 <sup>[25]</sup>                                            |
| Units: Percentage of participants |                                                                            |                                                                  |                                                                  |                                                              |
| number (confidence interval 90%)  | ( to )                                                                     | ( to )                                                           | ( to )                                                           | ( to )                                                       |

Notes:

[22] - Sponsor terminated the study due to modest benefit achieved; responses were not analyzed by the IRC.

[23] - Sponsor terminated the study due to modest benefit achieved; responses were not analyzed by the IRC.

[24] - Sponsor terminated the study due to modest benefit achieved; responses were not analyzed by the IRC.

[25] - Sponsor terminated the study due to modest benefit achieved; responses were not analyzed by the IRC.

## Statistical analyses

No statistical analyses for this end point

## Secondary: Percentage of Participants with Objective Response at the End of Induction, Determined by the Investigator on the Basis of PET-CT Scans Using Lugano 2014 Criteria

|                 |                                                                                                                                                                    |
|-----------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| End point title | Percentage of Participants with Objective Response at the End of Induction, Determined by the Investigator on the Basis of PET-CT Scans Using Lugano 2014 Criteria |
|-----------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|

End point description:

The investigator was to evaluate responses at the end of induction treatment using Lugano 2014 criteria for malignant lymphoma for a PET-CT-based objective response: either a complete (CR) or partial response (PR). A CR required a complete metabolic response with a score of 1, 2, or 3 on the PET 5-point scale (SPS) for 18-fluorodeoxyglucose (FDG) uptake (scores range from 1 [no uptake above background] to 5 [uptake markedly higher than liver and/or new lesions]), with or without a residual mass in lymph nodes and extralymphatic sites; and a PR required a partial metabolic response with a

score of 4 or 5 on the 5PS with reduced 18-FDG uptake compared with baseline and residual mass(es) of any size. For bone marrow involvement, the CR criteria required no evidence of FDG-avid disease, and the PR criteria required residual uptake higher than in normal marrow but reduced compared with baseline. Participants without a post-baseline tumor assessment were to be considered non-responders.

|                                                                                               |           |
|-----------------------------------------------------------------------------------------------|-----------|
| End point type                                                                                | Secondary |
| End point timeframe:                                                                          |           |
| Within 6 to 8 weeks after Day 1 of Cycle 6 (up to approximately 28 weeks; 1 cycle is 28 days) |           |

| End point values                  | DLBCL Non-Bridging: Idasanutlin 100 mg + Obinutuzumab 1000 mg | DLBCL Non-Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg | DLBCL Non-Bridging: Idasanutlin 200 mg + Obinutuzumab 1000 mg | DLBCL Bridging: Idasanutlin 150 mg + Rituximab 375 mg/m <sup>2</sup> |
|-----------------------------------|---------------------------------------------------------------|---------------------------------------------------------------|---------------------------------------------------------------|----------------------------------------------------------------------|
| Subject group type                | Reporting group                                               | Reporting group                                               | Reporting group                                               | Reporting group                                                      |
| Number of subjects analysed       | 0 <sup>[26]</sup>                                             | 0 <sup>[27]</sup>                                             | 0 <sup>[28]</sup>                                             | 0 <sup>[29]</sup>                                                    |
| Units: Percentage of participants |                                                               |                                                               |                                                               |                                                                      |
| number (confidence interval 90%)  | ( to )                                                        | ( to )                                                        | ( to )                                                        | ( to )                                                               |

Notes:

[26] - Sponsor terminated the study due to modest benefit achieved; objective responses were not analyzed.

[27] - Sponsor terminated the study due to modest benefit achieved; objective responses were not analyzed.

[28] - Sponsor terminated the study due to modest benefit achieved; objective responses were not analyzed.

[29] - Sponsor terminated the study due to modest benefit achieved; objective responses were not analyzed.

| End point values                  | DLBCL Bridging: Idasanutlin 200 mg + Rituximab 375 mg/m <sup>2</sup> | FL Non-Bridging: Idasanutlin 100 mg + Obinutuzumab 1000 mg | FL Non-Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg | FL Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg |
|-----------------------------------|----------------------------------------------------------------------|------------------------------------------------------------|------------------------------------------------------------|--------------------------------------------------------|
| Subject group type                | Reporting group                                                      | Reporting group                                            | Reporting group                                            | Reporting group                                        |
| Number of subjects analysed       | 0 <sup>[30]</sup>                                                    | 0 <sup>[31]</sup>                                          | 0 <sup>[32]</sup>                                          | 0 <sup>[33]</sup>                                      |
| Units: Percentage of participants |                                                                      |                                                            |                                                            |                                                        |
| number (confidence interval 90%)  | ( to )                                                               | ( to )                                                     | ( to )                                                     | ( to )                                                 |

Notes:

[30] - Sponsor terminated the study due to modest benefit achieved; objective responses were not analyzed.

[31] - Sponsor terminated the study due to modest benefit achieved; objective responses were not analyzed.

[32] - Sponsor terminated the study due to modest benefit achieved; objective responses were not analyzed.

[33] - Sponsor terminated the study due to modest benefit achieved; objective responses were not analyzed.

## Statistical analyses

No statistical analyses for this end point

## Secondary: Percentage of Participants with Objective Response at the End of Induction, Determined by an IRC on the Basis of CT Scans Alone Using Lugano 2014 Criteria

|                 |                                                                                                                           |
|-----------------|---------------------------------------------------------------------------------------------------------------------------|
| End point title | Percentage of Participants with Objective Response at the End of Induction, Determined by an IRC on the Basis of CT Scans |
|-----------------|---------------------------------------------------------------------------------------------------------------------------|

## End point description:

The plan was for the IRC to evaluate responses at the end of induction treatment using the Lugano 2014 response criteria for malignant lymphoma for a CT-based objective response: either a complete (CR) or partial response (PR). The CR criteria required a complete radiologic response with all of the following: target nodes/nodal masses must regress to less than or equal to 1.5 cm in the LD; no extralymphatic sites of disease; no non-measured or new lesions; enlarged organs regressing to normal size; and bone marrow normal by morphology (if indeterminate, immunohistochemistry negative). The PR criteria required all of the following: a  $\geq 50\%$  decrease in sum of the product of perpendicular diameters of up to 6 target measurable nodes and extranodal sites; no new lesions; non-measured lesion that is absent/normal, regressed, but no increase; and spleen must have regressed by  $>50\%$  in length. Participants without a post-baseline tumor assessment were to be considered non-responders.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

## End point timeframe:

Within 6 to 8 weeks after Day 1 of Cycle 6 (up to approximately 28 weeks; 1 cycle is 28 days)

| End point values                  | DLBCL Non-Bridging:<br>Idasanutlin 100 mg +<br>Obinutuzumab 1000 mg | DLBCL Non-Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg | DLBCL Non-Bridging:<br>Idasanutlin 200 mg +<br>Obinutuzumab 1000 mg | DLBCL Bridging:<br>Idasanutlin 150 mg +<br>Rituximab 375 mg/m <sup>2</sup> |
|-----------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------------|----------------------------------------------------------------------------|
| Subject group type                | Reporting group                                                     | Reporting group                                                     | Reporting group                                                     | Reporting group                                                            |
| Number of subjects analysed       | 0 <sup>[34]</sup>                                                   | 0 <sup>[35]</sup>                                                   | 0 <sup>[36]</sup>                                                   | 0 <sup>[37]</sup>                                                          |
| Units: Percentage of participants |                                                                     |                                                                     |                                                                     |                                                                            |
| number (confidence interval 90%)  | ( to )                                                              | ( to )                                                              | ( to )                                                              | ( to )                                                                     |

## Notes:

[34] - Sponsor terminated the study due to modest benefit achieved; objective responses were not analyzed.

[35] - Sponsor terminated the study due to modest benefit achieved; objective responses were not analyzed.

[36] - Sponsor terminated the study due to modest benefit achieved; objective responses were not analyzed.

[37] - Sponsor terminated the study due to modest benefit achieved; objective responses were not analyzed.

| End point values                  | DLBCL Bridging:<br>Idasanutlin 200 mg +<br>Rituximab 375 mg/m <sup>2</sup> | FL Non-Bridging:<br>Idasanutlin 100 mg +<br>Obinutuzumab 1000 mg | FL Non-Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg | FL Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg |
|-----------------------------------|----------------------------------------------------------------------------|------------------------------------------------------------------|------------------------------------------------------------------|--------------------------------------------------------------|
| Subject group type                | Reporting group                                                            | Reporting group                                                  | Reporting group                                                  | Reporting group                                              |
| Number of subjects analysed       | 0 <sup>[38]</sup>                                                          | 0 <sup>[39]</sup>                                                | 0 <sup>[40]</sup>                                                | 0 <sup>[41]</sup>                                            |
| Units: Percentage of participants |                                                                            |                                                                  |                                                                  |                                                              |
| number (confidence interval 90%)  | ( to )                                                                     | ( to )                                                           | ( to )                                                           | ( to )                                                       |

## Notes:

[38] - Sponsor terminated the study due to modest benefit achieved; objective responses were not analyzed.

[39] - Sponsor terminated the study due to modest benefit achieved; objective responses were not analyzed.

[40] - Sponsor terminated the study due to modest benefit achieved; objective responses were not analyzed.

[41] - Sponsor terminated the study due to modest benefit achieved; objective responses were not analyzed.

## Statistical analyses

## Secondary: Percentage of Participants with Objective Response at the End of Induction, Determined by the Investigator on the Basis of CT Scans Alone Using Lugano 2014 Criteria

|                 |                                                                                                                                                                      |
|-----------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| End point title | Percentage of Participants with Objective Response at the End of Induction, Determined by the Investigator on the Basis of CT Scans Alone Using Lugano 2014 Criteria |
|-----------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|

### End point description:

The investigator was to evaluate responses at the end of induction treatment using the Lugano 2014 response criteria for malignant lymphoma for a CT-based objective response: either a complete (CR) or partial response (PR). The CR criteria required a complete radiologic response with all of the following: target nodes/nodal masses must regress to less than or equal to 1.5 cm in the LD; no extralymphatic sites of disease; no non-measured or new lesions; enlarged organs regressing to normal size; and bone marrow normal by morphology (if indeterminate, immunohistochemistry negative). The PR criteria required all of the following: a  $\geq 50\%$  decrease in sum of the product of perpendicular diameters of up to 6 target measurable nodes and extranodal sites; no new lesions; non-measured lesion that is absent/normal, regressed, but no increase; and spleen must have regressed by  $>50\%$  in length. Participants without a post-baseline tumor assessment were to be considered non-responders.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

### End point timeframe:

Within 6 to 8 weeks after Day 1 of Cycle 6 (up to approximately 28 weeks; 1 cycle is 28 days)

| End point values                  | DLBCL Non-Bridging:<br>Idasanutlin 100 mg +<br>Obinutuzumab 1000 mg | DLBCL Non-Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg | DLBCL Non-Bridging:<br>Idasanutlin 200 mg +<br>Obinutuzumab 1000 mg | DLBCL Bridging:<br>Idasanutlin 150 mg +<br>Rituximab 375 mg/m <sup>2</sup> |
|-----------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------------|----------------------------------------------------------------------------|
| Subject group type                | Reporting group                                                     | Reporting group                                                     | Reporting group                                                     | Reporting group                                                            |
| Number of subjects analysed       | 0 <sup>[42]</sup>                                                   | 0 <sup>[43]</sup>                                                   | 0 <sup>[44]</sup>                                                   | 0 <sup>[45]</sup>                                                          |
| Units: Percentage of participants |                                                                     |                                                                     |                                                                     |                                                                            |
| number (confidence interval 90%)  | ( to )                                                              | ( to )                                                              | ( to )                                                              | ( to )                                                                     |

### Notes:

[42] - Sponsor terminated the study due to modest benefit achieved; objective responses were not analyzed.

[43] - Sponsor terminated the study due to modest benefit achieved; objective responses were not analyzed.

[44] - Sponsor terminated the study due to modest benefit achieved; objective responses were not analyzed.

[45] - Sponsor terminated the study due to modest benefit achieved; objective responses were not analyzed.

| End point values                  | DLBCL Bridging:<br>Idasanutlin 200 mg +<br>Rituximab 375 mg/m <sup>2</sup> | FL Non-Bridging:<br>Idasanutlin 100 mg +<br>Obinutuzumab 1000 mg | FL Non-Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg | FL Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg |
|-----------------------------------|----------------------------------------------------------------------------|------------------------------------------------------------------|------------------------------------------------------------------|--------------------------------------------------------------|
| Subject group type                | Reporting group                                                            | Reporting group                                                  | Reporting group                                                  | Reporting group                                              |
| Number of subjects analysed       | 0 <sup>[46]</sup>                                                          | 0 <sup>[47]</sup>                                                | 0 <sup>[48]</sup>                                                | 0 <sup>[49]</sup>                                            |
| Units: Percentage of participants |                                                                            |                                                                  |                                                                  |                                                              |
| number (confidence interval 90%)  | ( to )                                                                     | ( to )                                                           | ( to )                                                           | ( to )                                                       |

### Notes:

[46] - Sponsor terminated the study due to modest benefit achieved; objective responses were not analyzed.

[47] - Sponsor terminated the study due to modest benefit achieved; objective responses were not analyzed.

[48] - Sponsor terminated the study due to modest benefit achieved; objective responses were not analyzed.

[49] - Sponsor terminated the study due to modest benefit achieved; objective responses were not analyzed.

## Statistical analyses

No statistical analyses for this end point

### Secondary: Percentage of Participants with Best Response of Complete Response or Partial Response During the Study, Determined by the Investigator on the Basis of CT Scans Alone Using Lugano 2014 Criteria

|                 |                                                                                                                                                                                                   |
|-----------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| End point title | Percentage of Participants with Best Response of Complete Response or Partial Response During the Study, Determined by the Investigator on the Basis of CT Scans Alone Using Lugano 2014 Criteria |
|-----------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

#### End point description:

The investigator was to evaluate responses during the study using the Lugano 2014 response criteria for malignant lymphoma for a CT-based best response of a complete (CR) or partial response (PR). The CR criteria required a complete radiologic response with all of the following: target nodes/nodal masses must regress to less than or equal to 1.5 cm in the LD<sub>i</sub>; no extralymphatic sites of disease; no non-measured or new lesions; enlarged organs regressing to normal size; and bone marrow normal by morphology (if indeterminate, immunohistochemistry negative). The PR criteria required all of the following: a  $\geq 50\%$  decrease in sum of the product of perpendicular diameters of up to 6 target measurable nodes and extranodal sites; no new lesions; non-measured lesion that is absent/normal, regressed, but no increase; and spleen must have regressed by  $>50\%$  in length. Participants without a post-baseline tumor assessment were to be considered non-responders.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

#### End point timeframe:

Baseline, Cycle 2, end of induction (up to 6 cycles; 1 cycle is 28 days), every 2 months (FL) until end of maintenance or at 4 months (DLBCL) of consolidation treatment, and then every 6 months during follow-up until disease progression (up to 3.5 years)

| End point values                  | DLBCL Non-Bridging: Idasanutlin 100 mg + Obinutuzumab 1000 mg | DLBCL Non-Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg | DLBCL Non-Bridging: Idasanutlin 200 mg + Obinutuzumab 1000 mg | DLBCL Bridging: Idasanutlin 150 mg + Rituximab 375 mg/m <sup>2</sup> |
|-----------------------------------|---------------------------------------------------------------|---------------------------------------------------------------|---------------------------------------------------------------|----------------------------------------------------------------------|
| Subject group type                | Reporting group                                               | Reporting group                                               | Reporting group                                               | Reporting group                                                      |
| Number of subjects analysed       | 0 <sup>[50]</sup>                                             | 0 <sup>[51]</sup>                                             | 0 <sup>[52]</sup>                                             | 0 <sup>[53]</sup>                                                    |
| Units: Percentage of participants |                                                               |                                                               |                                                               |                                                                      |
| number (confidence interval 90%)  | ( to )                                                        | ( to )                                                        | ( to )                                                        | ( to )                                                               |

#### Notes:

[50] - Sponsor terminated the study due to modest benefit achieved; best responses were not analyzed.

[51] - Sponsor terminated the study due to modest benefit achieved; best responses were not analyzed.

[52] - Sponsor terminated the study due to modest benefit achieved; best responses were not analyzed.

[53] - Sponsor terminated the study due to modest benefit achieved; best responses were not analyzed.

| End point values | DLBCL Bridging: Idasanutlin 200 mg + Rituximab 375 | FL Non-Bridging: Idasanutlin 100 mg + Obinutuzumab | FL Non-Bridging: Idasanutlin 150 mg + Obinutuzumab | FL Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg |
|------------------|----------------------------------------------------|----------------------------------------------------|----------------------------------------------------|--------------------------------------------------------|
|------------------|----------------------------------------------------|----------------------------------------------------|----------------------------------------------------|--------------------------------------------------------|

|                                   | mg/m <sup>2</sup> | 1000 mg           | 1000 mg           |                   |
|-----------------------------------|-------------------|-------------------|-------------------|-------------------|
| Subject group type                | Reporting group   | Reporting group   | Reporting group   | Reporting group   |
| Number of subjects analysed       | 0 <sup>[54]</sup> | 0 <sup>[55]</sup> | 0 <sup>[56]</sup> | 0 <sup>[57]</sup> |
| Units: Percentage of participants |                   |                   |                   |                   |
| number (confidence interval 90%)  | ( to )            | ( to )            | ( to )            | ( to )            |

Notes:

[54] - Sponsor terminated the study due to modest benefit achieved; best responses were not analyzed.

[55] - Sponsor terminated the study due to modest benefit achieved; best responses were not analyzed.

[56] - Sponsor terminated the study due to modest benefit achieved; best responses were not analyzed.

[57] - Sponsor terminated the study due to modest benefit achieved; best responses were not analyzed.

## Statistical analyses

No statistical analyses for this end point

### Secondary: Plasma Idasanutlin Concentrations in DLBCL and FL Participants at Nominal Sampling Timepoints Grouped by Idasanutlin Dose and Combination Partner (Obinutuzumab or Rituximab)

|                 |                                                                                                                                                                                               |
|-----------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| End point title | Plasma Idasanutlin Concentrations in DLBCL and FL Participants at Nominal Sampling Timepoints Grouped by Idasanutlin Dose and Combination Partner (Obinutuzumab or Rituximab) <sup>[58]</sup> |
|-----------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

End point description:

The concentration of idasanutlin was determined using a validated assay. The duplication of the predose timepoint (0 hours) on Day 5 as an additional 24-hour timepoint on Day 5 was done in order to conduct pharmacokinetics analysis via non-compartmental analysis, and to derive idasanutlin exposure estimates up to the 24-hour post Day 5 dosing. The value '999999' in the results table indicates that the geometric mean and coefficient of variation were not available because 0 participants were analyzed at a given timepoint.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

Predose (0 hours) and 6 hours postdose on Day 1 of Cycles 1, 2, and 4; Predose (0 hours) and 2, 4, 6, and 24 hours postdose on Day 5 of Cycles 1 and 2; Predose (0 hours) and 6 and 24 hours postdose on Cycle 4, Day 5 (1 cycle is 28 days)

Notes:

[58] - The end point is not reporting statistics for all the arms in the baseline period. It is expected all the baseline period arms will be reported on when providing values for an end point on the baseline period.

Justification: Data from subjects in the DLBCL and FL arms who received idasanutlin and obinutuzumab at the same doses (i.e., 100 mg + 1000 mg and 150 mg + 1000 mg, respectively) were pooled together for this PK analysis and reported under combined subject analysis sets.

| End point values                                    | DLBCL Non-Bridging: Idasanutlin 200 mg + Obinutuzumab 1000 mg | DLBCL Bridging: Idasanutlin 150 mg + Rituximab 375 mg/m <sup>2</sup> | DLBCL Bridging: Idasanutlin 200 mg + Rituximab 375 mg/m <sup>2</sup> | DLBCL/FL Combined: Idasanutlin 100 mg + Obinutuzumab 1000 mg |
|-----------------------------------------------------|---------------------------------------------------------------|----------------------------------------------------------------------|----------------------------------------------------------------------|--------------------------------------------------------------|
| Subject group type                                  | Reporting group                                               | Reporting group                                                      | Reporting group                                                      | Subject analysis set                                         |
| Number of subjects analysed                         | 2                                                             | 3                                                                    | 4                                                                    | 3                                                            |
| Units: nanograms per millilitre (ng/mL)             |                                                               |                                                                      |                                                                      |                                                              |
| geometric mean (geometric coefficient of variation) |                                                               |                                                                      |                                                                      |                                                              |
| Cycle 1, Day 1: 0 hours (n=2,3,4,3,6)               | 0 (± 0)                                                       | 0 (± 0)                                                              | 0 (± 0)                                                              | 0 (± 0)                                                      |
| Cycle 1, Day 1: 6 hours (n=2,3,4,3,6)               | 5540 (± 21.5)                                                 | 2130 (± 91.3)                                                        | 2980 (± 49.9)                                                        | 1540 (± 21.6)                                                |
| Cycle 1, Day 5: 0 hours (n=2,3,4,3,5)               | 4120 (± 27.3)                                                 | 1660 (± 77.9)                                                        | 2920 (± 56.8)                                                        | 1150 (± 19.9)                                                |
| Cycle 1, Day 5: 2 hours (n=2,3,4,3,5)               | 7850 (± 23)                                                   | 2970 (± 53.2)                                                        | 4970 (± 45.7)                                                        | 1230 (± 20.8)                                                |
| Cycle 1, Day 5: 4 hours (n=2,3,3,3,5)               | 7930 (± 15.5)                                                 | 2910 (± 73.2)                                                        | 5910 (± 54.6)                                                        | 1670 (± 17.5)                                                |
| Cycle 1, Day 5: 6 hours (n=2,3,3,3,5)               | 7310 (± 13.6)                                                 | 2820 (± 68.7)                                                        | 5200 (± 49.8)                                                        | 1730 (± 15.5)                                                |

|                                        |                   |                   |                   |                   |
|----------------------------------------|-------------------|-------------------|-------------------|-------------------|
| Cycle 1, Day 5: 24 hours (n=2,3,4,3,5) | 4120 (± 27.3)     | 1660 (± 77.9)     | 2920 (± 56.8)     | 1150 (± 19.9)     |
| Cycle 2, Day 1: 0 hours (n=0,3,0,3,10) | 999999 (± 999999) | 0 (± 0)           | 999999 (± 999999) | 0 (± 0)           |
| Cycle 2, Day 1: 6 hours (n=0,3,0,3,10) | 999999 (± 999999) | 1260 (± 49.8)     | 999999 (± 999999) | 1660 (± 33.1)     |
| Cycle 2, Day 5: 0 hours (n=0,2,0,0,7)  | 999999 (± 999999) | 2400 (± 67)       | 999999 (± 999999) | 999999 (± 999999) |
| Cycle 2, Day 5: 2 hours (n=0,0,0,0,5)  | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Cycle 2, Day 5: 4 hours (n=0,0,0,0,5)  | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Cycle 2, Day 5: 6 hours (n=0,0,0,0,7)  | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Cycle 2, Day 5: 24 hours (n=0,0,0,0,7) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Cycle 4, Day 1: 0 hours (n=0,0,0,0,4)  | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Cycle 4, Day 1: 6 hours (n=0,0,0,0,4)  | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Cycle 4, Day 5: 0 hours (n=0,0,0,0,3)  | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Cycle 4, Day 5: 6 hours (n=0,0,0,0,3)  | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Cycle 4, Day 5: 24 hours (n=0,0,0,0,3) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |

| <b>End point values</b>                             | DLBCL/FL<br>Combined:<br>Idasanutlin 150<br>mg +<br>Obinutuzumab<br>1000 mg |  |  |  |
|-----------------------------------------------------|-----------------------------------------------------------------------------|--|--|--|
| Subject group type                                  | Subject analysis set                                                        |  |  |  |
| Number of subjects analysed                         | 12                                                                          |  |  |  |
| Units: nanograms per millilitre (ng/mL)             |                                                                             |  |  |  |
| geometric mean (geometric coefficient of variation) |                                                                             |  |  |  |
| Cycle 1, Day 1: 0 hours (n=2,3,4,3,6)               | 0 (± 0)                                                                     |  |  |  |
| Cycle 1, Day 1: 6 hours (n=2,3,4,3,6)               | 2210 (± 42.4)                                                               |  |  |  |
| Cycle 1, Day 5: 0 hours (n=2,3,4,3,5)               | 2150 (± 46.4)                                                               |  |  |  |
| Cycle 1, Day 5: 2 hours (n=2,3,4,3,5)               | 3570 (± 68.4)                                                               |  |  |  |
| Cycle 1, Day 5: 4 hours (n=2,3,3,3,5)               | 4360 (± 67.6)                                                               |  |  |  |
| Cycle 1, Day 5: 6 hours (n=2,3,3,3,5)               | 4220 (± 94.8)                                                               |  |  |  |
| Cycle 1, Day 5: 24 hours (n=2,3,4,3,5)              | 2150 (± 46.4)                                                               |  |  |  |
| Cycle 2, Day 1: 0 hours (n=0,3,0,3,10)              | 0 (± 0)                                                                     |  |  |  |
| Cycle 2, Day 1: 6 hours (n=0,3,0,3,10)              | 2480 (± 51.5)                                                               |  |  |  |
| Cycle 2, Day 5: 0 hours (n=0,2,0,0,7)               | 2380 (± 27.2)                                                               |  |  |  |
| Cycle 2, Day 5: 2 hours (n=0,0,0,0,5)               | 4050 (± 54.9)                                                               |  |  |  |
| Cycle 2, Day 5: 4 hours (n=0,0,0,0,5)               | 4200 (± 38.2)                                                               |  |  |  |
| Cycle 2, Day 5: 6 hours (n=0,0,0,0,7)               | 4010 (± 31.6)                                                               |  |  |  |
| Cycle 2, Day 5: 24 hours (n=0,0,0,0,7)              | 2380 (± 27.2)                                                               |  |  |  |
| Cycle 4, Day 1: 0 hours (n=0,0,0,0,4)               | 0 (± 0)                                                                     |  |  |  |
| Cycle 4, Day 1: 6 hours (n=0,0,0,0,4)               | 2730 (± 9.9)                                                                |  |  |  |
| Cycle 4, Day 5: 0 hours (n=0,0,0,0,3)               | 2600 (± 25.6)                                                               |  |  |  |
| Cycle 4, Day 5: 6 hours (n=0,0,0,0,3)               | 5210 (± 19.5)                                                               |  |  |  |

|                                        |                    |  |  |  |
|----------------------------------------|--------------------|--|--|--|
| Cycle 4, Day 5: 24 hours (n=0,0,0,0,3) | 2600 ( $\pm$ 25.6) |  |  |  |
|----------------------------------------|--------------------|--|--|--|

## Statistical analyses

No statistical analyses for this end point

## Secondary: Serum Obinutuzumab Concentrations in DLBCL and FL Participants at Nominal Sampling Timepoints

|                                                                                                          |                                                                                               |
|----------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|
| End point title                                                                                          | Serum Obinutuzumab Concentrations in DLBCL and FL Participants at Nominal Sampling Timepoints |
| End point description:                                                                                   |                                                                                               |
| End point type                                                                                           | Secondary                                                                                     |
| End point timeframe:                                                                                     |                                                                                               |
| Pre-infusion (0 hour) and 0.5 hours after end of obinutuzumab infusion on Day 1 of Cycles 1, 2, 4, and 6 |                                                                                               |

|                                                     |                                                                            |  |  |  |
|-----------------------------------------------------|----------------------------------------------------------------------------|--|--|--|
| <b>End point values</b>                             | DLBCL/FL:<br>Idasanutlin<br>100/150/200<br>mg +<br>Obinutuzumab<br>1000 mg |  |  |  |
| Subject group type                                  | Subject analysis set                                                       |  |  |  |
| Number of subjects analysed                         | 17                                                                         |  |  |  |
| Units: micrograms per millilitre ( $\mu$ g/mL)      |                                                                            |  |  |  |
| geometric mean (geometric coefficient of variation) |                                                                            |  |  |  |
| Cycle 1, Day 1: 0 hours (n=16)                      | 0 ( $\pm$ 0)                                                               |  |  |  |
| Cycle 1, Day 1: 0.5 hours (n=13)                    | 397 ( $\pm$ 32.9)                                                          |  |  |  |
| Cycle 2, Day 1: 0 hours (n=12)                      | 325 ( $\pm$ 59.8)                                                          |  |  |  |
| Cycle 2, Day 1: 0.5 hours (n=12)                    | 703 ( $\pm$ 40.2)                                                          |  |  |  |
| Cycle 4, Day 1: 0 hours (n=7)                       | 346 ( $\pm$ 46.1)                                                          |  |  |  |
| Cycle 4, Day 1: 0.5 hours (n=7)                     | 685 ( $\pm$ 38.5)                                                          |  |  |  |
| Cycle 6, Day 1: 0 hours (n=7)                       | 303 ( $\pm$ 49.5)                                                          |  |  |  |
| Cycle 6, Day 1: 0.5 hours (n=7)                     | 639 ( $\pm$ 34.2)                                                          |  |  |  |

## Statistical analyses

No statistical analyses for this end point

## Secondary: Serum Rituximab Concentrations in DLBCL Participants at Nominal Sampling Timepoints

|                 |                                                                                     |
|-----------------|-------------------------------------------------------------------------------------|
| End point title | Serum Rituximab Concentrations in DLBCL Participants at Nominal Sampling Timepoints |
|-----------------|-------------------------------------------------------------------------------------|

End point description:

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

Pre-infusion (0 hours) at Cycle 1, Day 1 and Cycle 2, Day 1; Post-infusion 0.5 hours at Cycle 1, Day 1 (1 cycle is 28 days)

|                                                     |                                                                                         |  |  |  |
|-----------------------------------------------------|-----------------------------------------------------------------------------------------|--|--|--|
| <b>End point values</b>                             | DLBCL<br>Combined:<br>Idasanutlin<br>150/200 mg +<br>Rituximab 375<br>mg/m <sup>2</sup> |  |  |  |
| Subject group type                                  | Subject analysis set                                                                    |  |  |  |
| Number of subjects analysed                         | 7                                                                                       |  |  |  |
| Units: micrograms per millilitre (µg/mL)            |                                                                                         |  |  |  |
| geometric mean (geometric coefficient of variation) |                                                                                         |  |  |  |
| Cycle 1, Day 1: 0 hours (n=7)                       | 0 (± 0)                                                                                 |  |  |  |
| Cycle 1, Day 1: 0.5 hours (n=6)                     | 197 (± 14.1)                                                                            |  |  |  |
| Cycle 2, Day 1: 0 hours (n=3)                       | 37.9 (± 58.1)                                                                           |  |  |  |

## Statistical analyses

No statistical analyses for this end point

## Secondary: Safety Summary of the Number of Participants with at Least One Adverse Event by Type and Severity According to the National Cancer Institute Common Terminology Criteria for Adverse Events, Version 4.0 (NCI CTCAE v4.0)

|                 |                                                                                                                                                                                                                           |
|-----------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| End point title | Safety Summary of the Number of Participants with at Least One Adverse Event by Type and Severity According to the National Cancer Institute Common Terminology Criteria for Adverse Events, Version 4.0 (NCI CTCAE v4.0) |
|-----------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

End point description:

The adverse event (AE) severity grading scale for the NCI CTCAE v4.0 was used for assessing AE severity. Any AEs that were not specifically listed in the NCI CTCAE, v4.0 were graded per the following 5 grades: Grade 1 = mild; asymptomatic or mild symptoms; clinical or diagnostic observations only; or intervention not indicated. Grade 2 = moderate; minimal, local, or non-invasive intervention indicated; or limiting age-appropriate instrumental activities of daily living. Grade 3 = severe or medically significant, but not immediately life-threatening; hospitalization or prolongation of hospitalization indicated; disabling; or limiting self-care activities of daily living. Grade 4 = life-threatening consequences or urgent intervention indicated. Grade 5 = death related to AE. The terms "severe" and "serious" are not synonymous and are independently assessed for each AE. Multiple occurrences of AEs were counted only once per participant at the highest (worst) grade.

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

From first dose until 90 days after the last dose of study drug treatment (up to 31 months)

| <b>End point values</b>                           | DLBCL Non-Bridging:<br>Idasanutlin 100 mg +<br>Obinutuzumab 1000 mg | DLBCL Non-Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg | DLBCL Non-Bridging:<br>Idasanutlin 200 mg +<br>Obinutuzumab 1000 mg | DLBCL Bridging:<br>Idasanutlin 150 mg +<br>Rituximab 375 mg/m <sup>2</sup> |
|---------------------------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------------|----------------------------------------------------------------------------|
| Subject group type                                | Reporting group                                                     | Reporting group                                                     | Reporting group                                                     | Reporting group                                                            |
| Number of subjects analysed                       | 1                                                                   | 3                                                                   | 2                                                                   | 3                                                                          |
| Units: Participants                               |                                                                     |                                                                     |                                                                     |                                                                            |
| Any Adverse Event (AE)                            | 1                                                                   | 3                                                                   | 2                                                                   | 3                                                                          |
| Grade 5 AE                                        | 0                                                                   | 0                                                                   | 0                                                                   | 0                                                                          |
| Grade 3-5 AE                                      | 1                                                                   | 3                                                                   | 2                                                                   | 2                                                                          |
| Serious AE                                        | 1                                                                   | 1                                                                   | 1                                                                   | 0                                                                          |
| AE Leading to any Study Treatment Discontinuation | 0                                                                   | 1                                                                   | 2                                                                   | 1                                                                          |
| AE Leading to Dose Reductions                     | 0                                                                   | 0                                                                   | 0                                                                   | 0                                                                          |
| AE Leading to Dose Interruptions                  | 1                                                                   | 0                                                                   | 0                                                                   | 0                                                                          |
| AE Leading to Study Discontinuation               | 0                                                                   | 0                                                                   | 0                                                                   | 0                                                                          |
| Related to Idasanutlin - Any AE                   | 1                                                                   | 2                                                                   | 2                                                                   | 2                                                                          |
| Related to Idasanutlin - Grade 3-5 AE             | 1                                                                   | 2                                                                   | 2                                                                   | 1                                                                          |
| Related to Idasanutlin - Serious AE               | 1                                                                   | 0                                                                   | 1                                                                   | 0                                                                          |
| Related to Obinutuzumab - Any AE                  | 1                                                                   | 2                                                                   | 2                                                                   | 0                                                                          |
| Related to Obinutuzumab - Grade 3-5 AE            | 1                                                                   | 1                                                                   | 2                                                                   | 0                                                                          |
| Related to Obinutuzumab - Serious AE              | 0                                                                   | 0                                                                   | 1                                                                   | 0                                                                          |
| Related to Rituximab - Any AE                     | 0                                                                   | 0                                                                   | 0                                                                   | 2                                                                          |
| Related to Rituximab - Grade 3-5 AE               | 0                                                                   | 0                                                                   | 0                                                                   | 1                                                                          |
| Related to Rituximab - Serious AE                 | 0                                                                   | 0                                                                   | 0                                                                   | 0                                                                          |
| Related AE Leading to Treatment Discontinuation   | 0                                                                   | 0                                                                   | 2                                                                   | 1                                                                          |

| <b>End point values</b>                           | DLBCL Bridging:<br>Idasanutlin 200 mg +<br>Rituximab 375 mg/m <sup>2</sup> | FL Non-Bridging:<br>Idasanutlin 100 mg +<br>Obinutuzumab 1000 mg | FL Non-Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg | FL Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg |
|---------------------------------------------------|----------------------------------------------------------------------------|------------------------------------------------------------------|------------------------------------------------------------------|--------------------------------------------------------------|
| Subject group type                                | Reporting group                                                            | Reporting group                                                  | Reporting group                                                  | Reporting group                                              |
| Number of subjects analysed                       | 4                                                                          | 2                                                                | 4                                                                | 5                                                            |
| Units: Participants                               |                                                                            |                                                                  |                                                                  |                                                              |
| Any Adverse Event (AE)                            | 4                                                                          | 2                                                                | 4                                                                | 5                                                            |
| Grade 5 AE                                        | 0                                                                          | 0                                                                | 0                                                                | 0                                                            |
| Grade 3-5 AE                                      | 3                                                                          | 1                                                                | 3                                                                | 4                                                            |
| Serious AE                                        | 2                                                                          | 1                                                                | 1                                                                | 2                                                            |
| AE Leading to any Study Treatment Discontinuation | 1                                                                          | 0                                                                | 2                                                                | 2                                                            |
| AE Leading to Dose Reductions                     | 0                                                                          | 0                                                                | 0                                                                | 0                                                            |
| AE Leading to Dose Interruptions                  | 1                                                                          | 0                                                                | 3                                                                | 1                                                            |
| AE Leading to Study Discontinuation               | 0                                                                          | 0                                                                | 0                                                                | 0                                                            |
| Related to Idasanutlin - Any AE                   | 4                                                                          | 1                                                                | 4                                                                | 5                                                            |
| Related to Idasanutlin - Grade 3-5 AE             | 2                                                                          | 1                                                                | 3                                                                | 4                                                            |
| Related to Idasanutlin - Serious AE               | 1                                                                          | 0                                                                | 0                                                                | 2                                                            |
| Related to Obinutuzumab - Any AE                  | 1                                                                          | 0                                                                | 3                                                                | 5                                                            |

|                                                 |   |   |   |   |
|-------------------------------------------------|---|---|---|---|
| Related to Obinutuzumab - Grade 3-5 AE          | 0 | 0 | 2 | 3 |
| Related to Obinutuzumab - Serious AE            | 0 | 0 | 1 | 1 |
| Related to Rituximab - Any AE                   | 2 | 0 | 0 | 0 |
| Related to Rituximab - Grade 3-5 AE             | 0 | 0 | 0 | 0 |
| Related to Rituximab - Serious AE               | 0 | 0 | 0 | 0 |
| Related AE Leading to Treatment Discontinuation | 1 | 0 | 2 | 1 |

## Statistical analyses

No statistical analyses for this end point

## Secondary: Baseline Value and Change from Baseline Values of Systolic Blood Pressure at Specified Timepoints

|                 |                                                                                                   |
|-----------------|---------------------------------------------------------------------------------------------------|
| End point title | Baseline Value and Change from Baseline Values of Systolic Blood Pressure at Specified Timepoints |
|-----------------|---------------------------------------------------------------------------------------------------|

End point description:

Vital signs were measured prior to the infusion while the participant was in a seated position. The change from baseline value was calculated by subtracting the post-baseline value from the baseline value. The value '999999' in the results table indicates that the mean and standard deviation are not available because 0 participants were analyzed at a given timepoint. The value '9999999' in the results table indicates that the standard deviation could not be calculated using data from a single participant. Maint. = maintenance

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

Baseline, Days 1, 8, and 15 of Cycle 1, Day 1 of Cycles 2-6 (up to 6 cycles; 1 cycle is 28 days), and then every 2 months (FL) or every month (DLBCL) until end of maintenance or consolidation treatment, respectively (up to 29 months)

| End point values                                   | DLBCL Non-Bridging: Idasanutlin 100 mg + Obinutuzumab 1000 mg | DLBCL Non-Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg | DLBCL Non-Bridging: Idasanutlin 200 mg + Obinutuzumab 1000 mg | DLBCL Bridging: Idasanutlin 150 mg + Rituximab 375 mg/m <sup>2</sup> |
|----------------------------------------------------|---------------------------------------------------------------|---------------------------------------------------------------|---------------------------------------------------------------|----------------------------------------------------------------------|
| Subject group type                                 | Reporting group                                               | Reporting group                                               | Reporting group                                               | Reporting group                                                      |
| Number of subjects analysed                        | 1                                                             | 3                                                             | 2                                                             | 3                                                                    |
| Units: millimeters of mercury (mmHg)               |                                                               |                                                               |                                                               |                                                                      |
| arithmetic mean (standard deviation)               |                                                               |                                                               |                                                               |                                                                      |
| Baseline (BL) Value at Visit (n=1,3,2,3,4,2,4,5)   | 176.0 (± 9999999)                                             | 124.0 (± 7.9)                                                 | 107.5 (± 2.1)                                                 | 125.7 (± 39.4)                                                       |
| Change from BL: Cycle 1 Day 1 (n=1,3,2,3,4,2,4,5)  | -34.0 (± 9999999)                                             | -2.0 (± 10.5)                                                 | -5.0 (± 4.2)                                                  | -2.7 (± 14.6)                                                        |
| Change from BL: Cycle 1 Day 8 (n=1,3,2,0,0,2,4,5)  | -4.0 (± 9999999)                                              | -5.0 (± 8.5)                                                  | -7.0 (± 8.5)                                                  | 999999 (± 999999)                                                    |
| Change from BL: Cycle 1 Day 15 (n=1,3,0,0,0,2,3,5) | 24.0 (± 9999999)                                              | -5.3 (± 14.6)                                                 | 999999 (± 999999)                                             | 999999 (± 999999)                                                    |
| Change from BL: Cycle 2 Day 1 (n=1,2,0,2,0,1,2,5)  | -17.0 (± 9999999)                                             | -3.5 (± 19.1)                                                 | 999999 (± 999999)                                             | 0.0 (± 39.6)                                                         |
| Change from BL: Cycle 3 Day 1 (n=1,1,0,0,0,2,2,3)  | -1.0 (± 9999999)                                              | 11.0 (± 9999999)                                              | 999999 (± 999999)                                             | 999999 (± 999999)                                                    |

|                                                      |                   |                   |                   |                   |
|------------------------------------------------------|-------------------|-------------------|-------------------|-------------------|
| Change from BL: Cycle 4 Day 1<br>(n=1,0,0,0,2,2,1)   | -22.0 (± 9999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL: Cycle 5 Day 1<br>(n=1,0,0,0,2,2,2)   | -21.0 (± 9999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL: Cycle 6 Day 1<br>(n=0,0,0,0,1,1,2)   | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL: Maint. Month 1<br>(n=0,0,0,0,1,0,2)  | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL: Maint. Month 3<br>(n=0,0,0,0,1,0,2)  | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL: Maint. Month 5<br>(n=0,0,0,0,1,0,1)  | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL: Maint. Month 7<br>(n=0,0,0,0,1,0,1)  | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL: Maint. Month 9<br>(n=0,0,0,0,1,0,1)  | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL: Maint. Month 11<br>(n=0,0,0,0,1,0,0) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL: Maint. Month 13<br>(n=0,0,0,0,1,0,0) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL: Maint. Month 15<br>(n=0,0,0,0,1,0,0) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL: Maint. Month 17<br>(n=0,0,0,0,1,0,0) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL: Maint. Month 19<br>(n=0,0,0,0,1,0,0) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL: Maint. Month 21<br>(n=0,0,0,0,1,0,0) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL: Follow-Up<br>(n=1,0,2,2,2,2,4,4)     | -32.0 (± 9999999) | 999999 (± 999999) | -3.5 (± 9.2)      | -25.0 (± 55.2)    |

| End point values                                      | DLBCL Bridging:<br>Idasanutlin 200 mg +<br>Rituximab 375 mg/m <sup>2</sup> | FL Non-Bridging:<br>Idasanutlin 100 mg +<br>Obinutuzumab 1000 mg | FL Non-Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg | FL Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg |
|-------------------------------------------------------|----------------------------------------------------------------------------|------------------------------------------------------------------|------------------------------------------------------------------|--------------------------------------------------------------|
| Subject group type                                    | Reporting group                                                            | Reporting group                                                  | Reporting group                                                  | Reporting group                                              |
| Number of subjects analysed                           | 4                                                                          | 2                                                                | 4                                                                | 5                                                            |
| Units: millimeters of mercury (mmHg)                  |                                                                            |                                                                  |                                                                  |                                                              |
| arithmetic mean (standard deviation)                  |                                                                            |                                                                  |                                                                  |                                                              |
| Baseline (BL) Value at Visit<br>(n=1,3,2,3,4,2,4,5)   | 119.0 (± 16.8)                                                             | 120.5 (± 17.7)                                                   | 109.3 (± 9.1)                                                    | 116.4 (± 10.5)                                               |
| Change from BL: Cycle 1 Day 1<br>(n=1,3,2,3,4,2,4,5)  | -0.8 (± 10.6)                                                              | -3.5 (± 10.6)                                                    | 3.5 (± 9.4)                                                      | 4.8 (± 14.8)                                                 |
| Change from BL: Cycle 1 Day 8<br>(n=1,3,2,0,0,2,4,5)  | 999999 (± 999999)                                                          | -6.5 (± 6.4)                                                     | -2.3 (± 15.2)                                                    | 6.4 (± 10.3)                                                 |
| Change from BL: Cycle 1 Day 15<br>(n=1,3,0,0,0,2,3,5) | 999999 (± 999999)                                                          | -3.5 (± 7.8)                                                     | 9.7 (± 13.4)                                                     | 8.0 (± 12.9)                                                 |
| Change from BL: Cycle 2 Day 1<br>(n=1,2,0,2,0,1,2,5)  | 999999 (± 999999)                                                          | -14.0 (± 9999999)                                                | 4.5 (± 4.9)                                                      | 8.6 (± 10.4)                                                 |
| Change from BL: Cycle 3 Day 1<br>(n=1,1,0,0,0,2,2,3)  | 999999 (± 999999)                                                          | -13.5 (± 3.5)                                                    | 10.5 (± 2.1)                                                     | 7.0 (± 8.9)                                                  |
| Change from BL: Cycle 4 Day 1<br>(n=1,0,0,0,0,2,2,1)  | 999999 (± 999999)                                                          | 12.5 (± 27.6)                                                    | 2.0 (± 1.4)                                                      | -10.0 (± 9999999)                                            |
| Change from BL: Cycle 5 Day 1<br>(n=1,0,0,0,0,2,2,2)  | 999999 (± 999999)                                                          | 0.5 (± 4.9)                                                      | 12.5 (± 9.2)                                                     | 8.5 (± 9.2)                                                  |

|                                                        |                      |                     |                      |                      |
|--------------------------------------------------------|----------------------|---------------------|----------------------|----------------------|
| Change from BL: Cycle 6 Day 1<br>(n=0,0,0,0,0,1,1,2)   | 999999 (±<br>999999) | -2.0 (±<br>999999)  | 20.0 (±<br>999999)   | 0.5 (± 0.7)          |
| Change from BL: Maint. Month 1<br>(n=0,0,0,0,0,1,0,2)  | 999999 (±<br>999999) | -14.0 (±<br>999999) | 999999 (±<br>999999) | 14.0 (± 9.9)         |
| Change from BL: Maint. Month 3<br>(n=0,0,0,0,0,1,0,2)  | 999999 (±<br>999999) | 9.0 (±<br>999999)   | 999999 (±<br>999999) | 7.5 (± 10.6)         |
| Change from BL: Maint. Month 5<br>(n=0,0,0,0,0,1,0,1)  | 999999 (±<br>999999) | -12.0 (±<br>999999) | 999999 (±<br>999999) | 9.0 (±<br>999999)    |
| Change from BL: Maint. Month 7<br>(n=0,0,0,0,0,1,0,1)  | 999999 (±<br>999999) | -5.0 (±<br>999999)  | 999999 (±<br>999999) | 0.0 (±<br>999999)    |
| Change from BL: Maint. Month 9<br>(n=0,0,0,0,0,1,0,1)  | 999999 (±<br>999999) | -3.0 (±<br>999999)  | 999999 (±<br>999999) | 7.0 (±<br>999999)    |
| Change from BL: Maint. Month 11<br>(n=0,0,0,0,0,1,0,0) | 999999 (±<br>999999) | -5.0 (±<br>999999)  | 999999 (±<br>999999) | 999999 (±<br>999999) |
| Change from BL: Maint. Month 13<br>(n=0,0,0,0,0,1,0,0) | 999999 (±<br>999999) | -5.0 (±<br>999999)  | 999999 (±<br>999999) | 999999 (±<br>999999) |
| Change from BL: Maint. Month 15<br>(n=0,0,0,0,0,1,0,0) | 999999 (±<br>999999) | -9.0 (±<br>999999)  | 999999 (±<br>999999) | 999999 (±<br>999999) |
| Change from BL: Maint. Month 17<br>(n=0,0,0,0,0,1,0,0) | 999999 (±<br>999999) | -35.0 (±<br>999999) | 999999 (±<br>999999) | 999999 (±<br>999999) |
| Change from BL: Maint. Month 19<br>(n=0,0,0,0,0,1,0,0) | 999999 (±<br>999999) | 2.0 (±<br>999999)   | 999999 (±<br>999999) | 999999 (±<br>999999) |
| Change from BL: Maint. Month 21<br>(n=0,0,0,0,0,1,0,0) | 999999 (±<br>999999) | -21.0 (±<br>999999) | 999999 (±<br>999999) | 999999 (±<br>999999) |
| Change from BL: Follow-Up<br>(n=1,0,2,2,2,2,4,4)       | -3.5 (± 0.7)         | 9.5 (± 3.5)         | 20.0 (± 15.5)        | -1.3 (± 9.0)         |

## Statistical analyses

No statistical analyses for this end point

## Secondary: Baseline Value and Change from Baseline Values of Diastolic Blood Pressure at Specified Timepoints

|                 |                                                                                                    |
|-----------------|----------------------------------------------------------------------------------------------------|
| End point title | Baseline Value and Change from Baseline Values of Diastolic Blood Pressure at Specified Timepoints |
|-----------------|----------------------------------------------------------------------------------------------------|

End point description:

Vital signs were measured prior to the infusion while the participant was in a seated position. The change from baseline value was calculated by subtracting the post-baseline value from the baseline value. The value '999999' in the results table indicates that the mean and standard deviation are not available because 0 participants were analyzed at a given timepoint. The value '9999999' in the results table indicates that the standard deviation could not be calculated using data from a single participant. Maint. = maintenance

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

Baseline, Days 1, 8, and 15 of Cycle 1, Day 1 of Cycles 2-6 (up to 6 cycles; 1 cycle is 28 days), and then every 2 months (FL) or every month (DLBCL) until end of maintenance or consolidation treatment, respectively (up to 29 months)

| End point values                     | DLBCL Non-Bridging:<br>Idasanutlin 100 mg +<br>Obinutuzumab 1000 mg | DLBCL Non-Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg | DLBCL Non-Bridging:<br>Idasanutlin 200 mg +<br>Obinutuzumab 1000 mg | DLBCL Bridging:<br>Idasanutlin 150 mg +<br>Rituximab 375 mg/m <sup>2</sup> |
|--------------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------------|----------------------------------------------------------------------------|
| Subject group type                   | Reporting group                                                     | Reporting group                                                     | Reporting group                                                     | Reporting group                                                            |
| Number of subjects analysed          | 1                                                                   | 3                                                                   | 2                                                                   | 3                                                                          |
| Units: millimeters of mercury (mmHg) |                                                                     |                                                                     |                                                                     |                                                                            |
| arithmetic mean (standard deviation) |                                                                     |                                                                     |                                                                     |                                                                            |
| Baseline (BL) Value at Visit         | 100.0 (± 9999999)                                                   | 67.3 (± 12.1)                                                       | 72.0 (± 9.9)                                                        | 72.3 (± 12.3)                                                              |
| Change from BL at Cycle 1 Day 1      | -17.0 (± 9999999)                                                   | -2.0 (± 19.5)                                                       | -2.5 (± 2.1)                                                        | 7.3 (± 23.1)                                                               |
| Change from BL at Cycle 1 Day 8      | -1.0 (± 9999999)                                                    | 1.3 (± 15.0)                                                        | -2.5 (± 6.4)                                                        | 999999 (± 999999)                                                          |
| Change from BL at Cycle 1 Day 15     | -1.0 (± 9999999)                                                    | 3.0 (± 16.4)                                                        | 999999 (± 999999)                                                   | 999999 (± 999999)                                                          |
| Change from BL at Cycle 2 Day 1      | 7.0 (± 9999999)                                                     | 0.0 (± 17.0)                                                        | 999999 (± 999999)                                                   | 3.5 (± 13.4)                                                               |
| Change from BL at Cycle 3 Day 1      | 3.0 (± 9999999)                                                     | 3.0 (± 9999999)                                                     | 999999 (± 999999)                                                   | 999999 (± 999999)                                                          |
| Change from BL at Cycle 4 Day 1      | -4.0 (± 9999999)                                                    | 999999 (± 999999)                                                   | 999999 (± 999999)                                                   | 999999 (± 999999)                                                          |
| Change from BL at Cycle 5 Day 1      | -2.0 (± 9999999)                                                    | 999999 (± 999999)                                                   | 999999 (± 999999)                                                   | 999999 (± 999999)                                                          |
| Change from BL at Cycle 6 Day 1      | 999999 (± 999999)                                                   | 999999 (± 999999)                                                   | 999999 (± 999999)                                                   | 999999 (± 999999)                                                          |
| Change from BL at Maint. Month 1     | 999999 (± 999999)                                                   | 999999 (± 999999)                                                   | 999999 (± 999999)                                                   | 999999 (± 999999)                                                          |
| Change from BL at Maint. Month 3     | 999999 (± 999999)                                                   | 999999 (± 999999)                                                   | 999999 (± 999999)                                                   | 999999 (± 999999)                                                          |
| Change from BL at Maint. Month 5     | 999999 (± 999999)                                                   | 999999 (± 999999)                                                   | 999999 (± 999999)                                                   | 999999 (± 999999)                                                          |
| Change from BL at Maint. Month 7     | 999999 (± 999999)                                                   | 999999 (± 999999)                                                   | 999999 (± 999999)                                                   | 999999 (± 999999)                                                          |
| Change from BL at Maint. Month 9     | 999999 (± 999999)                                                   | 999999 (± 999999)                                                   | 999999 (± 999999)                                                   | 999999 (± 999999)                                                          |
| Change from BL at Maint. Month 11    | 999999 (± 999999)                                                   | 999999 (± 999999)                                                   | 999999 (± 999999)                                                   | 999999 (± 999999)                                                          |
| Change from BL at Maint. Month 13    | 999999 (± 999999)                                                   | 999999 (± 999999)                                                   | 999999 (± 999999)                                                   | 999999 (± 999999)                                                          |
| Change from BL at Maint. Month 15    | 999999 (± 999999)                                                   | 999999 (± 999999)                                                   | 999999 (± 999999)                                                   | 999999 (± 999999)                                                          |
| Change from BL at Maint. Month 17    | 999999 (± 999999)                                                   | 999999 (± 999999)                                                   | 999999 (± 999999)                                                   | 999999 (± 999999)                                                          |
| Change from BL at Maint. Month 19    | 999999 (± 999999)                                                   | 999999 (± 999999)                                                   | 999999 (± 999999)                                                   | 999999 (± 999999)                                                          |
| Change from BL at Maint. Month 21    | 999999 (± 999999)                                                   | 999999 (± 999999)                                                   | 999999 (± 999999)                                                   | 999999 (± 999999)                                                          |
| Change from BL at Follow-Up          | -16.0 (± 9999999)                                                   | 999999 (± 999999)                                                   | -9.0 (± 21.2)                                                       | -15.5 (± 20.5)                                                             |

| End point values | DLBCL Bridging:<br>Idasanutlin 200 mg +<br>Rituximab 375 mg/m <sup>2</sup> | FL Non-Bridging:<br>Idasanutlin 100 mg +<br>Obinutuzumab 1000 mg | FL Non-Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg | FL Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg |
|------------------|----------------------------------------------------------------------------|------------------------------------------------------------------|------------------------------------------------------------------|--------------------------------------------------------------|
|------------------|----------------------------------------------------------------------------|------------------------------------------------------------------|------------------------------------------------------------------|--------------------------------------------------------------|

| Subject group type                   | Reporting group   | Reporting group   | Reporting group   | Reporting group   |
|--------------------------------------|-------------------|-------------------|-------------------|-------------------|
| Number of subjects analysed          | 4                 | 2                 | 4                 | 5                 |
| Units: millimeters of mercury (mmHg) |                   |                   |                   |                   |
| arithmetic mean (standard deviation) |                   |                   |                   |                   |
| Baseline (BL) Value at Visit         | 70.3 (± 7.6)      | 71.5 (± 9.2)      | 76.3 (± 12.7)     | 65.8 (± 6.9)      |
| Change from BL at Cycle 1 Day 1      | -2.3 (± 7.4)      | -2.0 (± 4.2)      | 3.0 (± 4.9)       | 6.4 (± 2.1)       |
| Change from BL at Cycle 1 Day 8      | 999999 (± 999999) | -2.0 (± 0.0)      | -6.5 (± 1.3)      | 9.2 (± 8.5)       |
| Change from BL at Cycle 1 Day 15     | 999999 (± 999999) | 6.0 (± 4.2)       | 0.0 (± 6.6)       | 2.4 (± 8.3)       |
| Change from BL at Cycle 2 Day 1      | 999999 (± 999999) | 0.0 (± 9999999)   | -8.0 (± 2.8)      | 12.0 (± 5.1)      |
| Change from BL at Cycle 3 Day 1      | 999999 (± 999999) | -5.5 (± 6.4)      | -1.0 (± 4.2)      | 5.3 (± 5.0)       |
| Change from BL at Cycle 4 Day 1      | 999999 (± 999999) | 3.0 (± 8.5)       | -8.0 (± 7.1)      | 7.0 (± 9999999)   |
| Change from BL at Cycle 5 Day 1      | 999999 (± 999999) | 3.5 (± 0.7)       | -2.0 (± 7.1)      | 2.5 (± 4.9)       |
| Change from BL at Cycle 6 Day 1      | 999999 (± 999999) | 10.0 (± 9999999)  | 9.0 (± 9999999)   | 2.5 (± 3.5)       |
| Change from BL at Maint. Month 1     | 999999 (± 999999) | 0.0 (± 9999999)   | 999999 (± 999999) | 2.0 (± 0.0)       |
| Change from BL at Maint. Month 3     | 999999 (± 999999) | 14.0 (± 9999999)  | 999999 (± 999999) | 5.0 (± 8.5)       |
| Change from BL at Maint. Month 5     | 999999 (± 999999) | -7.0 (± 9999999)  | 999999 (± 999999) | -5.0 (± 9999999)  |
| Change from BL at Maint. Month 7     | 999999 (± 999999) | 7.0 (± 9999999)   | 999999 (± 999999) | -1.0 (± 9999999)  |
| Change from BL at Maint. Month 9     | 999999 (± 999999) | 6.0 (± 9999999)   | 999999 (± 999999) | 3.0 (± 9999999)   |
| Change from BL at Maint. Month 11    | 999999 (± 999999) | -13.0 (± 9999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 13    | 999999 (± 999999) | 1.0 (± 9999999)   | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 15    | 999999 (± 999999) | 9.0 (± 9999999)   | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 17    | 999999 (± 999999) | -4.0 (± 9999999)  | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 19    | 999999 (± 999999) | 7.0 (± 9999999)   | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 21    | 999999 (± 999999) | 0.0 (± 9999999)   | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Follow-Up          | 4.0 (± 4.2)       | 10.5 (± 2.1)      | 7.5 (± 20.2)      | 9.3 (± 3.3)       |

## Statistical analyses

No statistical analyses for this end point

## Secondary: Baseline Value and Change from Baseline Values of Pulse Rate at Specified Timepoints

|                 |                                                                                      |
|-----------------|--------------------------------------------------------------------------------------|
| End point title | Baseline Value and Change from Baseline Values of Pulse Rate at Specified Timepoints |
|-----------------|--------------------------------------------------------------------------------------|

End point description:

Vital signs were measured prior to the infusion while the participant was in a seated position. The change from baseline value was calculated by subtracting the post-baseline value from the baseline value. The value '999999' in the results table indicates that the mean and standard deviation are not

available because 0 participants were analyzed at a given timepoint. The value '9999999' in the results table indicates that the standard deviation could not be calculated using data from a single participant. Maint. = maintenance

|                                                                                                                                                                                                                                           |           |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|
| End point type                                                                                                                                                                                                                            | Secondary |
| End point timeframe:                                                                                                                                                                                                                      |           |
| Baseline, Days 1, 8, and 15 of Cycle 1, Day 1 of Cycles 2-6 (up to 6 cycles; 1 cycle is 28 days), and then every 2 months (FL) or every month (DLBCL) until end of maintenance or consolidation treatment, respectively (up to 29 months) |           |

| End point values                     | DLBCL Non-Bridging: Idasanutlin 100 mg + Obinutuzumab 1000 mg | DLBCL Non-Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg | DLBCL Non-Bridging: Idasanutlin 200 mg + Obinutuzumab 1000 mg | DLBCL Bridging: Idasanutlin 150 mg + Rituximab 375 mg/m <sup>2</sup> |
|--------------------------------------|---------------------------------------------------------------|---------------------------------------------------------------|---------------------------------------------------------------|----------------------------------------------------------------------|
| Subject group type                   | Reporting group                                               | Reporting group                                               | Reporting group                                               | Reporting group                                                      |
| Number of subjects analysed          | 1                                                             | 3                                                             | 2                                                             | 3                                                                    |
| Units: beats per minute              |                                                               |                                                               |                                                               |                                                                      |
| arithmetic mean (standard deviation) |                                                               |                                                               |                                                               |                                                                      |
| Baseline (BL) - Value at Visit       | 73.0 (± 9999999)                                              | 65.7 (± 1.2)                                                  | 100.5 (± 17.7)                                                | 81.7 (± 3.1)                                                         |
| Change from BL at Cycle 1 Day 1      | 10.0 (± 9999999)                                              | 15.7 (± 21.5)                                                 | -9.0 (± 8.5)                                                  | 0.0 (± 9.8)                                                          |
| Change from BL at Cycle 1 Day 8      | -2.0 (± 9999999)                                              | 11.0 (± 2.0)                                                  | -2.0 (± 1.4)                                                  | 999999 (± 999999)                                                    |
| Change from BL at Cycle 1 Day 15     | -11.0 (± 9999999)                                             | 12.7 (± 13.4)                                                 | 999999 (± 999999)                                             | 999999 (± 999999)                                                    |
| Change from BL at Cycle 2 Day 1      | 4.0 (± 9999999)                                               | 17.5 (± 17.7)                                                 | 999999 (± 999999)                                             | 7.0 (± 1.4)                                                          |
| Change from BL at Cycle 3 Day 1      | -1.0 (± 9999999)                                              | 19.0 (± 9999999)                                              | 999999 (± 999999)                                             | 999999 (± 999999)                                                    |
| Change from BL at Cycle 4 Day 1      | 1.0 (± 9999999)                                               | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                                    |
| Change from BL at Cycle 5 Day 1      | 15.0 (± 9999999)                                              | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                                    |
| Change from BL at Cycle 6 Day 1      | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                                    |
| Change from BL at Maint. Month 1     | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                                    |
| Change from BL at Maint. Month 3     | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                                    |
| Change from BL at Maint. Month 5     | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                                    |
| Change from BL at Maint. Month 7     | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                                    |
| Change from BL at Maint. Month 9     | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                                    |
| Change from BL at Maint. Month 11    | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                                    |
| Change from BL at Maint. Month 13    | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                                    |
| Change from BL at Maint. Month 15    | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                                    |
| Change from BL at Maint. Month 17    | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                                    |
| Change from BL at Maint. Month 19    | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                                    |

|                                   |                   |                   |                   |                   |
|-----------------------------------|-------------------|-------------------|-------------------|-------------------|
| Change from BL at Maint. Month 21 | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Follow-Up       | 15.0 (± 999999)   | 999999 (± 999999) | -20.0 (± 18.4)    | 11.5 (± 10.6)     |

| <b>End point values</b>              | DLBCL Bridging: Idasanutlin 200 mg + Rituximab 375 mg/m <sup>2</sup> | FL Non-Bridging: Idasanutlin 100 mg + Obinutuzumab 1000 mg | FL Non-Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg | FL Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg |
|--------------------------------------|----------------------------------------------------------------------|------------------------------------------------------------|------------------------------------------------------------|--------------------------------------------------------|
| Subject group type                   | Reporting group                                                      | Reporting group                                            | Reporting group                                            | Reporting group                                        |
| Number of subjects analysed          | 4                                                                    | 2                                                          | 4                                                          | 5                                                      |
| Units: beats per minute              |                                                                      |                                                            |                                                            |                                                        |
| arithmetic mean (standard deviation) |                                                                      |                                                            |                                                            |                                                        |
| Baseline (BL) - Value at Visit       | 76.0 (± 10.6)                                                        | 77.5 (± 12.0)                                              | 79.5 (± 18.6)                                              | 80.2 (± 9.9)                                           |
| Change from BL at Cycle 1 Day 1      | 8.8 (± 12.0)                                                         | 2.5 (± 14.8)                                               | 2.3 (± 6.4)                                                | 9.0 (± 11.1)                                           |
| Change from BL at Cycle 1 Day 8      | 999999 (± 999999)                                                    | -0.5 (± 20.5)                                              | 2.3 (± 6.4)                                                | 9.0 (± 11.1)                                           |
| Change from BL at Cycle 1 Day 15     | 999999 (± 999999)                                                    | -3.5 (± 16.3)                                              | -1.7 (± 13.1)                                              | 3.2 (± 14.5)                                           |
| Change from BL at Cycle 2 Day 1      | 999999 (± 999999)                                                    | -17.0 (± 999999)                                           | -2.5 (± 4.9)                                               | 6.4 (± 9.7)                                            |
| Change from BL at Cycle 3 Day 1      | 999999 (± 999999)                                                    | -1.5 (± 16.3)                                              | -4.5 (± 20.5)                                              | -3.5 (± 10.6)                                          |
| Change from BL at Cycle 4 Day 1      | 999999 (± 999999)                                                    | -7.0 (± 12.7)                                              | -5.0 (± 5.7)                                               | 4.0 (± 999999)                                         |
| Change from BL at Cycle 5 Day 1      | 999999 (± 999999)                                                    | -6.5 (± 10.6)                                              | 3.0 (± 28.3)                                               | 6.5 (± 4.9)                                            |
| Change from BL at Cycle 6 Day 1      | 999999 (± 999999)                                                    | -1.0 (± 999999)                                            | 31.0 (± 999999)                                            | 15.0 (± 2.8)                                           |
| Change from BL at Maint. Month 1     | 999999 (± 999999)                                                    | 6.0 (± 999999)                                             | 999999 (± 999999)                                          | 10.0 (± 5.7)                                           |
| Change from BL at Maint. Month 3     | 999999 (± 999999)                                                    | 0.0 (± 999999)                                             | 999999 (± 999999)                                          | 2.0 (± 9.9)                                            |
| Change from BL at Maint. Month 5     | 999999 (± 999999)                                                    | -5.0 (± 999999)                                            | 999999 (± 999999)                                          | 11.0 (± 999999)                                        |
| Change from BL at Maint. Month 7     | 999999 (± 999999)                                                    | 1.0 (± 999999)                                             | 999999 (± 999999)                                          | 2.0 (± 999999)                                         |
| Change from BL at Maint. Month 9     | 999999 (± 999999)                                                    | 6.0 (± 999999)                                             | 999999 (± 999999)                                          | 11.0 (± 999999)                                        |
| Change from BL at Maint. Month 11    | 999999 (± 999999)                                                    | 18.0 (± 999999)                                            | 999999 (± 999999)                                          | 999999 (± 999999)                                      |
| Change from BL at Maint. Month 13    | 999999 (± 999999)                                                    | 11.0 (± 999999)                                            | 999999 (± 999999)                                          | 999999 (± 999999)                                      |
| Change from BL at Maint. Month 15    | 999999 (± 999999)                                                    | 2.0 (± 999999)                                             | 999999 (± 999999)                                          | 999999 (± 999999)                                      |
| Change from BL at Maint. Month 17    | 999999 (± 999999)                                                    | -2.0 (± 999999)                                            | 999999 (± 999999)                                          | 999999 (± 999999)                                      |
| Change from BL at Maint. Month 19    | 999999 (± 999999)                                                    | 1.0 (± 999999)                                             | 999999 (± 999999)                                          | 999999 (± 999999)                                      |
| Change from BL at Maint. Month 21    | 999999 (± 999999)                                                    | 13.0 (± 999999)                                            | 999999 (± 999999)                                          | 999999 (± 999999)                                      |
| Change from BL at Follow-Up          | 23.5 (± 20.5)                                                        | 15.0 (± 8.5)                                               | 5.8 (± 14.8)                                               | 10.3 (± 9.2)                                           |

## Statistical analyses

No statistical analyses for this end point

### Secondary: Baseline Value and Change from Baseline Values of Respiratory Rate at Specified Timepoints

|                 |                                                                                            |
|-----------------|--------------------------------------------------------------------------------------------|
| End point title | Baseline Value and Change from Baseline Values of Respiratory Rate at Specified Timepoints |
|-----------------|--------------------------------------------------------------------------------------------|

End point description:

Vital signs were measured prior to the infusion while the participant was in a seated position. The change from baseline value was calculated by subtracting the post-baseline value from the baseline value. The value '999999' in the results table indicates that the mean and standard deviation are not available because 0 participants were analyzed at a given timepoint. The value '9999999' in the results table indicates that the standard deviation could not be calculated using data from a single participant. Maint. = maintenance

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

Baseline, Days 1, 8, and 15 of Cycle 1, Day 1 of Cycles 2-6 (up to 6 cycles; 1 cycle is 28 days), and then every 2 months (FL) or every month (DLBCL) until end of maintenance or consolidation treatment, respectively (up to 29 months)

| End point values                     | DLBCL Non-Bridging: Idasanutlin 100 mg + Obinutuzumab 1000 mg | DLBCL Non-Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg | DLBCL Non-Bridging: Idasanutlin 200 mg + Obinutuzumab 1000 mg | DLBCL Bridging: Idasanutlin 150 mg + Rituximab 375 mg/m <sup>2</sup> |
|--------------------------------------|---------------------------------------------------------------|---------------------------------------------------------------|---------------------------------------------------------------|----------------------------------------------------------------------|
| Subject group type                   | Reporting group                                               | Reporting group                                               | Reporting group                                               | Reporting group                                                      |
| Number of subjects analysed          | 1                                                             | 3                                                             | 2                                                             | 3                                                                    |
| Units: breaths per minute            |                                                               |                                                               |                                                               |                                                                      |
| arithmetic mean (standard deviation) |                                                               |                                                               |                                                               |                                                                      |
| Baseline (BL) - Value at Visit       | 18.0 (± 9999999)                                              | 17.0 (± 2.6)                                                  | 19.0 (± 1.4)                                                  | 17.0 (± 1.7)                                                         |
| Change from BL at Cycle 1 Day 1      | 0.0 (± 9999999)                                               | 0.7 (± 1.2)                                                   | -1.0 (± 1.4)                                                  | -0.7 (± 1.2)                                                         |
| Change from BL at Cycle 1 Day 8      | 0.0 (± 9999999)                                               | -1.7 (± 2.1)                                                  | -1.0 (± 1.4)                                                  | 999999 (± 999999)                                                    |
| Change from BL at Cycle 1 Day 15     | 0.0 (± 9999999)                                               | -3.5 (± 2.1)                                                  | 999999 (± 999999)                                             | 999999 (± 999999)                                                    |
| Change from BL at Cycle 2 Day 1      | 0.0 (± 9999999)                                               | -2.5 (± 3.5)                                                  | 999999 (± 999999)                                             | 0.5 (± 0.7)                                                          |
| Change from BL at Cycle 3 Day 1      | 2.0 (± 9999999)                                               | -4.0 (± 9999999)                                              | 999999 (± 999999)                                             | 999999 (± 999999)                                                    |
| Change from BL at Cycle 4 Day 1      | -2.0 (± 9999999)                                              | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                                    |
| Change from BL at Cycle 5 Day 1      | 0.0 (± 9999999)                                               | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                                    |
| Change from BL at Cycle 6 Day 1      | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                                    |
| Change from BL at Maint. Month 1     | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                                    |
| Change from BL at Maint. Month 3     | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                                    |
| Change from BL at Maint. Month 5     | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                                    |
| Change from BL at Maint. Month 7     | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                                    |

|                                   |                   |                   |                   |                   |
|-----------------------------------|-------------------|-------------------|-------------------|-------------------|
| Change from BL at Maint. Month 9  | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 11 | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 13 | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 15 | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 17 | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 19 | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 21 | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Follow-Up       | -2.0 (± 9999999)  | 999999 (± 999999) | -2.0 (± 2.8)      | -4.0 (± 9999999)  |

| End point values                     | DLBCL Bridging:<br>Idasanutlin 200 mg +<br>Rituximab 375 mg/m <sup>2</sup> | FL Non-Bridging:<br>Idasanutlin 100 mg +<br>Obinutuzumab 1000 mg | FL Non-Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg | FL Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg |
|--------------------------------------|----------------------------------------------------------------------------|------------------------------------------------------------------|------------------------------------------------------------------|--------------------------------------------------------------|
| Subject group type                   | Reporting group                                                            | Reporting group                                                  | Reporting group                                                  | Reporting group                                              |
| Number of subjects analysed          | 4                                                                          | 2                                                                | 4                                                                | 5                                                            |
| Units: breaths per minute            |                                                                            |                                                                  |                                                                  |                                                              |
| arithmetic mean (standard deviation) |                                                                            |                                                                  |                                                                  |                                                              |
| Baseline (BL) - Value at Visit       | 17.0 (± 2.0)                                                               | 16.0 (± 0.0)                                                     | 16.0 (± 3.3)                                                     | 16.8 (± 3.0)                                                 |
| Change from BL at Cycle 1 Day 1      | 0.3 (± 1.3)                                                                | 1.0 (± 1.4)                                                      | 2.3 (± 0.5)                                                      | 0.0 (± 0.0)                                                  |
| Change from BL at Cycle 1 Day 8      | 999999 (± 999999)                                                          | 0.0 (± 0.0)                                                      | 2.0 (± 4.0)                                                      | 0.0 (± 2.4)                                                  |
| Change from BL at Cycle 1 Day 15     | 999999 (± 999999)                                                          | 1.0 (± 1.4)                                                      | 1.7 (± 1.5)                                                      | -0.2 (± 2.7)                                                 |
| Change from BL at Cycle 2 Day 1      | 999999 (± 999999)                                                          | 0.0 (± 999999)                                                   | 4.0 (± 5.7)                                                      | 0.0 (± 4.6)                                                  |
| Change from BL at Cycle 3 Day 1      | 999999 (± 999999)                                                          | 0.0 (± 0.0)                                                      | 4.0 (± 5.7)                                                      | -1.0 (± 4.2)                                                 |
| Change from BL at Cycle 4 Day 1      | 999999 (± 999999)                                                          | 0.0 (± 0.0)                                                      | 2.0 (± 2.8)                                                      | 4.0 (± 999999)                                               |
| Change from BL at Cycle 5 Day 1      | 999999 (± 999999)                                                          | 0.0 (± 0.0)                                                      | 1.0 (± 1.4)                                                      | 0.0 (± 5.7)                                                  |
| Change from BL at Cycle 6 Day 1      | 999999 (± 999999)                                                          | -4.0 (± 999999)                                                  | 5.0 (± 999999)                                                   | -2.0 (± 2.8)                                                 |
| Change from BL at Maint. Month 1     | 999999 (± 999999)                                                          | -4.0 (± 999999)                                                  | 999999 (± 999999)                                                | -1.0 (± 4.2)                                                 |
| Change from BL at Maint. Month 3     | 999999 (± 999999)                                                          | 0.0 (± 999999)                                                   | 999999 (± 999999)                                                | -2.0 (± 2.8)                                                 |
| Change from BL at Maint. Month 5     | 999999 (± 999999)                                                          | 0.0 (± 999999)                                                   | 999999 (± 999999)                                                | -4.0 (± 999999)                                              |
| Change from BL at Maint. Month 7     | 999999 (± 999999)                                                          | 0.0 (± 999999)                                                   | 999999 (± 999999)                                                | -4.0 (± 999999)                                              |
| Change from BL at Maint. Month 9     | 999999 (± 999999)                                                          | 2.0 (± 999999)                                                   | 999999 (± 999999)                                                | -4.0 (± 999999)                                              |
| Change from BL at Maint. Month 11    | 999999 (± 999999)                                                          | 0.0 (± 999999)                                                   | 999999 (± 999999)                                                | 999999 (± 999999)                                            |
| Change from BL at Maint. Month 13    | 999999 (± 999999)                                                          | 0.0 (± 999999)                                                   | 999999 (± 999999)                                                | 999999 (± 999999)                                            |

|                                   |                   |                 |                   |                   |
|-----------------------------------|-------------------|-----------------|-------------------|-------------------|
| Change from BL at Maint. Month 15 | 999999 (± 999999) | 0.0 (± 9999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 17 | 999999 (± 999999) | 2.0 (± 9999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 19 | 999999 (± 999999) | 0.0 (± 9999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 21 | 999999 (± 999999) | 2.0 (± 9999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Follow-Up       | 0.0 (± 2.8)       | 1.0 (± 1.4)     | 3.3 (± 3.2)       | -0.7 (± 3.1)      |

## Statistical analyses

No statistical analyses for this end point

## Secondary: Baseline Value and Change from Baseline Values of Body Temperature at Specified Timepoints

|                 |                                                                                            |
|-----------------|--------------------------------------------------------------------------------------------|
| End point title | Baseline Value and Change from Baseline Values of Body Temperature at Specified Timepoints |
|-----------------|--------------------------------------------------------------------------------------------|

End point description:

Vital signs were measured prior to the infusion while the participant was in a seated position. The change from baseline value was calculated by subtracting the post-baseline value from the baseline value. The value '999999' in the results table indicates that the mean and standard deviation are not available because 0 participants were analyzed at a given timepoint. The value '9999999' in the results table indicates that the standard deviation could not be calculated using data from a single participant. Maint. = maintenance

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

Baseline, Days 1, 8, and 15 of Cycle 1, Day 1 of Cycles 2-6 (up to 6 cycles; 1 cycle is 28 days), and then every 2 months (FL) or every month (DLBCL) until end of maintenance or consolidation treatment, respectively (up to 29 months)

| End point values                     | DLBCL Non-Bridging: Idasanutlin 100 mg + Obinutuzumab 1000 mg | DLBCL Non-Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg | DLBCL Non-Bridging: Idasanutlin 200 mg + Obinutuzumab 1000 mg | DLBCL Bridging: Idasanutlin 150 mg + Rituximab 375 mg/m <sup>2</sup> |
|--------------------------------------|---------------------------------------------------------------|---------------------------------------------------------------|---------------------------------------------------------------|----------------------------------------------------------------------|
| Subject group type                   | Reporting group                                               | Reporting group                                               | Reporting group                                               | Reporting group                                                      |
| Number of subjects analysed          | 1                                                             | 3                                                             | 2                                                             | 3                                                                    |
| Units: degrees Celsius (C)           |                                                               |                                                               |                                                               |                                                                      |
| arithmetic mean (standard deviation) |                                                               |                                                               |                                                               |                                                                      |
| Baseline (BL) - Value at Visit       | 36.60 (± 9999999)                                             | 36.47 (± 0.45)                                                | 36.35 (± 0.21)                                                | 36.83 (± 0.15)                                                       |
| Change from BL at Cycle 1 Day 1      | 0.00 (± 9999999)                                              | 0.00 (± 0.92)                                                 | -0.15 (± 0.21)                                                | -0.07 (± 0.15)                                                       |
| Change from BL at Cycle 1 Day 8      | 0.10 (± 9999999)                                              | 0.37 (± 0.50)                                                 | 0.95 (± 0.85)                                                 | 999999 (± 999999)                                                    |
| Change from BL at Cycle 1 Day 15     | 0.10 (± 9999999)                                              | 0.20 (± 0.82)                                                 | 999999 (± 999999)                                             | 999999 (± 999999)                                                    |
| Change from BL at Cycle 2 Day 1      | 0.00 (± 9999999)                                              | 0.10 (± 0.14)                                                 | 999999 (± 999999)                                             | -0.30 (± 0.14)                                                       |
| Change from BL at Cycle 3 Day 1      | -0.20 (± 9999999)                                             | -0.10 (± 9999999)                                             | 999999 (± 999999)                                             | 999999 (± 999999)                                                    |

|                                   |                   |                   |                   |                   |
|-----------------------------------|-------------------|-------------------|-------------------|-------------------|
| Change from BL at Cycle 4 Day 1   | 0.00 (± 9999999)  | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Cycle 5 Day 1   | 0.20 (± 9999999)  | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Cycle 6 Day 1   | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 1  | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 3  | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 5  | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 7  | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 9  | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 11 | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 13 | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 15 | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 17 | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 19 | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 21 | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Follow-Up       | 0.10 (± 9999999)  | 999999 (± 999999) | 0.00 (± 0.14)     | -0.40 (± 0.14)    |

| <b>End point values</b>              | DLBCL Bridging: Idasanutlin 200 mg + Rituximab 375 mg/m <sup>2</sup> | FL Non-Bridging: Idasanutlin 100 mg + Obinutuzumab 1000 mg | FL Non-Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg | FL Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg |
|--------------------------------------|----------------------------------------------------------------------|------------------------------------------------------------|------------------------------------------------------------|--------------------------------------------------------|
| Subject group type                   | Reporting group                                                      | Reporting group                                            | Reporting group                                            | Reporting group                                        |
| Number of subjects analysed          | 4                                                                    | 2                                                          | 4                                                          | 5                                                      |
| Units: degrees Celsius (C)           |                                                                      |                                                            |                                                            |                                                        |
| arithmetic mean (standard deviation) |                                                                      |                                                            |                                                            |                                                        |
| Baseline (BL) - Value at Visit       | 36.58 (± 0.34)                                                       | 36.55 (± 0.21)                                             | 36.50 (± 0.18)                                             | 36.70 (± 3.3)                                          |
| Change from BL at Cycle 1 Day 1      | 0.18 (± 0.33)                                                        | 0.05 (± 0.49)                                              | 0.30 (± 0.22)                                              | -0.18 (± 0.30)                                         |
| Change from BL at Cycle 1 Day 8      | 999999 (± 999999)                                                    | 0.30 (± 0.28)                                              | -0.13 (± 0.22)                                             | 0.10 (± 0.45)                                          |
| Change from BL at Cycle 1 Day 15     | 999999 (± 999999)                                                    | 0.20 (± 0.28)                                              | -0.23 (± 0.45)                                             | -0.18 (± 0.26)                                         |
| Change from BL at Cycle 2 Day 1      | 999999 (± 999999)                                                    | -0.50 (± 9999999)                                          | -0.25 (± 0.49)                                             | -0.04 (± 0.09)                                         |
| Change from BL at Cycle 3 Day 1      | 999999 (± 999999)                                                    | -0.10 (± 0.42)                                             | -0.50 (± 0.28)                                             | -0.17 (± 0.21)                                         |
| Change from BL at Cycle 4 Day 1      | 999999 (± 999999)                                                    | 0.05 (± 0.64)                                              | 0.05 (± 0.21)                                              | -0.10 (± 9999999)                                      |
| Change from BL at Cycle 5 Day 1      | 999999 (± 999999)                                                    | 0.35 (± 0.64)                                              | -0.35 (± 0.49)                                             | -0.20 (± 0.14)                                         |
| Change from BL at Cycle 6 Day 1      | 999999 (± 999999)                                                    | 0.20 (± 9999999)                                           | 0.20 (± 9999999)                                           | -0.05 (± 0.21)                                         |

|                                   |                   |                  |                   |                   |
|-----------------------------------|-------------------|------------------|-------------------|-------------------|
| Change from BL at Maint. Month 1  | 999999 (± 999999) | 0.60 (± 9999999) | 999999 (± 999999) | -0.20 (± 0.14)    |
| Change from BL at Maint. Month 3  | 999999 (± 999999) | 0.40 (± 9999999) | 999999 (± 999999) | -0.20 (± 0.57)    |
| Change from BL at Maint. Month 5  | 999999 (± 999999) | 0.20 (± 9999999) | 999999 (± 999999) | -0.20 (± 9999999) |
| Change from BL at Maint. Month 7  | 999999 (± 999999) | 0.60 (± 9999999) | 999999 (± 999999) | -0.10 (± 9999999) |
| Change from BL at Maint. Month 9  | 999999 (± 999999) | 0.30 (± 9999999) | 999999 (± 999999) | 0.20 (± 9999999)  |
| Change from BL at Maint. Month 11 | 999999 (± 999999) | 0.70 (± 9999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 13 | 999999 (± 999999) | 0.40 (± 9999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 15 | 999999 (± 999999) | 0.70 (± 9999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 17 | 999999 (± 999999) | 0.40 (± 9999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 19 | 999999 (± 999999) | 0.80 (± 9999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Maint. Month 21 | 999999 (± 999999) | 0.50 (± 9999999) | 999999 (± 999999) | 999999 (± 999999) |
| Change from BL at Follow-Up       | 0.53 (± 0.71)     | 0.30 (± 0.57)    | -0.15 (± 0.47)    | -0.10 (± 0.24)    |

## Statistical analyses

No statistical analyses for this end point

## Secondary: Number of Participants by Electrocardiogram (ECG) Results Assessment Shift from Baseline to Specified Post-Baseline Timepoints

|                 |                                                                                                                                |
|-----------------|--------------------------------------------------------------------------------------------------------------------------------|
| End point title | Number of Participants by Electrocardiogram (ECG) Results Assessment Shift from Baseline to Specified Post-Baseline Timepoints |
|-----------------|--------------------------------------------------------------------------------------------------------------------------------|

End point description:

Single, resting, 12-lead ECG recordings were to be obtained after the participant had been resting in a supine position for at least 10 minutes. Any morphologic waveform changes or other ECG abnormalities were to be documented and clinical significance was determined based on the presence of symptoms, per the investigator's judgment. If the ECG assessment was missing at baseline then it was recorded as "Missing". The ECG results assessments are presented as the shift from baseline to post-baseline assessments at each timepoint. The value '999999' indicates that data is not available because 0 participants were analyzed. Abnorm, CS = Abnormal, Clinically Significant; Abnorm, not CS = Abnormal, not Clinically Significant; BL = baseline; C1D1 = Induction Cycle 1 Day 1; C4D1 = Induction Cycle 4 Day 1; EOI = End of Induction Treatment - Completion/Discontinuation; EOM = End of Maintenance Treatment - Completion/Discontinuation; M1 = Maintenance Month 1; U = Unscheduled Visit

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

End point timeframe:

Baseline, Induction Cycle 1 Day 1 and Cycle 4 Day 1, End of Induction (up to 6 cycles; 1 cycle is 28 days); Every 2 months during maintenance treatment from Months 1-23; End of Maintenance (up to 24 months); Unscheduled Visits (as clinically indicated)

| End point values                                      | DLBCL Non-Bridging:<br>Idasanutlin 100 mg +<br>Obinutuzumab 1000 mg | DLBCL Non-Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg | DLBCL Non-Bridging:<br>Idasanutlin 200 mg +<br>Obinutuzumab 1000 mg | DLBCL Bridging:<br>Idasanutlin 150 mg +<br>Rituximab 375 mg/m <sup>2</sup> |
|-------------------------------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------------|----------------------------------------------------------------------------|
| Subject group type                                    | Reporting group                                                     | Reporting group                                                     | Reporting group                                                     | Reporting group                                                            |
| Number of subjects analysed                           | 1                                                                   | 3                                                                   | 2                                                                   | 3                                                                          |
| Units: Participants                                   |                                                                     |                                                                     |                                                                     |                                                                            |
| C1D1: Norm at BL & Visit<br>(n=0,3,2,3,4,1,4,5)       | 999999                                                              | 1                                                                   | 1                                                                   | 0                                                                          |
| C1D1:Norm(BL) to Abnorm,not CS<br>(n=0,3,2,3,4,1,4,5) | 999999                                                              | 1                                                                   | 0                                                                   | 1                                                                          |
| C1D1:Abnorm,not CS(BL) to Norm<br>(n=0,3,2,3,4,1,4,5) | 999999                                                              | 0                                                                   | 0                                                                   | 1                                                                          |
| C1D1:Abnorm,not CS at BL&Visit<br>(n=0,3,2,3,4,1,4,5) | 999999                                                              | 1                                                                   | 1                                                                   | 1                                                                          |
| C4D1: Norm at BL & Visit<br>(n=1,0,0,0,0,2,2,2)       | 0                                                                   | 999999                                                              | 999999                                                              | 999999                                                                     |
| C4D1:Norm(BL) to Abnorm, not<br>CS(n=1,0,0,0,0,2,2,2) | 1                                                                   | 999999                                                              | 999999                                                              | 999999                                                                     |
| C4D1:Abnorm, not CS(BL) to<br>Norm(n=1,0,0,0,0,2,2,2) | 0                                                                   | 999999                                                              | 999999                                                              | 999999                                                                     |
| C4D1:Abnorm,not CS at BL&Visit<br>(n=1,0,0,0,0,2,2,2) | 0                                                                   | 999999                                                              | 999999                                                              | 999999                                                                     |
| C4D1:Missing (BL) to Norm<br>(n=1,0,0,0,0,2,2,2)      | 0                                                                   | 999999                                                              | 999999                                                              | 999999                                                                     |
| EOI: Norm at BL & Visit<br>(n=1,2,2,1,3,2,3,3)        | 0                                                                   | 0                                                                   | 1                                                                   | 0                                                                          |
| EOI:Norm(BL) to Abnorm,not CS<br>(n=1,2,2,1,3,2,3,3)  | 1                                                                   | 1                                                                   | 0                                                                   | 0                                                                          |
| EOI:Abnorm,not CS at BL&Visit<br>(n=1,2,2,1,3,2,3,3)  | 0                                                                   | 1                                                                   | 1                                                                   | 1                                                                          |
| EOI: Missing (BL) to Norm<br>(n=1,2,2,1,3,2,3,3)      | 0                                                                   | 0                                                                   | 0                                                                   | 0                                                                          |
| M1: Norm at BL & Visit<br>(n=0,0,0,0,0,0,0,2)         | 999999                                                              | 999999                                                              | 999999                                                              | 999999                                                                     |
| M1: Abnorm,not CS at BL&Visit<br>(n=0,0,0,0,0,0,0,2)  | 999999                                                              | 999999                                                              | 999999                                                              | 999999                                                                     |
| M3: Abnorm, not CS(BL) to Norm<br>(n=0,0,0,0,0,0,0,1) | 999999                                                              | 999999                                                              | 999999                                                              | 999999                                                                     |
| M5: Abnorm, not CS(BL) to Norm<br>(n=0,0,0,0,0,0,0,1) | 999999                                                              | 999999                                                              | 999999                                                              | 999999                                                                     |
| M7:Missing(BL) to<br>Abnorm,notCS(n=0,0,0,0,0,1,0,0)  | 999999                                                              | 999999                                                              | 999999                                                              | 999999                                                                     |
| M9:Missing(BL) to<br>Abnorm,notCS(n=0,0,0,0,0,1,0,0)  | 999999                                                              | 999999                                                              | 999999                                                              | 999999                                                                     |
| M11:Missing(BL) to<br>Abnorm,notCS(n=0,0,0,0,0,1,0,0) | 999999                                                              | 999999                                                              | 999999                                                              | 999999                                                                     |
| M13:Missing(BL) to<br>Abnorm,notCS(n=0,0,0,0,0,1,0,0) | 999999                                                              | 999999                                                              | 999999                                                              | 999999                                                                     |
| M15:Missing(BL) to<br>Abnorm,notCS(n=0,0,0,0,0,1,0,0) | 999999                                                              | 999999                                                              | 999999                                                              | 999999                                                                     |
| M17:Missing(BL) to<br>Abnorm,notCS(n=0,0,0,0,0,1,0,0) | 999999                                                              | 999999                                                              | 999999                                                              | 999999                                                                     |
| M19:Missing(BL) to<br>Abnorm,notCS(n=0,0,0,0,0,1,0,0) | 999999                                                              | 999999                                                              | 999999                                                              | 999999                                                                     |
| M21:Missing(BL) to<br>Abnorm,notCS(n=0,0,0,0,0,1,0,0) | 999999                                                              | 999999                                                              | 999999                                                              | 999999                                                                     |
| M23:Missing(BL) to<br>Abnorm,notCS(n=0,0,0,0,0,1,0,0) | 999999                                                              | 999999                                                              | 999999                                                              | 999999                                                                     |

|                                                       |        |        |        |        |
|-------------------------------------------------------|--------|--------|--------|--------|
| EOM: Norm at BL & Visit<br>(n=0,0,0,0,0,1,1,0)        | 999999 | 999999 | 999999 | 999999 |
| EOM:Missing(BL) to<br>Abnorm,notCS(n=0,0,0,0,0,1,1,0) | 999999 | 999999 | 999999 | 999999 |
| U: Norm at BL & Visit<br>(n=1,0,0,0,0,1,2,2)          | 1      | 999999 | 999999 | 999999 |
| U:Norm (BL) to Abnorm, not CS<br>(n=1,0,0,0,0,1,2,2)  | 0      | 999999 | 999999 | 999999 |
| U:Abnorm, not CS(BL) to Norm<br>(n=1,0,0,0,0,1,2,2)   | 0      | 999999 | 999999 | 999999 |
| U:Abnorm,not CS at BL&Visit<br>(n=1,0,0,0,0,1,2,2)    | 0      | 999999 | 999999 | 999999 |
| U:Abnorm,notCS(BL) to<br>Abnorm,CS(n=1,0,0,0,0,1,2,2) | 0      | 999999 | 999999 | 999999 |

| <b>End point values</b>                               | DLBCL<br>Bridging:<br>Idasanutlin 200<br>mg +<br>Rituximab 375<br>mg/m <sup>2</sup> | FL Non-<br>Bridging:<br>Idasanutlin 100<br>mg +<br>Obinutuzumab<br>1000 mg | FL Non-<br>Bridging:<br>Idasanutlin 150<br>mg +<br>Obinutuzumab<br>1000 mg | FL Bridging:<br>Idasanutlin 150<br>mg +<br>Obinutuzumab<br>1000 mg |
|-------------------------------------------------------|-------------------------------------------------------------------------------------|----------------------------------------------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------|
| Subject group type                                    | Reporting group                                                                     | Reporting group                                                            | Reporting group                                                            | Reporting group                                                    |
| Number of subjects analysed                           | 4                                                                                   | 2                                                                          | 4                                                                          | 5                                                                  |
| Units: Participants                                   |                                                                                     |                                                                            |                                                                            |                                                                    |
| C1D1: Norm at BL & Visit<br>(n=0,3,2,3,4,1,4,5)       | 2                                                                                   | 0                                                                          | 4                                                                          | 2                                                                  |
| C1D1:Norm(BL) to Abnorm,not CS<br>(n=0,3,2,3,4,1,4,5) | 0                                                                                   | 0                                                                          | 0                                                                          | 0                                                                  |
| C1D1:Abnorm,not CS(BL) to Norm<br>(n=0,3,2,3,4,1,4,5) | 0                                                                                   | 0                                                                          | 0                                                                          | 1                                                                  |
| C1D1:Abnorm,not CS at BL&Visit<br>(n=0,3,2,3,4,1,4,5) | 2                                                                                   | 1                                                                          | 0                                                                          | 2                                                                  |
| C4D1: Norm at BL & Visit<br>(n=1,0,0,0,0,2,2,2)       | 999999                                                                              | 0                                                                          | 2                                                                          | 1                                                                  |
| C4D1:Norm(BL) to Abnorm, not<br>CS(n=1,0,0,0,0,2,2,2) | 999999                                                                              | 0                                                                          | 0                                                                          | 0                                                                  |
| C4D1:Abnorm, not CS(BL) to<br>Norm(n=1,0,0,0,0,2,2,2) | 999999                                                                              | 0                                                                          | 0                                                                          | 1                                                                  |
| C4D1:Abnorm,not CS at BL&Visit<br>(n=1,0,0,0,0,2,2,2) | 999999                                                                              | 1                                                                          | 0                                                                          | 0                                                                  |
| C4D1:Missing (BL) to Norm<br>(n=1,0,0,0,0,2,2,2)      | 999999                                                                              | 1                                                                          | 0                                                                          | 0                                                                  |
| EOI: Norm at BL & Visit<br>(n=1,2,2,1,3,2,3,3)        | 2                                                                                   | 0                                                                          | 3                                                                          | 2                                                                  |
| EOI:Norm(BL) to Abnorm,not CS<br>(n=1,2,2,1,3,2,3,3)  | 0                                                                                   | 0                                                                          | 0                                                                          | 0                                                                  |
| EOI:Abnorm,not CS at BL&Visit<br>(n=1,2,2,1,3,2,3,3)  | 1                                                                                   | 1                                                                          | 0                                                                          | 1                                                                  |
| EOI: Missing (BL) to Norm<br>(n=1,2,2,1,3,2,3,3)      | 0                                                                                   | 1                                                                          | 0                                                                          | 0                                                                  |
| M1: Norm at BL & Visit<br>(n=0,0,0,0,0,0,0,2)         | 999999                                                                              | 999999                                                                     | 999999                                                                     | 1                                                                  |
| M1: Abnorm,not CS at BL&Visit<br>(n=0,0,0,0,0,0,0,2)  | 999999                                                                              | 999999                                                                     | 999999                                                                     | 1                                                                  |
| M3: Abnorm, not CS(BL) to Norm<br>(n=0,0,0,0,0,0,0,1) | 999999                                                                              | 999999                                                                     | 999999                                                                     | 1                                                                  |
| M5: Abnorm, not CS(BL) to Norm<br>(n=0,0,0,0,0,0,0,1) | 999999                                                                              | 999999                                                                     | 999999                                                                     | 1                                                                  |

|                                                    |        |   |        |        |
|----------------------------------------------------|--------|---|--------|--------|
| M7:Missing(BL) to Abnorm,notCS(n=0,0,0,0,0,1,0,0)  | 999999 | 1 | 999999 | 999999 |
| M9:Missing(BL) to Abnorm,notCS(n=0,0,0,0,0,1,0,0)  | 999999 | 1 | 999999 | 999999 |
| M11:Missing(BL) to Abnorm,notCS(n=0,0,0,0,0,1,0,0) | 999999 | 1 | 999999 | 999999 |
| M13:Missing(BL) to Abnorm,notCS(n=0,0,0,0,0,1,0,0) | 999999 | 1 | 999999 | 999999 |
| M15:Missing(BL) to Abnorm,notCS(n=0,0,0,0,0,1,0,0) | 999999 | 1 | 999999 | 999999 |
| M17:Missing(BL) to Abnorm,notCS(n=0,0,0,0,0,1,0,0) | 999999 | 1 | 999999 | 999999 |
| M19:Missing(BL) to Abnorm,notCS(n=0,0,0,0,0,1,0,0) | 999999 | 1 | 999999 | 999999 |
| M21:Missing(BL) to Abnorm,notCS(n=0,0,0,0,0,1,0,0) | 999999 | 1 | 999999 | 999999 |
| M23:Missing(BL) to Abnorm,notCS(n=0,0,0,0,0,1,0,0) | 999999 | 1 | 999999 | 999999 |
| EOM: Norm at BL & Visit (n=0,0,0,0,0,1,1,0)        | 999999 | 0 | 1      | 999999 |
| EOM:Missing(BL) to Abnorm,notCS(n=0,0,0,0,0,1,1,0) | 999999 | 1 | 0      | 999999 |
| U: Norm at BL & Visit (n=1,0,0,0,0,1,2,2)          | 999999 | 0 | 1      | 0      |
| U:Norm (BL) to Abnorm, not CS (n=1,0,0,0,0,1,2,2)  | 999999 | 0 | 1      | 0      |
| U:Abnorm, not CS(BL) to Norm (n=1,0,0,0,0,1,2,2)   | 999999 | 0 | 0      | 1      |
| U:Abnorm,not CS at BL&Visit (n=1,0,0,0,0,1,2,2)    | 999999 | 1 | 0      | 0      |
| U:Abnorm,notCS(BL) to Abnorm,CS(n=1,0,0,0,0,1,2,2) | 999999 | 0 | 0      | 1      |

## Statistical analyses

No statistical analyses for this end point

## Secondary: Hematology Laboratory Test Results Shift Table: Number of Participants by Highest NCI-CTCAE v4.0 Grade at Baseline to Highest Grade Post-Baseline

|                 |                                                                                                                                                   |
|-----------------|---------------------------------------------------------------------------------------------------------------------------------------------------|
| End point title | Hematology Laboratory Test Results Shift Table: Number of Participants by Highest NCI-CTCAE v4.0 Grade at Baseline to Highest Grade Post-Baseline |
|-----------------|---------------------------------------------------------------------------------------------------------------------------------------------------|

### End point description:

Clinical laboratory tests for hematology parameters were performed at local laboratories and abnormalities (High or Low) were based on local reference ranges. Severity was determined according to NCI-CTCAE v4.0, from Grades 1 (least severe) to 4 (most severe). Grade 0 indicates that the results were within the normal range. Not every abnormal laboratory value qualified as an adverse event, only if it met any of the following criteria: clinically significant (per investigator); accompanied by clinical symptoms; resulted in a change in study treatment; or required a medical intervention or a change in concomitant therapy. For multiple post-baseline abnormalities on any given parameter, only the participant's highest grade was reported. Abs. = absolute count; BL = baseline; WBC = white blood cell count

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

### End point timeframe:

From Baseline until 35 days after the last dose of study drug (up to 29 months)

| <b>End point values</b>                                           | DLBCL Non-Bridging:<br>Idasanutlin 100 mg +<br>Obinutuzumab 1000 mg | DLBCL Non-Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg | DLBCL Non-Bridging:<br>Idasanutlin 200 mg +<br>Obinutuzumab 1000 mg | DLBCL Bridging:<br>Idasanutlin 150 mg +<br>Rituximab 375 mg/m <sup>2</sup> |
|-------------------------------------------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------------|---------------------------------------------------------------------|----------------------------------------------------------------------------|
| Subject group type                                                | Reporting group                                                     | Reporting group                                                     | Reporting group                                                     | Reporting group                                                            |
| Number of subjects analysed                                       | 1                                                                   | 3                                                                   | 2                                                                   | 3                                                                          |
| Units: Participants                                               |                                                                     |                                                                     |                                                                     |                                                                            |
| Hemoglobin (g/L), High: Grade (Gr.) 0 (BL) to 0                   | 1                                                                   | 3                                                                   | 2                                                                   | 3                                                                          |
| Hemoglobin (g/L), Low: Gr. 0 (BL) to 0                            | 0                                                                   | 0                                                                   | 0                                                                   | 0                                                                          |
| Hemoglobin (g/L), Low: Gr. 0 (BL) to 2                            | 0                                                                   | 0                                                                   | 0                                                                   | 0                                                                          |
| Hemoglobin (g/L), Low: Gr. 0 (BL) to 3                            | 0                                                                   | 0                                                                   | 0                                                                   | 0                                                                          |
| Hemoglobin (g/L), Low: Gr. 1 (BL) to 1                            | 0                                                                   | 2                                                                   | 0                                                                   | 2                                                                          |
| Hemoglobin (g/L), Low: Gr. 1 (BL) to 2                            | 0                                                                   | 1                                                                   | 1                                                                   | 0                                                                          |
| Hemoglobin (g/L), Low: Gr. 1 (BL) to 3                            | 0                                                                   | 0                                                                   | 1                                                                   | 0                                                                          |
| Hemoglobin (g/L), Low: Gr. 2 (BL) to 2                            | 1                                                                   | 0                                                                   | 0                                                                   | 0                                                                          |
| Hemoglobin (g/L), Low: Gr. 2 (BL) to 3                            | 0                                                                   | 0                                                                   | 0                                                                   | 0                                                                          |
| Hemoglobin (g/L), Low: Gr. 3 (BL) to 3                            | 0                                                                   | 0                                                                   | 0                                                                   | 1                                                                          |
| Lymphocytes Abs. (10 <sup>9</sup> /L), High: Gr. 0 (BL) to 0      | 1                                                                   | 3                                                                   | 2                                                                   | 3                                                                          |
| Lymphocytes Abs. (10 <sup>9</sup> /L), Low: Gr. 0 (BL) to 0       | 0                                                                   | 0                                                                   | 0                                                                   | 0                                                                          |
| Lymphocytes Abs. (10 <sup>9</sup> /L), Low: Gr. 0 (BL) to 1       | 0                                                                   | 0                                                                   | 0                                                                   | 1                                                                          |
| Lymphocytes Abs. (10 <sup>9</sup> /L), Low: Gr. 0 (BL) to 2       | 1                                                                   | 0                                                                   | 0                                                                   | 1                                                                          |
| Lymphocytes Abs. (10 <sup>9</sup> /L), Low: Gr. 0 (BL) to 3       | 0                                                                   | 0                                                                   | 1                                                                   | 0                                                                          |
| Lymphocytes Abs. (10 <sup>9</sup> /L), Low: Gr. 0 (BL) to 4       | 0                                                                   | 0                                                                   | 0                                                                   | 0                                                                          |
| Lymphocytes Abs. (10 <sup>9</sup> /L), Low: Gr. 1 (BL) to 0       | 0                                                                   | 0                                                                   | 0                                                                   | 0                                                                          |
| Lymphocytes Abs. (10 <sup>9</sup> /L), Low: Gr. 1 (BL) to 1       | 0                                                                   | 0                                                                   | 0                                                                   | 0                                                                          |
| Lymphocytes Abs. (10 <sup>9</sup> /L), Low: Gr. 1 (BL) to 3       | 0                                                                   | 0                                                                   | 1                                                                   | 0                                                                          |
| Lymphocytes Abs. (10 <sup>9</sup> /L), Low: Gr. 2 (BL) to 2       | 0                                                                   | 0                                                                   | 0                                                                   | 0                                                                          |
| Lymphocytes Abs. (10 <sup>9</sup> /L), Low: Gr. 2 (BL) to 4       | 0                                                                   | 1                                                                   | 0                                                                   | 0                                                                          |
| Lymphocytes Abs. (10 <sup>9</sup> /L), Low: Gr. 3 (BL) to 2       | 0                                                                   | 1                                                                   | 0                                                                   | 0                                                                          |
| Lymphocytes Abs. (10 <sup>9</sup> /L), Low: Gr. 3 (BL) to 3       | 0                                                                   | 0                                                                   | 0                                                                   | 1                                                                          |
| Lymphocytes Abs. (10 <sup>9</sup> /L), Low: Gr. 3 (BL) to 4       | 0                                                                   | 1                                                                   | 0                                                                   | 0                                                                          |
| Lymphocytes Abs. (10 <sup>9</sup> /L), Low: Gr. 4 (BL) to 4       | 0                                                                   | 0                                                                   | 0                                                                   | 0                                                                          |
| Neutrophils, Total Abs (10 <sup>9</sup> /L), Low: Gr. 0 (BL) to 0 | 0                                                                   | 2                                                                   | 0                                                                   | 2                                                                          |
| Neutrophils, Total Abs (10 <sup>9</sup> /L), Low: Gr. 0 (BL) to 1 | 0                                                                   | 0                                                                   | 0                                                                   | 0                                                                          |
| Neutrophils, Total Abs (10 <sup>9</sup> /L), Low: Gr. 0 (BL) to 2 | 0                                                                   | 1                                                                   | 0                                                                   | 0                                                                          |

|                                                           |   |   |   |   |
|-----------------------------------------------------------|---|---|---|---|
| Neutrophils, Total Abs ( $10^9/L$ ), Low: Gr. 0 (BL) to 3 | 1 | 0 | 0 | 1 |
| Neutrophils, Total Abs ( $10^9/L$ ), Low: Gr. 0 (BL) to 4 | 0 | 0 | 2 | 0 |
| Neutrophils, Total Abs ( $10^9/L$ ), Low: Gr. 2 (BL) to 4 | 0 | 0 | 0 | 0 |
| Platelets ( $10^9/L$ ), Low: Gr. 0 (BL) to 0              | 0 | 0 | 0 | 1 |
| Platelets ( $10^9/L$ ), Low: Gr. 0 (BL) to 1              | 0 | 1 | 0 | 1 |
| Platelets ( $10^9/L$ ), Low: Gr. 0 (BL) to 2              | 0 | 0 | 0 | 0 |
| Platelets ( $10^9/L$ ), Low: Gr. 0 (BL) to 3              | 1 | 1 | 0 | 0 |
| Platelets ( $10^9/L$ ), Low: Gr. 0 (BL) to 4              | 0 | 0 | 2 | 1 |
| Platelets ( $10^9/L$ ), Low: Gr. 1 (BL) to 1              | 0 | 0 | 0 | 0 |
| Platelets ( $10^9/L$ ), Low: Gr. 1 (BL) to 3              | 0 | 1 | 0 | 0 |
| Platelets ( $10^9/L$ ), Low: Gr. 1 (BL) to 4              | 0 | 0 | 0 | 0 |
| WBC ( $10^9/L$ ), High: Gr. 0 (BL) to 0                   | 1 | 3 | 2 | 3 |
| WBC ( $10^9/L$ ), Low: Gr. 0 (BL) to 0                    | 0 | 0 | 0 | 2 |
| WBC ( $10^9/L$ ), Low: Gr. 0 (BL) to 1                    | 0 | 0 | 0 | 0 |
| WBC ( $10^9/L$ ), Low: Gr. 0 (BL) to 2                    | 1 | 2 | 0 | 1 |
| WBC ( $10^9/L$ ), Low: Gr. 0 (BL) to 3                    | 0 | 0 | 2 | 0 |
| WBC ( $10^9/L$ ), Low: Gr. 0 (BL) to 4                    | 0 | 0 | 0 | 0 |
| WBC ( $10^9/L$ ), Low: Gr. 1 (BL) to 0                    | 0 | 1 | 0 | 0 |
| WBC ( $10^9/L$ ), Low: Gr. 1 (BL) to 1                    | 0 | 0 | 0 | 0 |
| WBC ( $10^9/L$ ), Low: Gr. 1 (BL) to 2                    | 0 | 0 | 0 | 0 |
| WBC ( $10^9/L$ ), Low: Gr. 1 (BL) to 3                    | 0 | 0 | 0 | 0 |

| End point values                                     | DLBCL Bridging:<br>Idasanutlin 200 mg +<br>Rituximab 375 mg/m <sup>2</sup> | FL Non-Bridging:<br>Idasanutlin 100 mg +<br>Obinutuzumab 1000 mg | FL Non-Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg | FL Bridging:<br>Idasanutlin 150 mg +<br>Obinutuzumab 1000 mg |
|------------------------------------------------------|----------------------------------------------------------------------------|------------------------------------------------------------------|------------------------------------------------------------------|--------------------------------------------------------------|
| Subject group type                                   | Reporting group                                                            | Reporting group                                                  | Reporting group                                                  | Reporting group                                              |
| Number of subjects analysed                          | 4                                                                          | 2                                                                | 4                                                                | 5                                                            |
| Units: Participants                                  |                                                                            |                                                                  |                                                                  |                                                              |
| Hemoglobin (g/L), High: Grade (Gr.) 0 (BL) to 0      | 4                                                                          | 2                                                                | 4                                                                | 5                                                            |
| Hemoglobin (g/L), Low: Gr. 0 (BL) to 0               | 0                                                                          | 1                                                                | 0                                                                | 1                                                            |
| Hemoglobin (g/L), Low: Gr. 0 (BL) to 2               | 0                                                                          | 1                                                                | 2                                                                | 0                                                            |
| Hemoglobin (g/L), Low: Gr. 0 (BL) to 3               | 0                                                                          | 0                                                                | 1                                                                | 0                                                            |
| Hemoglobin (g/L), Low: Gr. 1 (BL) to 1               | 3                                                                          | 0                                                                | 1                                                                | 1                                                            |
| Hemoglobin (g/L), Low: Gr. 1 (BL) to 2               | 0                                                                          | 0                                                                | 0                                                                | 2                                                            |
| Hemoglobin (g/L), Low: Gr. 1 (BL) to 3               | 0                                                                          | 0                                                                | 0                                                                | 0                                                            |
| Hemoglobin (g/L), Low: Gr. 2 (BL) to 2               | 1                                                                          | 0                                                                | 0                                                                | 0                                                            |
| Hemoglobin (g/L), Low: Gr. 2 (BL) to 3               | 0                                                                          | 0                                                                | 0                                                                | 1                                                            |
| Hemoglobin (g/L), Low: Gr. 3 (BL) to 3               | 0                                                                          | 0                                                                | 0                                                                | 0                                                            |
| Lymphocytes Abs. ( $10^9/L$ ), High: Gr. 0 (BL) to 0 | 4                                                                          | 2                                                                | 4                                                                | 5                                                            |
| Lymphocytes Abs. ( $10^9/L$ ), Low: Gr. 0 (BL) to 0  | 1                                                                          | 0                                                                | 1                                                                | 1                                                            |
| Lymphocytes Abs. ( $10^9/L$ ), Low: Gr. 0 (BL) to 1  | 0                                                                          | 0                                                                | 0                                                                | 0                                                            |
| Lymphocytes Abs. ( $10^9/L$ ), Low: Gr. 0 (BL) to 2  | 2                                                                          | 0                                                                | 1                                                                | 0                                                            |

|                                                           |   |   |   |   |
|-----------------------------------------------------------|---|---|---|---|
| Lymphocytes Abs. ( $10^9/L$ ), Low: Gr. 0 (BL) to 3       | 0 | 0 | 2 | 0 |
| Lymphocytes Abs. ( $10^9/L$ ), Low: Gr. 0 (BL) to 4       | 0 | 1 | 0 | 0 |
| Lymphocytes Abs. ( $10^9/L$ ), Low: Gr. 1 (BL) to 0       | 0 | 0 | 0 | 1 |
| Lymphocytes Abs. ( $10^9/L$ ), Low: Gr. 1 (BL) to 1       | 0 | 0 | 0 | 0 |
| Lymphocytes Abs. ( $10^9/L$ ), Low: Gr. 1 (BL) to 3       | 1 | 0 | 0 | 0 |
| Lymphocytes Abs. ( $10^9/L$ ), Low: Gr. 2 (BL) to 2       | 0 | 1 | 0 | 0 |
| Lymphocytes Abs. ( $10^9/L$ ), Low: Gr. 2 (BL) to 4       | 0 | 0 | 0 | 1 |
| Lymphocytes Abs. ( $10^9/L$ ), Low: Gr. 3 (BL) to 2       | 0 | 0 | 0 | 0 |
| Lymphocytes Abs. ( $10^9/L$ ), Low: Gr. 3 (BL) to 3       | 0 | 0 | 0 | 1 |
| Lymphocytes Abs. ( $10^9/L$ ), Low: Gr. 3 (BL) to 4       | 0 | 0 | 0 | 0 |
| Lymphocytes Abs. ( $10^9/L$ ), Low: Gr. 4 (BL) to 4       | 0 | 0 | 0 | 1 |
| Neutrophils, Total Abs ( $10^9/L$ ), Low: Gr. 0 (BL) to 0 | 2 | 0 | 1 | 2 |
| Neutrophils, Total Abs ( $10^9/L$ ), Low: Gr. 0 (BL) to 1 | 1 | 0 | 0 | 0 |
| Neutrophils, Total Abs ( $10^9/L$ ), Low: Gr. 0 (BL) to 2 | 0 | 1 | 0 | 1 |
| Neutrophils, Total Abs ( $10^9/L$ ), Low: Gr. 0 (BL) to 3 | 1 | 0 | 0 | 1 |
| Neutrophils, Total Abs ( $10^9/L$ ), Low: Gr. 0 (BL) to 4 | 0 | 1 | 2 | 1 |
| Neutrophils, Total Abs ( $10^9/L$ ), Low: Gr. 2 (BL) to 4 | 0 | 0 | 1 | 0 |
| Platelets ( $10^9/L$ ), Low: Gr. 0 (BL) to 0              | 0 | 0 | 0 | 0 |
| Platelets ( $10^9/L$ ), Low: Gr. 0 (BL) to 1              | 1 | 1 | 1 | 1 |
| Platelets ( $10^9/L$ ), Low: Gr. 0 (BL) to 2              | 1 | 0 | 0 | 0 |
| Platelets ( $10^9/L$ ), Low: Gr. 0 (BL) to 3              | 0 | 0 | 0 | 0 |
| Platelets ( $10^9/L$ ), Low: Gr. 0 (BL) to 4              | 2 | 1 | 2 | 1 |
| Platelets ( $10^9/L$ ), Low: Gr. 1 (BL) to 1              | 0 | 0 | 0 | 1 |
| Platelets ( $10^9/L$ ), Low: Gr. 1 (BL) to 3              | 0 | 0 | 0 | 1 |
| Platelets ( $10^9/L$ ), Low: Gr. 1 (BL) to 4              | 0 | 0 | 1 | 1 |
| WBC ( $10^9/L$ ), High: Gr. 0 (BL) to 0                   | 4 | 2 | 4 | 5 |
| WBC ( $10^9/L$ ), Low: Gr. 0 (BL) to 0                    | 2 | 0 | 0 | 1 |
| WBC ( $10^9/L$ ), Low: Gr. 0 (BL) to 1                    | 0 | 0 | 1 | 2 |
| WBC ( $10^9/L$ ), Low: Gr. 0 (BL) to 2                    | 0 | 1 | 1 | 0 |
| WBC ( $10^9/L$ ), Low: Gr. 0 (BL) to 3                    | 1 | 0 | 1 | 0 |
| WBC ( $10^9/L$ ), Low: Gr. 0 (BL) to 4                    | 0 | 1 | 0 | 1 |
| WBC ( $10^9/L$ ), Low: Gr. 1 (BL) to 0                    | 0 | 0 | 0 | 0 |
| WBC ( $10^9/L$ ), Low: Gr. 1 (BL) to 1                    | 1 | 0 | 0 | 0 |
| WBC ( $10^9/L$ ), Low: Gr. 1 (BL) to 2                    | 0 | 0 | 0 | 1 |
| WBC ( $10^9/L$ ), Low: Gr. 1 (BL) to 3                    | 0 | 0 | 1 | 0 |

## Statistical analyses

**Secondary: Blood Chemistry Laboratory Test Results Shift Table: Number of Participants by Highest NCI-CTCAE v4.0 Grade at Baseline to Highest Grade Post-Baseline**

|                 |                                                                                                                                                        |
|-----------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|
| End point title | Blood Chemistry Laboratory Test Results Shift Table: Number of Participants by Highest NCI-CTCAE v4.0 Grade at Baseline to Highest Grade Post-Baseline |
|-----------------|--------------------------------------------------------------------------------------------------------------------------------------------------------|

## End point description:

Clinical laboratory tests for blood chemistry parameters were performed at local laboratories and abnormalities (High or Low) were based on local reference ranges. Severity was determined according to NCI-CTCAE v4.0, from Grades 1 (least severe) to 4 (most severe). Grade 0 indicates that results were within the normal range. Not every abnormal laboratory value qualified as an adverse event, only if it met any of the following criteria: clinically significant (per investigator); accompanied by clinical symptoms; resulted in a change in study treatment; or required a medical intervention or a change in concomitant therapy. For multiple post-baseline abnormalities on any given parameter, only the participant's highest grade was reported. BL = Baseline; Blood Gluc., Fast. = blood glucose, fasting; SGOT/AST = serum glutamic-oxaloacetic transaminase/aspartate transaminase; SGPT/ALT = serum glutamic-pyruvic transaminase/alanine transaminase; Triacylglyc. Lipase = triacylglycerol lipase

|                |           |
|----------------|-----------|
| End point type | Secondary |
|----------------|-----------|

## End point timeframe:

From Baseline until 35 days after the last dose of study drug (up to 29 months)

| End point values                                       | DLBCL Non-Bridging: Idasanutlin 100 mg + Obinutuzumab 1000 mg | DLBCL Non-Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg | DLBCL Non-Bridging: Idasanutlin 200 mg + Obinutuzumab 1000 mg | DLBCL Bridging: Idasanutlin 150 mg + Rituximab 375 mg/m <sup>2</sup> |
|--------------------------------------------------------|---------------------------------------------------------------|---------------------------------------------------------------|---------------------------------------------------------------|----------------------------------------------------------------------|
| Subject group type                                     | Reporting group                                               | Reporting group                                               | Reporting group                                               | Reporting group                                                      |
| Number of subjects analysed                            | 1                                                             | 3                                                             | 2                                                             | 3                                                                    |
| Units: Participants                                    |                                                               |                                                               |                                                               |                                                                      |
| Albumin (g/L), Low: Grade (Gr.) 0 (BL) to 0            | 1                                                             | 3                                                             | 2                                                             | 1                                                                    |
| Albumin (g/L), Low: Grade (Gr.) 0 (BL) to 1            | 0                                                             | 0                                                             | 0                                                             | 1                                                                    |
| Albumin (g/L), Low: Grade (Gr.) 0 (BL) to 2            | 0                                                             | 0                                                             | 0                                                             | 0                                                                    |
| Albumin (g/L), Low: Grade (Gr.) 1 (BL) to 1            | 0                                                             | 0                                                             | 0                                                             | 1                                                                    |
| Alkaline Phosphatase (U/L), High: Gr. 0 (BL) to 0      | 0                                                             | 3                                                             | 2                                                             | 2                                                                    |
| Alkaline Phosphatase (U/L), High: Gr. 0 (BL) to 1      | 0                                                             | 0                                                             | 0                                                             | 0                                                                    |
| Alkaline Phosphatase (U/L), High: Gr. 1 (BL) to 1      | 1                                                             | 0                                                             | 0                                                             | 1                                                                    |
| Amylase (U/L), High: Gr. 0 (BL) to 0                   | 1                                                             | 3                                                             | 2                                                             | 3                                                                    |
| Amylase (U/L), High: Missing (BL) to Gr. 0             | 0                                                             | 0                                                             | 0                                                             | 0                                                                    |
| Amylase (U/L), High: Gr. 1 (BL) to 1                   | 0                                                             | 0                                                             | 0                                                             | 0                                                                    |
| Bilirubin (umol/L), High: Gr. 0 (BL) to 0              | 1                                                             | 3                                                             | 2                                                             | 3                                                                    |
| Bilirubin (umol/L), High: Gr. 0 (BL) to 1              | 0                                                             | 0                                                             | 0                                                             | 0                                                                    |
| Blood Gluc, Fast (mmol/L), High: Missing (BL) to Gr. 0 | 0                                                             | 0                                                             | 0                                                             | 0                                                                    |
| Blood Gluc, Fast (mmol/L), High: Gr. 0 (BL) to 1       | 0                                                             | 0                                                             | 1                                                             | 0                                                                    |

|                                                       |   |   |   |   |
|-------------------------------------------------------|---|---|---|---|
| Blood Gluc, Fast (mmol/L), High: Gr. 1 (BL) to 1      | 0 | 0 | 1 | 0 |
| Blood Gluc, Fast (mmol/L), High: Missing (BL) to Gr 2 | 1 | 0 | 0 | 0 |
| Blood Gluc., Fast. (mmol/L), High: Missing (All)      | 0 | 3 | 0 | 3 |
| Blood Gluc, Fast (mmol/L), Low: Gr. 0 (BL) to 0       | 0 | 0 | 2 | 0 |
| Blood Gluc, Fast (mmol/L), Low: Missing (BL) to Gr 0  | 1 | 0 | 0 | 0 |
| Blood Gluc., Fast. (mmol/L), Low: Missing (All)       | 0 | 3 | 0 | 3 |
| Calcium (mmol/L), High: Gr. 0 (BL) to 0               | 1 | 3 | 2 | 3 |
| Calcium (mmol/L), High: Gr. 1 (BL) to 0               | 0 | 0 | 0 | 0 |
| Calcium (mmol/L), Low: Gr. 0 (BL) to 0                | 1 | 2 | 2 | 2 |
| Calcium (mmol/L), Low: Gr. 0 (BL) to 1                | 0 | 1 | 0 | 0 |
| Calcium (mmol/L), Low: Gr. 0 (BL) to 2                | 0 | 0 | 0 | 1 |
| Calcium (mmol/L), Low: Gr. 1 (BL) to 1                | 0 | 0 | 0 | 0 |
| Creatinine (umol/L), High: Gr. 0 (BL) to 0            | 0 | 2 | 0 | 1 |
| Creatinine (umol/L), High: Gr. 0 (BL) to 1            | 0 | 1 | 2 | 2 |
| Creatinine (umol/L), High: Gr. 0 (BL) to 3            | 0 | 0 | 0 | 0 |
| Creatinine (umol/L), High: Gr. 1 (BL) to 0            | 0 | 0 | 0 | 0 |
| Creatinine (umol/L), High: Gr. 1 (BL) to 1            | 1 | 0 | 0 | 0 |
| Creatinine (umol/L), High: Gr. 1 (BL) to 2            | 0 | 0 | 0 | 0 |
| Glucose (mmol/L), High: Gr. 0 (BL) to 0               | 0 | 3 | 0 | 3 |
| Glucose (mmol/L), High: Missing (BL) to Gr. 0         | 0 | 0 | 1 | 0 |
| Glucose (mmol/L), High: Gr. 0 (BL) to 3               | 1 | 0 | 0 | 0 |
| Glucose (mmol/L), High: Missing (All)                 | 0 | 0 | 1 | 0 |
| Glucose (mmol/L), Low: Gr. 0 (BL) to 0                | 1 | 2 | 0 | 2 |
| Glucose (mmol/L), Low: Missing (BL) to Gr. 0          | 0 | 0 | 1 | 0 |
| Glucose (mmol/L), Low: Gr. 0 (BL) to 1                | 0 | 1 | 0 | 1 |
| Glucose (mmol/L), Low: Missing (All)                  | 0 | 0 | 1 | 0 |
| Phosphorus (mmol/L), Low: Gr. 0 (BL) to 0             | 0 | 2 | 2 | 1 |
| Phosphorus (mmol/L), Low: Missing (BL) to Gr. 0       | 1 | 1 | 0 | 1 |
| Phosphorus (mmol/L), Low: Missing (BL) to Gr. 2       | 0 | 0 | 0 | 0 |
| Phosphorus (mmol/L), Low: Missing (All)               | 0 | 0 | 0 | 1 |
| Potassium (mmol/L), High: Gr. 0 (BL) to 0             | 0 | 3 | 2 | 2 |
| Potassium (mmol/L), High: Gr. 0 (BL) to 1             | 1 | 0 | 0 | 1 |
| Potassium (mmol/L), Low: Gr. 0 (BL) to 0              | 1 | 2 | 2 | 3 |
| Potassium (mmol/L), Low: Gr. 0 (BL) to 2              | 0 | 0 | 0 | 0 |
| Potassium (mmol/L), Low: Gr. 2 (BL) to 0              | 0 | 1 | 0 | 0 |
| Potassium (mmol/L), Low: Gr. 2 (BL) to 2              | 0 | 0 | 0 | 0 |

|                                                    |   |   |   |   |
|----------------------------------------------------|---|---|---|---|
| SGOT/AST (U/L), High: Gr. 0 (BL) to 0              | 1 | 2 | 2 | 2 |
| SGOT/AST (U/L), High: Gr. 1 (BL) to 0              | 0 | 1 | 0 | 1 |
| SGPT/ALT (U/L), High: Gr. 0 (BL) to 0              | 1 | 2 | 1 | 3 |
| SGPT/ALT (U/L), High: Gr. 1 (BL) to 0              | 0 | 1 | 1 | 0 |
| SGPT/ALT (U/L), High: Gr. 1 (BL) to 1              | 0 | 0 | 0 | 0 |
| Sodium (mmol/L), High: Gr. 0 (BL) to 0             | 1 | 3 | 2 | 3 |
| Sodium (mmol/L), High: Gr. 0 (BL) to 1             | 0 | 0 | 0 | 0 |
| Sodium (mmol/L), High: Gr. 1 (BL) to 0             | 0 | 0 | 0 | 0 |
| Sodium (mmol/L), Low: Gr. 0 (BL) to 0              | 1 | 3 | 2 | 2 |
| Sodium (mmol/L), Low: Gr. 0 (BL) to 1              | 0 | 0 | 0 | 1 |
| Sodium (mmol/L), Low: Gr. 0 (BL) to 3              | 0 | 0 | 0 | 0 |
| Sodium (mmol/L), Low: Gr. 1 (BL) to 0              | 0 | 0 | 0 | 0 |
| Triacylglyc. Lipase (U/L), High: Gr. 0 (BL) to 0   | 0 | 3 | 2 | 3 |
| Triacylglyc. Lipase (U/L), High: Gr. 0 (BL) to 1   | 0 | 0 | 0 | 0 |
| Triacylglyc. Lipase (U/L), High: Gr. 0 (BL) to 3   | 1 | 0 | 0 | 0 |
| Triacylglyc Lipase (U/L),High: Missing(BL) to Gr 0 | 0 | 0 | 0 | 0 |
| Triacylglyc Lipase (U/L),High: Missing(BL) to Gr 2 | 0 | 0 | 0 | 0 |
| Uric Acid (umol/L), High: Gr. 0 (BL) to 0          | 1 | 3 | 2 | 3 |
| Uric Acid (umol/L), High: Missing(BL) to Gr. 0     | 0 | 0 | 0 | 0 |
| Uric Acid (umol/L), High: Gr. 0 (BL) to 3          | 0 | 0 | 0 | 0 |
| Uric Acid (umol/L), High: Gr. 3 (BL) to 3          | 0 | 0 | 0 | 0 |
| Uric Acid (umol/L), High: Gr. 4 (BL) to 3          | 0 | 0 | 0 | 0 |

| <b>End point values</b>                           | <b>DLBCL Bridging:<br/>Idasanutlin 200 mg +<br/>Rituximab 375 mg/m<sup>2</sup></b> | <b>FL Non-Bridging:<br/>Idasanutlin 100 mg +<br/>Obinutuzumab 1000 mg</b> | <b>FL Non-Bridging:<br/>Idasanutlin 150 mg +<br/>Obinutuzumab 1000 mg</b> | <b>FL Bridging:<br/>Idasanutlin 150 mg +<br/>Obinutuzumab 1000 mg</b> |
|---------------------------------------------------|------------------------------------------------------------------------------------|---------------------------------------------------------------------------|---------------------------------------------------------------------------|-----------------------------------------------------------------------|
| Subject group type                                | Reporting group                                                                    | Reporting group                                                           | Reporting group                                                           | Reporting group                                                       |
| Number of subjects analysed                       | 4                                                                                  | 2                                                                         | 4                                                                         | 5                                                                     |
| Units: Participants                               |                                                                                    |                                                                           |                                                                           |                                                                       |
| Albumin (g/L), Low: Grade (Gr.) 0 (BL) to 0       | 2                                                                                  | 2                                                                         | 4                                                                         | 3                                                                     |
| Albumin (g/L), Low: Grade (Gr.) 0 (BL) to 1       | 0                                                                                  | 0                                                                         | 0                                                                         | 0                                                                     |
| Albumin (g/L), Low: Grade (Gr.) 0 (BL) to 2       | 1                                                                                  | 0                                                                         | 0                                                                         | 1                                                                     |
| Albumin (g/L), Low: Grade (Gr.) 1 (BL) to 1       | 1                                                                                  | 0                                                                         | 0                                                                         | 1                                                                     |
| Alkaline Phosphatase (U/L), High: Gr. 0 (BL) to 0 | 3                                                                                  | 1                                                                         | 4                                                                         | 3                                                                     |
| Alkaline Phosphatase (U/L), High: Gr. 0 (BL) to 1 | 1                                                                                  | 1                                                                         | 0                                                                         | 1                                                                     |
| Alkaline Phosphatase (U/L), High: Gr. 1 (BL) to 1 | 0                                                                                  | 0                                                                         | 0                                                                         | 1                                                                     |
| Amylase (U/L), High: Gr. 0 (BL) to 0              | 1                                                                                  | 2                                                                         | 3                                                                         | 5                                                                     |
| Amylase (U/L), High: Missing (BL) to Gr. 0        | 2                                                                                  | 0                                                                         | 1                                                                         | 0                                                                     |

|                                                       |   |   |   |   |
|-------------------------------------------------------|---|---|---|---|
| Amylase (U/L), High: Gr. 1 (BL) to 1                  | 1 | 0 | 0 | 0 |
| Bilirubin (umol/L), High: Gr. 0 (BL) to 0             | 4 | 2 | 3 | 5 |
| Bilirubin (umol/L), High: Gr. 0 (BL) to 1             | 0 | 0 | 1 | 0 |
| Blood Gluc, Fast (mmol/L), High: Missing (BL) to Gr 0 | 0 | 1 | 1 | 1 |
| Blood Gluc, Fast (mmol/L), High: Gr. 0 (BL) to 1      | 0 | 0 | 0 | 0 |
| Blood Gluc, Fast (mmol/L), High: Gr. 1 (BL) to 1      | 0 | 0 | 0 | 0 |
| Blood Gluc, Fast (mmol/L), High: Missing (BL) to Gr 2 | 0 | 0 | 0 | 0 |
| Blood Gluc., Fast. (mmol/L), High: Missing (All)      | 4 | 1 | 3 | 4 |
| Blood Gluc, Fast (mmol/L), Low: Gr. 0 (BL) to 0       | 0 | 0 | 0 | 0 |
| Blood Gluc, Fast (mmol/L), Low: Missing (BL) to Gr 0  | 0 | 1 | 1 | 1 |
| Blood Gluc., Fast. (mmol/L), Low: Missing (All)       | 4 | 1 | 3 | 4 |
| Calcium (mmol/L), High: Gr. 0 (BL) to 0               | 3 | 2 | 4 | 5 |
| Calcium (mmol/L), High: Gr. 1 (BL) to 0               | 1 | 0 | 0 | 0 |
| Calcium (mmol/L), Low: Gr. 0 (BL) to 0                | 3 | 2 | 3 | 4 |
| Calcium (mmol/L), Low: Gr. 0 (BL) to 1                | 1 | 0 | 1 | 0 |
| Calcium (mmol/L), Low: Gr. 0 (BL) to 2                | 0 | 0 | 0 | 0 |
| Calcium (mmol/L), Low: Gr. 1 (BL) to 1                | 0 | 0 | 0 | 1 |
| Creatinine (umol/L), High: Gr. 0 (BL) to 0            | 0 | 0 | 2 | 3 |
| Creatinine (umol/L), High: Gr. 0 (BL) to 1            | 2 | 2 | 1 | 1 |
| Creatinine (umol/L), High: Gr. 0 (BL) to 3            | 0 | 0 | 0 | 1 |
| Creatinine (umol/L), High: Gr. 1 (BL) to 0            | 1 | 0 | 0 | 0 |
| Creatinine (umol/L), High: Gr. 1 (BL) to 1            | 0 | 0 | 1 | 0 |
| Creatinine (umol/L), High: Gr. 1 (BL) to 2            | 1 | 0 | 0 | 0 |
| Glucose (mmol/L), High: Gr. 0 (BL) to 0               | 4 | 2 | 4 | 5 |
| Glucose (mmol/L), High: Missing (BL) to Gr. 0         | 0 | 0 | 0 | 0 |
| Glucose (mmol/L), High: Gr. 0 (BL) to 3               | 0 | 0 | 0 | 0 |
| Glucose (mmol/L), High: Missing (All)                 | 0 | 0 | 0 | 0 |
| Glucose (mmol/L), Low: Gr. 0 (BL) to 0                | 4 | 2 | 4 | 4 |
| Glucose (mmol/L), Low: Missing (BL) to Gr. 0          | 0 | 0 | 0 | 0 |
| Glucose (mmol/L), Low: Gr. 0 (BL) to 1                | 0 | 0 | 0 | 1 |
| Glucose (mmol/L), Low: Missing (All)                  | 0 | 0 | 0 | 0 |
| Phosphorus (mmol/L), Low: Gr. 0 (BL) to 0             | 2 | 0 | 0 | 2 |
| Phosphorus (mmol/L), Low: Missing (BL) to Gr. 0       | 0 | 0 | 2 | 1 |
| Phosphorus (mmol/L), Low: Missing (BL) to Gr. 2       | 1 | 0 | 0 | 0 |
| Phosphorus (mmol/L), Low: Missing (All)               | 1 | 1 | 2 | 2 |
| Potassium (mmol/L), High: Gr. 0 (BL) to 0             | 4 | 2 | 4 | 5 |
| Potassium (mmol/L), High: Gr. 0 (BL) to 1             | 0 | 0 | 0 | 0 |

|                                                    |   |   |   |   |
|----------------------------------------------------|---|---|---|---|
| Potassium (mmol/L), Low: Gr. 0 (BL) to 0           | 3 | 2 | 4 | 3 |
| Potassium (mmol/L), Low: Gr. 0 (BL) to 2           | 1 | 0 | 0 | 0 |
| Potassium (mmol/L), Low: Gr. 2 (BL) to 0           | 0 | 0 | 0 | 0 |
| Potassium (mmol/L), Low: Gr. 2 (BL) to 2           | 0 | 0 | 0 | 2 |
| SGOT/AST (U/L), High: Gr. 0 (BL) to 0              | 4 | 2 | 4 | 5 |
| SGOT/AST (U/L), High: Gr. 1 (BL) to 0              | 0 | 0 | 0 | 0 |
| SGPT/ALT (U/L), High: Gr. 0 (BL) to 0              | 2 | 2 | 4 | 5 |
| SGPT/ALT (U/L), High: Gr. 1 (BL) to 0              | 0 | 0 | 0 | 0 |
| SGPT/ALT (U/L), High: Gr. 1 (BL) to 1              | 2 | 0 | 0 | 0 |
| Sodium (mmol/L), High: Gr. 0 (BL) to 0             | 3 | 2 | 4 | 4 |
| Sodium (mmol/L), High: Gr. 0 (BL) to 1             | 0 | 0 | 0 | 1 |
| Sodium (mmol/L), High: Gr. 1 (BL) to 0             | 1 | 0 | 0 | 0 |
| Sodium (mmol/L), Low: Gr. 0 (BL) to 0              | 4 | 2 | 4 | 3 |
| Sodium (mmol/L), Low: Gr. 0 (BL) to 1              | 0 | 0 | 0 | 0 |
| Sodium (mmol/L), Low: Gr. 0 (BL) to 3              | 0 | 0 | 0 | 1 |
| Sodium (mmol/L), Low: Gr. 1 (BL) to 0              | 0 | 0 | 0 | 1 |
| Triacylglyc. Lipase (U/L), High: Gr. 0 (BL) to 0   | 2 | 1 | 3 | 4 |
| Triacylglyc. Lipase (U/L), High: Gr. 0 (BL) to 1   | 0 | 1 | 0 | 0 |
| Triacylglyc. Lipase (U/L), High: Gr. 0 (BL) to 3   | 0 | 0 | 0 | 0 |
| Triacylglyc Lipase (U/L),High: Missing(BL) to Gr 0 | 0 | 0 | 1 | 1 |
| Triacylglyc Lipase (U/L),High: Missing(BL) to Gr 2 | 2 | 0 | 0 | 0 |
| Uric Acid (umol/L), High: Gr. 0 (BL) to 0          | 2 | 2 | 3 | 4 |
| Uric Acid (umol/L), High: Missing(BL) to Gr. 0     | 1 | 0 | 0 | 0 |
| Uric Acid (umol/L), High: Gr. 0 (BL) to 3          | 0 | 0 | 0 | 1 |
| Uric Acid (umol/L), High: Gr. 3 (BL) to 3          | 0 | 0 | 1 | 0 |
| Uric Acid (umol/L), High: Gr. 4 (BL) to 3          | 1 | 0 | 0 | 0 |

## Statistical analyses

No statistical analyses for this end point

## Adverse events

### Adverse events information

Timeframe for reporting adverse events:

From first dose until 90 days after the last dose of study treatment (up to 31 months)

Adverse event reporting additional description:

After informed consent but prior to initiation of study drug, only serious AEs caused by protocol-mandated intervention were reported. After initiation of study drug, all AEs were reported until 90 days after the last dose of study drug. After this period, only serious AEs related to prior study drug or Grade 3-4 infections were reported.

|                 |            |
|-----------------|------------|
| Assessment type | Systematic |
|-----------------|------------|

### Dictionary used

|                 |        |
|-----------------|--------|
| Dictionary name | MedDRA |
|-----------------|--------|

|                    |      |
|--------------------|------|
| Dictionary version | 22.0 |
|--------------------|------|

### Reporting groups

|                       |                                                               |
|-----------------------|---------------------------------------------------------------|
| Reporting group title | DLBCL Non-Bridging: Idasanutlin 100 mg + Obinutuzumab 1000 mg |
|-----------------------|---------------------------------------------------------------|

Reporting group description:

Participants with relapsed/refractory diffuse large B-cell lymphoma (DLBCL) in this non-bridging dose-escalation cohort received induction treatment with idasanutlin 100 milligrams (mg) orally in combination with a fixed dose of obinutuzumab 1000 mg intravenously (IV) for 6 cycles (1 cycle = 28 days).

|                       |                                                               |
|-----------------------|---------------------------------------------------------------|
| Reporting group title | DLBCL Non-Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg |
|-----------------------|---------------------------------------------------------------|

Reporting group description:

Participants with relapsed/refractory diffuse large B-cell lymphoma (DLBCL) in this non-bridging dose-escalation cohort received induction treatment with idasanutlin 150 mg orally in combination with a fixed dose of obinutuzumab 1000 mg IV for 6 cycles (1 cycle = 28 days).

|                       |                                                               |
|-----------------------|---------------------------------------------------------------|
| Reporting group title | DLBCL Non-Bridging: Idasanutlin 200 mg + Obinutuzumab 1000 mg |
|-----------------------|---------------------------------------------------------------|

Reporting group description:

Participants with relapsed/refractory diffuse large B-cell lymphoma (DLBCL) in this non-bridging dose-escalation cohort received induction treatment with idasanutlin 200 mg orally in combination with a fixed dose of obinutuzumab 1000 mg IV for 6 cycles (1 cycle = 28 days).

|                       |                                                                      |
|-----------------------|----------------------------------------------------------------------|
| Reporting group title | DLBCL Bridging: Idasanutlin 150 mg + Rituximab 375 mg/m <sup>2</sup> |
|-----------------------|----------------------------------------------------------------------|

Reporting group description:

Participants with relapsed/refractory diffuse large B-cell lymphoma (DLBCL) in this bridging cohort received induction treatment with idasanutlin 150 mg orally in combination with rituximab 375 milligrams per square meter of body surface area (mg/m<sup>2</sup>) IV for 6 cycles (1 cycle = 28 days).

|                       |                                                                      |
|-----------------------|----------------------------------------------------------------------|
| Reporting group title | DLBCL Bridging: Idasanutlin 200 mg + Rituximab 375 mg/m <sup>2</sup> |
|-----------------------|----------------------------------------------------------------------|

Reporting group description:

Participants with relapsed/refractory diffuse large B-cell lymphoma (DLBCL) in this bridging cohort received induction treatment with idasanutlin 200 mg orally in combination with rituximab 375 mg/m<sup>2</sup> IV for 6 cycles (1 cycle = 28 days).

|                       |                                                            |
|-----------------------|------------------------------------------------------------|
| Reporting group title | FL Non-Bridging: Idasanutlin 100 mg + Obinutuzumab 1000 mg |
|-----------------------|------------------------------------------------------------|

Reporting group description:

Participants with relapsed/refractory follicular lymphoma (FL) in this non-bridging dose-escalation cohort received induction treatment with idasanutlin 100 milligrams (mg) orally in combination with a fixed dose of obinutuzumab 1000 mg intravenously (IV) for 6 cycles (1 cycle = 28 days).

|                       |                                                            |
|-----------------------|------------------------------------------------------------|
| Reporting group title | FL Non-Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg |
|-----------------------|------------------------------------------------------------|

Reporting group description:

Participants with relapsed/refractory follicular lymphoma (FL) in this non-bridging dose-escalation cohort received induction treatment with idasanutlin 150 mg orally in combination with a fixed dose of obinutuzumab 1000 mg IV for 6 cycles (1 cycle = 28 days).

|                       |                                                        |
|-----------------------|--------------------------------------------------------|
| Reporting group title | FL Bridging: Idasanutlin 150 mg + Obinutuzumab 1000 mg |
|-----------------------|--------------------------------------------------------|

Reporting group description:

Participants with relapsed/refractory follicular lymphoma (FL) in this bridging cohort received induction treatment with single-agent obinutuzumab 1000 mg IV for Cycle 1 and then idasanutlin 150 mg orally in combination with a fixed dose of obinutuzumab 1000 mg IV for Cycles 2-6 (1 cycle = 28 days).

| <b>Serious adverse events</b>                        | DLBCL Non-Bridging:<br>Idasanutlin 100 mg<br>+ Obinutuzumab<br>1000 mg | DLBCL Non-Bridging: Idasanutlin<br>150 mg +<br>Obinutuzumab 1000<br>mg | DLBCL Non-Bridging:<br>Idasanutlin 200 mg<br>+ Obinutuzumab<br>1000 mg |
|------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------|
| Total subjects affected by serious adverse events    |                                                                        |                                                                        |                                                                        |
| subjects affected / exposed                          | 1 / 1 (100.00%)                                                        | 1 / 3 (33.33%)                                                         | 1 / 2 (50.00%)                                                         |
| number of deaths (all causes)                        | 0                                                                      | 1                                                                      | 2                                                                      |
| number of deaths resulting from adverse events       | 0                                                                      | 0                                                                      | 0                                                                      |
| Injury, poisoning and procedural complications       |                                                                        |                                                                        |                                                                        |
| Infusion related reaction                            |                                                                        |                                                                        |                                                                        |
| subjects affected / exposed                          | 0 / 1 (0.00%)                                                          | 0 / 3 (0.00%)                                                          | 0 / 2 (0.00%)                                                          |
| occurrences causally related to treatment / all      | 0 / 0                                                                  | 0 / 0                                                                  | 0 / 0                                                                  |
| deaths causally related to treatment / all           | 0 / 0                                                                  | 0 / 0                                                                  | 0 / 0                                                                  |
| Cardiac disorders                                    |                                                                        |                                                                        |                                                                        |
| Acute myocardial infarction                          |                                                                        |                                                                        |                                                                        |
| subjects affected / exposed                          | 0 / 1 (0.00%)                                                          | 1 / 3 (33.33%)                                                         | 0 / 2 (0.00%)                                                          |
| occurrences causally related to treatment / all      | 0 / 0                                                                  | 0 / 1                                                                  | 0 / 0                                                                  |
| deaths causally related to treatment / all           | 0 / 0                                                                  | 0 / 0                                                                  | 0 / 0                                                                  |
| Blood and lymphatic system disorders                 |                                                                        |                                                                        |                                                                        |
| Febrile neutropenia                                  |                                                                        |                                                                        |                                                                        |
| subjects affected / exposed                          | 0 / 1 (0.00%)                                                          | 0 / 3 (0.00%)                                                          | 0 / 2 (0.00%)                                                          |
| occurrences causally related to treatment / all      | 0 / 0                                                                  | 0 / 0                                                                  | 0 / 0                                                                  |
| deaths causally related to treatment / all           | 0 / 0                                                                  | 0 / 0                                                                  | 0 / 0                                                                  |
| Pancytopenia                                         |                                                                        |                                                                        |                                                                        |
| subjects affected / exposed                          | 0 / 1 (0.00%)                                                          | 0 / 3 (0.00%)                                                          | 1 / 2 (50.00%)                                                         |
| occurrences causally related to treatment / all      | 0 / 0                                                                  | 0 / 0                                                                  | 1 / 1                                                                  |
| deaths causally related to treatment / all           | 0 / 0                                                                  | 0 / 0                                                                  | 0 / 0                                                                  |
| General disorders and administration site conditions |                                                                        |                                                                        |                                                                        |
| Peripheral swelling                                  |                                                                        |                                                                        |                                                                        |
| subjects affected / exposed                          | 0 / 1 (0.00%)                                                          | 0 / 3 (0.00%)                                                          | 0 / 2 (0.00%)                                                          |
| occurrences causally related to treatment / all      | 0 / 0                                                                  | 0 / 0                                                                  | 0 / 0                                                                  |
| deaths causally related to treatment / all           | 0 / 0                                                                  | 0 / 0                                                                  | 0 / 0                                                                  |

|                                                 |                 |               |               |
|-------------------------------------------------|-----------------|---------------|---------------|
| <b>Gastrointestinal disorders</b>               |                 |               |               |
| Ascites                                         |                 |               |               |
| subjects affected / exposed                     | 0 / 1 (0.00%)   | 0 / 3 (0.00%) | 0 / 2 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 0         | 0 / 0         |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0         | 0 / 0         |
| Diarrhoea                                       |                 |               |               |
| subjects affected / exposed                     | 1 / 1 (100.00%) | 0 / 3 (0.00%) | 0 / 2 (0.00%) |
| occurrences causally related to treatment / all | 1 / 1           | 0 / 0         | 0 / 0         |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0         | 0 / 0         |
| <b>Renal and urinary disorders</b>              |                 |               |               |
| Acute kidney injury                             |                 |               |               |
| subjects affected / exposed                     | 0 / 1 (0.00%)   | 0 / 3 (0.00%) | 0 / 2 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 0         | 0 / 0         |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0         | 0 / 0         |
| <b>Infections and infestations</b>              |                 |               |               |
| Gastroenteritis                                 |                 |               |               |
| subjects affected / exposed                     | 0 / 1 (0.00%)   | 0 / 3 (0.00%) | 0 / 2 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 0         | 0 / 0         |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0         | 0 / 0         |
| Pneumonia                                       |                 |               |               |
| subjects affected / exposed                     | 0 / 1 (0.00%)   | 0 / 3 (0.00%) | 0 / 2 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0           | 0 / 0         | 0 / 0         |
| deaths causally related to treatment / all      | 0 / 0           | 0 / 0         | 0 / 0         |

| <b>Serious adverse events</b>                            | DLBCL Bridging:<br>Idasanutlin 150 mg<br>+ Rituximab 375<br>mg/m <sup>2</sup> | DLBCL Bridging:<br>Idasanutlin 200 mg<br>+ Rituximab 375<br>mg/m <sup>2</sup> | FL Non-Bridging:<br>Idasanutlin 100 mg<br>+ Obinutuzumab<br>1000 mg |
|----------------------------------------------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------|
| <b>Total subjects affected by serious adverse events</b> |                                                                               |                                                                               |                                                                     |
| subjects affected / exposed                              | 0 / 3 (0.00%)                                                                 | 2 / 4 (50.00%)                                                                | 1 / 2 (50.00%)                                                      |
| number of deaths (all causes)                            | 2                                                                             | 2                                                                             | 0                                                                   |
| number of deaths resulting from adverse events           | 0                                                                             | 0                                                                             | 0                                                                   |
| <b>Injury, poisoning and procedural complications</b>    |                                                                               |                                                                               |                                                                     |
| Infusion related reaction                                |                                                                               |                                                                               |                                                                     |
| subjects affected / exposed                              | 0 / 3 (0.00%)                                                                 | 0 / 4 (0.00%)                                                                 | 0 / 2 (0.00%)                                                       |
| occurrences causally related to treatment / all          | 0 / 0                                                                         | 0 / 0                                                                         | 0 / 0                                                               |
| deaths causally related to treatment / all               | 0 / 0                                                                         | 0 / 0                                                                         | 0 / 0                                                               |

|                                                      |               |                |                |
|------------------------------------------------------|---------------|----------------|----------------|
| Cardiac disorders                                    |               |                |                |
| Acute myocardial infarction                          |               |                |                |
| subjects affected / exposed                          | 0 / 3 (0.00%) | 0 / 4 (0.00%)  | 0 / 2 (0.00%)  |
| occurrences causally related to treatment / all      | 0 / 0         | 0 / 0          | 0 / 0          |
| deaths causally related to treatment / all           | 0 / 0         | 0 / 0          | 0 / 0          |
| Blood and lymphatic system disorders                 |               |                |                |
| Febrile neutropenia                                  |               |                |                |
| subjects affected / exposed                          | 0 / 3 (0.00%) | 1 / 4 (25.00%) | 1 / 2 (50.00%) |
| occurrences causally related to treatment / all      | 0 / 0         | 1 / 1          | 0 / 1          |
| deaths causally related to treatment / all           | 0 / 0         | 0 / 0          | 0 / 0          |
| Pancytopenia                                         |               |                |                |
| subjects affected / exposed                          | 0 / 3 (0.00%) | 0 / 4 (0.00%)  | 0 / 2 (0.00%)  |
| occurrences causally related to treatment / all      | 0 / 0         | 0 / 0          | 0 / 0          |
| deaths causally related to treatment / all           | 0 / 0         | 0 / 0          | 0 / 0          |
| General disorders and administration site conditions |               |                |                |
| Peripheral swelling                                  |               |                |                |
| subjects affected / exposed                          | 0 / 3 (0.00%) | 0 / 4 (0.00%)  | 0 / 2 (0.00%)  |
| occurrences causally related to treatment / all      | 0 / 0         | 0 / 0          | 0 / 0          |
| deaths causally related to treatment / all           | 0 / 0         | 0 / 0          | 0 / 0          |
| Gastrointestinal disorders                           |               |                |                |
| Ascites                                              |               |                |                |
| subjects affected / exposed                          | 0 / 3 (0.00%) | 1 / 4 (25.00%) | 0 / 2 (0.00%)  |
| occurrences causally related to treatment / all      | 0 / 0         | 0 / 1          | 0 / 0          |
| deaths causally related to treatment / all           | 0 / 0         | 0 / 0          | 0 / 0          |
| Diarrhoea                                            |               |                |                |
| subjects affected / exposed                          | 0 / 3 (0.00%) | 0 / 4 (0.00%)  | 0 / 2 (0.00%)  |
| occurrences causally related to treatment / all      | 0 / 0         | 0 / 0          | 0 / 0          |
| deaths causally related to treatment / all           | 0 / 0         | 0 / 0          | 0 / 0          |
| Renal and urinary disorders                          |               |                |                |
| Acute kidney injury                                  |               |                |                |
| subjects affected / exposed                          | 0 / 3 (0.00%) | 0 / 4 (0.00%)  | 0 / 2 (0.00%)  |
| occurrences causally related to treatment / all      | 0 / 0         | 0 / 0          | 0 / 0          |
| deaths causally related to treatment / all           | 0 / 0         | 0 / 0          | 0 / 0          |
| Infections and infestations                          |               |                |                |
| Gastroenteritis                                      |               |                |                |

|                                                 |               |                |               |
|-------------------------------------------------|---------------|----------------|---------------|
| subjects affected / exposed                     | 0 / 3 (0.00%) | 1 / 4 (25.00%) | 0 / 2 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 1          | 0 / 0         |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0          | 0 / 0         |
| <b>Pneumonia</b>                                |               |                |               |
| subjects affected / exposed                     | 0 / 3 (0.00%) | 0 / 4 (0.00%)  | 0 / 2 (0.00%) |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 0          | 0 / 0         |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0          | 0 / 0         |

| <b>Serious adverse events</b>                               | FL Non-Bridging:<br>Idasanutlin 150 mg<br>+ Obinutuzumab<br>1000 mg | FL Bridging:<br>Idasanutlin 150 mg<br>+ Obinutuzumab<br>1000 mg |  |
|-------------------------------------------------------------|---------------------------------------------------------------------|-----------------------------------------------------------------|--|
| Total subjects affected by serious adverse events           |                                                                     |                                                                 |  |
| subjects affected / exposed                                 | 1 / 4 (25.00%)                                                      | 2 / 5 (40.00%)                                                  |  |
| number of deaths (all causes)                               | 0                                                                   | 1                                                               |  |
| number of deaths resulting from adverse events              | 0                                                                   | 0                                                               |  |
| <b>Injury, poisoning and procedural complications</b>       |                                                                     |                                                                 |  |
| Infusion related reaction                                   |                                                                     |                                                                 |  |
| subjects affected / exposed                                 | 1 / 4 (25.00%)                                                      | 0 / 5 (0.00%)                                                   |  |
| occurrences causally related to treatment / all             | 1 / 1                                                               | 0 / 0                                                           |  |
| deaths causally related to treatment / all                  | 0 / 0                                                               | 0 / 0                                                           |  |
| <b>Cardiac disorders</b>                                    |                                                                     |                                                                 |  |
| Acute myocardial infarction                                 |                                                                     |                                                                 |  |
| subjects affected / exposed                                 | 0 / 4 (0.00%)                                                       | 0 / 5 (0.00%)                                                   |  |
| occurrences causally related to treatment / all             | 0 / 0                                                               | 0 / 0                                                           |  |
| deaths causally related to treatment / all                  | 0 / 0                                                               | 0 / 0                                                           |  |
| <b>Blood and lymphatic system disorders</b>                 |                                                                     |                                                                 |  |
| Febrile neutropenia                                         |                                                                     |                                                                 |  |
| subjects affected / exposed                                 | 0 / 4 (0.00%)                                                       | 0 / 5 (0.00%)                                                   |  |
| occurrences causally related to treatment / all             | 0 / 0                                                               | 0 / 0                                                           |  |
| deaths causally related to treatment / all                  | 0 / 0                                                               | 0 / 0                                                           |  |
| Pancytopenia                                                |                                                                     |                                                                 |  |
| subjects affected / exposed                                 | 0 / 4 (0.00%)                                                       | 0 / 5 (0.00%)                                                   |  |
| occurrences causally related to treatment / all             | 0 / 0                                                               | 0 / 0                                                           |  |
| deaths causally related to treatment / all                  | 0 / 0                                                               | 0 / 0                                                           |  |
| <b>General disorders and administration site conditions</b> |                                                                     |                                                                 |  |

|                                                 |               |                |  |
|-------------------------------------------------|---------------|----------------|--|
| Peripheral swelling                             |               |                |  |
| subjects affected / exposed                     | 0 / 4 (0.00%) | 1 / 5 (20.00%) |  |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 1          |  |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0          |  |
| Gastrointestinal disorders                      |               |                |  |
| Ascites                                         |               |                |  |
| subjects affected / exposed                     | 0 / 4 (0.00%) | 0 / 5 (0.00%)  |  |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 0          |  |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0          |  |
| Diarrhoea                                       |               |                |  |
| subjects affected / exposed                     | 0 / 4 (0.00%) | 1 / 5 (20.00%) |  |
| occurrences causally related to treatment / all | 0 / 0         | 1 / 1          |  |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0          |  |
| Renal and urinary disorders                     |               |                |  |
| Acute kidney injury                             |               |                |  |
| subjects affected / exposed                     | 0 / 4 (0.00%) | 1 / 5 (20.00%) |  |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 1          |  |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0          |  |
| Infections and infestations                     |               |                |  |
| Gastroenteritis                                 |               |                |  |
| subjects affected / exposed                     | 0 / 4 (0.00%) | 0 / 5 (0.00%)  |  |
| occurrences causally related to treatment / all | 0 / 0         | 0 / 0          |  |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0          |  |
| Pneumonia                                       |               |                |  |
| subjects affected / exposed                     | 0 / 4 (0.00%) | 1 / 5 (20.00%) |  |
| occurrences causally related to treatment / all | 0 / 0         | 1 / 1          |  |
| deaths causally related to treatment / all      | 0 / 0         | 0 / 0          |  |

Frequency threshold for reporting non-serious adverse events: 0 %

| Non-serious adverse events                            | DLBCL Non-Bridging:<br>Idasanutlin 100 mg<br>+ Obinutuzumab<br>1000 mg | DLBCL Non-Bridging: Idasanutlin<br>150 mg +<br>Obinutuzumab 1000<br>mg | DLBCL Non-Bridging:<br>Idasanutlin 200 mg<br>+ Obinutuzumab<br>1000 mg |
|-------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------|------------------------------------------------------------------------|
| Total subjects affected by non-serious adverse events |                                                                        |                                                                        |                                                                        |
| subjects affected / exposed                           | 1 / 1 (100.00%)                                                        | 3 / 3 (100.00%)                                                        | 2 / 2 (100.00%)                                                        |

|                                                      |                             |                 |                |                |
|------------------------------------------------------|-----------------------------|-----------------|----------------|----------------|
| Vascular disorders                                   | Deep vein thrombosis        |                 |                |                |
|                                                      | subjects affected / exposed | 0 / 1 (0.00%)   | 1 / 3 (33.33%) | 0 / 2 (0.00%)  |
|                                                      | occurrences (all)           | 0               | 1              | 0              |
|                                                      | Hot flush                   |                 |                |                |
|                                                      | subjects affected / exposed | 0 / 1 (0.00%)   | 1 / 3 (33.33%) | 0 / 2 (0.00%)  |
|                                                      | occurrences (all)           | 0               | 1              | 0              |
|                                                      | Hypotension                 |                 |                |                |
|                                                      | subjects affected / exposed | 0 / 1 (0.00%)   | 0 / 3 (0.00%)  | 0 / 2 (0.00%)  |
|                                                      | occurrences (all)           | 0               | 0              | 0              |
| General disorders and administration site conditions | Chest pain                  |                 |                |                |
|                                                      | subjects affected / exposed | 0 / 1 (0.00%)   | 1 / 3 (33.33%) | 0 / 2 (0.00%)  |
|                                                      | occurrences (all)           | 0               | 1              | 0              |
|                                                      | Fatigue                     |                 |                |                |
|                                                      | subjects affected / exposed | 1 / 1 (100.00%) | 1 / 3 (33.33%) | 1 / 2 (50.00%) |
|                                                      | occurrences (all)           | 1               | 1              | 1              |
|                                                      | Oedema                      |                 |                |                |
|                                                      | subjects affected / exposed | 0 / 1 (0.00%)   | 0 / 3 (0.00%)  | 0 / 2 (0.00%)  |
|                                                      | occurrences (all)           | 0               | 0              | 0              |
|                                                      | Oedema peripheral           |                 |                |                |
|                                                      | subjects affected / exposed | 0 / 1 (0.00%)   | 0 / 3 (0.00%)  | 0 / 2 (0.00%)  |
|                                                      | occurrences (all)           | 0               | 0              | 0              |
|                                                      | Pain                        |                 |                |                |
|                                                      | subjects affected / exposed | 0 / 1 (0.00%)   | 0 / 3 (0.00%)  | 0 / 2 (0.00%)  |
|                                                      | occurrences (all)           | 0               | 0              | 0              |
|                                                      | Pyrexia                     |                 |                |                |
|                                                      | subjects affected / exposed | 0 / 1 (0.00%)   | 0 / 3 (0.00%)  | 0 / 2 (0.00%)  |
|                                                      | occurrences (all)           | 0               | 0              | 0              |
| Respiratory, thoracic and mediastinal disorders      | Cough                       |                 |                |                |
|                                                      | subjects affected / exposed | 1 / 1 (100.00%) | 0 / 3 (0.00%)  | 0 / 2 (0.00%)  |
|                                                      | occurrences (all)           | 1               | 0              | 0              |
|                                                      | Dyspnoea exertional         |                 |                |                |
|                                                      | subjects affected / exposed | 0 / 1 (0.00%)   | 0 / 3 (0.00%)  | 0 / 2 (0.00%)  |
|                                                      | occurrences (all)           | 0               | 0              | 0              |

|                                                                                                                                    |                    |                    |                    |
|------------------------------------------------------------------------------------------------------------------------------------|--------------------|--------------------|--------------------|
| Nasal congestion<br>subjects affected / exposed<br>occurrences (all)                                                               | 0 / 1 (0.00%)<br>0 | 0 / 3 (0.00%)<br>0 | 0 / 2 (0.00%)<br>0 |
| Rhinitis allergic<br>subjects affected / exposed<br>occurrences (all)                                                              | 0 / 1 (0.00%)<br>0 | 0 / 3 (0.00%)<br>0 | 0 / 2 (0.00%)<br>0 |
| Psychiatric disorders<br>Depression<br>subjects affected / exposed<br>occurrences (all)                                            | 0 / 1 (0.00%)<br>0 | 0 / 3 (0.00%)<br>0 | 0 / 2 (0.00%)<br>0 |
| Investigations<br>Blood albumin decreased<br>subjects affected / exposed<br>occurrences (all)                                      | 0 / 1 (0.00%)<br>0 | 0 / 3 (0.00%)<br>0 | 0 / 2 (0.00%)<br>0 |
| Blood bilirubin increased<br>subjects affected / exposed<br>occurrences (all)                                                      | 0 / 1 (0.00%)<br>0 | 0 / 3 (0.00%)<br>0 | 0 / 2 (0.00%)<br>0 |
| Blood lactate dehydrogenase<br>increased<br>subjects affected / exposed<br>occurrences (all)                                       | 0 / 1 (0.00%)<br>0 | 0 / 3 (0.00%)<br>0 | 0 / 2 (0.00%)<br>0 |
| Haemophilus test positive<br>subjects affected / exposed<br>occurrences (all)                                                      | 0 / 1 (0.00%)<br>0 | 0 / 3 (0.00%)<br>0 | 0 / 2 (0.00%)<br>0 |
| Lipase increased<br>subjects affected / exposed<br>occurrences (all)                                                               | 0 / 1 (0.00%)<br>0 | 0 / 3 (0.00%)<br>0 | 0 / 2 (0.00%)<br>0 |
| Injury, poisoning and procedural<br>complications<br>Infusion related reaction<br>subjects affected / exposed<br>occurrences (all) | 0 / 1 (0.00%)<br>0 | 0 / 3 (0.00%)<br>0 | 0 / 2 (0.00%)<br>0 |
| Cardiac disorders<br>Atrial fibrillation<br>subjects affected / exposed<br>occurrences (all)                                       | 0 / 1 (0.00%)<br>0 | 0 / 3 (0.00%)<br>0 | 0 / 2 (0.00%)<br>0 |
| Tachycardia                                                                                                                        |                    |                    |                    |

|                                                  |                      |                     |                      |
|--------------------------------------------------|----------------------|---------------------|----------------------|
| subjects affected / exposed<br>occurrences (all) | 0 / 1 (0.00%)<br>0   | 0 / 3 (0.00%)<br>0  | 0 / 2 (0.00%)<br>0   |
| Nervous system disorders                         |                      |                     |                      |
| Dizziness                                        |                      |                     |                      |
| subjects affected / exposed<br>occurrences (all) | 0 / 1 (0.00%)<br>0   | 0 / 3 (0.00%)<br>0  | 0 / 2 (0.00%)<br>0   |
| Dysgeusia                                        |                      |                     |                      |
| subjects affected / exposed<br>occurrences (all) | 0 / 1 (0.00%)<br>0   | 0 / 3 (0.00%)<br>0  | 0 / 2 (0.00%)<br>0   |
| Headache                                         |                      |                     |                      |
| subjects affected / exposed<br>occurrences (all) | 0 / 1 (0.00%)<br>0   | 0 / 3 (0.00%)<br>0  | 0 / 2 (0.00%)<br>0   |
| Memory impairment                                |                      |                     |                      |
| subjects affected / exposed<br>occurrences (all) | 0 / 1 (0.00%)<br>0   | 0 / 3 (0.00%)<br>0  | 0 / 2 (0.00%)<br>0   |
| Taste disorder                                   |                      |                     |                      |
| subjects affected / exposed<br>occurrences (all) | 0 / 1 (0.00%)<br>0   | 0 / 3 (0.00%)<br>0  | 0 / 2 (0.00%)<br>0   |
| Trigeminal neuralgia                             |                      |                     |                      |
| subjects affected / exposed<br>occurrences (all) | 0 / 1 (0.00%)<br>0   | 0 / 3 (0.00%)<br>0  | 0 / 2 (0.00%)<br>0   |
| Blood and lymphatic system disorders             |                      |                     |                      |
| Anaemia                                          |                      |                     |                      |
| subjects affected / exposed<br>occurrences (all) | 0 / 1 (0.00%)<br>0   | 0 / 3 (0.00%)<br>0  | 2 / 2 (100.00%)<br>2 |
| Leukopenia                                       |                      |                     |                      |
| subjects affected / exposed<br>occurrences (all) | 0 / 1 (0.00%)<br>0   | 2 / 3 (66.67%)<br>3 | 0 / 2 (0.00%)<br>0   |
| Lymphopenia                                      |                      |                     |                      |
| subjects affected / exposed<br>occurrences (all) | 0 / 1 (0.00%)<br>0   | 1 / 3 (33.33%)<br>1 | 0 / 2 (0.00%)<br>0   |
| Neutropenia                                      |                      |                     |                      |
| subjects affected / exposed<br>occurrences (all) | 1 / 1 (100.00%)<br>1 | 0 / 3 (0.00%)<br>0  | 2 / 2 (100.00%)<br>3 |
| Thrombocytopenia                                 |                      |                     |                      |

|                                                  |                      |                     |                      |
|--------------------------------------------------|----------------------|---------------------|----------------------|
| subjects affected / exposed<br>occurrences (all) | 1 / 1 (100.00%)<br>1 | 2 / 3 (66.67%)<br>2 | 2 / 2 (100.00%)<br>2 |
| Gastrointestinal disorders                       |                      |                     |                      |
| Diarrhoea                                        |                      |                     |                      |
| subjects affected / exposed                      | 0 / 1 (0.00%)        | 1 / 3 (33.33%)      | 1 / 2 (50.00%)       |
| occurrences (all)                                | 0                    | 1                   | 2                    |
| Nausea                                           |                      |                     |                      |
| subjects affected / exposed                      | 1 / 1 (100.00%)      | 1 / 3 (33.33%)      | 1 / 2 (50.00%)       |
| occurrences (all)                                | 2                    | 1                   | 1                    |
| Constipation                                     |                      |                     |                      |
| subjects affected / exposed                      | 0 / 1 (0.00%)        | 1 / 3 (33.33%)      | 0 / 2 (0.00%)        |
| occurrences (all)                                | 0                    | 1                   | 0                    |
| Gastrointestinal pain                            |                      |                     |                      |
| subjects affected / exposed                      | 0 / 1 (0.00%)        | 0 / 3 (0.00%)       | 0 / 2 (0.00%)        |
| occurrences (all)                                | 0                    | 0                   | 0                    |
| Abdominal pain                                   |                      |                     |                      |
| subjects affected / exposed                      | 0 / 1 (0.00%)        | 0 / 3 (0.00%)       | 0 / 2 (0.00%)        |
| occurrences (all)                                | 0                    | 0                   | 0                    |
| Anal inflammation                                |                      |                     |                      |
| subjects affected / exposed                      | 0 / 1 (0.00%)        | 0 / 3 (0.00%)       | 0 / 2 (0.00%)        |
| occurrences (all)                                | 0                    | 0                   | 0                    |
| Dry mouth                                        |                      |                     |                      |
| subjects affected / exposed                      | 0 / 1 (0.00%)        | 0 / 3 (0.00%)       | 0 / 2 (0.00%)        |
| occurrences (all)                                | 0                    | 0                   | 0                    |
| Dyspepsia                                        |                      |                     |                      |
| subjects affected / exposed                      | 0 / 1 (0.00%)        | 0 / 3 (0.00%)       | 0 / 2 (0.00%)        |
| occurrences (all)                                | 0                    | 0                   | 0                    |
| Flatulence                                       |                      |                     |                      |
| subjects affected / exposed                      | 1 / 1 (100.00%)      | 0 / 3 (0.00%)       | 0 / 2 (0.00%)        |
| occurrences (all)                                | 1                    | 0                   | 0                    |
| Stomatitis                                       |                      |                     |                      |
| subjects affected / exposed                      | 0 / 1 (0.00%)        | 0 / 3 (0.00%)       | 0 / 2 (0.00%)        |
| occurrences (all)                                | 0                    | 0                   | 0                    |
| Vomiting                                         |                      |                     |                      |
| subjects affected / exposed                      | 0 / 1 (0.00%)        | 1 / 3 (33.33%)      | 1 / 2 (50.00%)       |
| occurrences (all)                                | 0                    | 1                   | 1                    |

|                                                 |                 |                |                |
|-------------------------------------------------|-----------------|----------------|----------------|
| Skin and subcutaneous tissue disorders          |                 |                |                |
| Eczema                                          |                 |                |                |
| subjects affected / exposed                     | 0 / 1 (0.00%)   | 0 / 3 (0.00%)  | 0 / 2 (0.00%)  |
| occurrences (all)                               | 0               | 0              | 0              |
| Night sweats                                    |                 |                |                |
| subjects affected / exposed                     | 0 / 1 (0.00%)   | 0 / 3 (0.00%)  | 0 / 2 (0.00%)  |
| occurrences (all)                               | 0               | 0              | 0              |
| Skin ulcer                                      |                 |                |                |
| subjects affected / exposed                     | 0 / 1 (0.00%)   | 0 / 3 (0.00%)  | 0 / 2 (0.00%)  |
| occurrences (all)                               | 0               | 0              | 0              |
| Musculoskeletal and connective tissue disorders |                 |                |                |
| Muscle spasms                                   |                 |                |                |
| subjects affected / exposed                     | 1 / 1 (100.00%) | 0 / 3 (0.00%)  | 0 / 2 (0.00%)  |
| occurrences (all)                               | 1               | 0              | 0              |
| Musculoskeletal chest pain                      |                 |                |                |
| subjects affected / exposed                     | 1 / 1 (100.00%) | 0 / 3 (0.00%)  | 0 / 2 (0.00%)  |
| occurrences (all)                               | 1               | 0              | 0              |
| Arthritis                                       |                 |                |                |
| subjects affected / exposed                     | 0 / 1 (0.00%)   | 0 / 3 (0.00%)  | 0 / 2 (0.00%)  |
| occurrences (all)                               | 0               | 0              | 0              |
| Groin pain                                      |                 |                |                |
| subjects affected / exposed                     | 0 / 1 (0.00%)   | 1 / 3 (33.33%) | 0 / 2 (0.00%)  |
| occurrences (all)                               | 0               | 1              | 0              |
| Myalgia                                         |                 |                |                |
| subjects affected / exposed                     | 0 / 1 (0.00%)   | 0 / 3 (0.00%)  | 1 / 2 (50.00%) |
| occurrences (all)                               | 0               | 0              | 1              |
| Pain in extremity                               |                 |                |                |
| subjects affected / exposed                     | 1 / 1 (100.00%) | 1 / 3 (33.33%) | 0 / 2 (0.00%)  |
| occurrences (all)                               | 1               | 1              | 0              |
| Infections and infestations                     |                 |                |                |
| Clostridium difficile infection                 |                 |                |                |
| subjects affected / exposed                     | 0 / 1 (0.00%)   | 0 / 3 (0.00%)  | 0 / 2 (0.00%)  |
| occurrences (all)                               | 0               | 0              | 0              |
| Upper respiratory tract infection               |                 |                |                |
| subjects affected / exposed                     | 0 / 1 (0.00%)   | 0 / 3 (0.00%)  | 0 / 2 (0.00%)  |
| occurrences (all)                               | 0               | 0              | 0              |

|                                                       |                                                                               |                                                                               |                                                                     |
|-------------------------------------------------------|-------------------------------------------------------------------------------|-------------------------------------------------------------------------------|---------------------------------------------------------------------|
| Herpes zoster                                         |                                                                               |                                                                               |                                                                     |
| subjects affected / exposed                           | 0 / 1 (0.00%)                                                                 | 0 / 3 (0.00%)                                                                 | 0 / 2 (0.00%)                                                       |
| occurrences (all)                                     | 0                                                                             | 0                                                                             | 0                                                                   |
| Skin infection                                        |                                                                               |                                                                               |                                                                     |
| subjects affected / exposed                           | 0 / 1 (0.00%)                                                                 | 0 / 3 (0.00%)                                                                 | 0 / 2 (0.00%)                                                       |
| occurrences (all)                                     | 0                                                                             | 0                                                                             | 0                                                                   |
| Chronic sinusitis                                     |                                                                               |                                                                               |                                                                     |
| subjects affected / exposed                           | 0 / 1 (0.00%)                                                                 | 0 / 3 (0.00%)                                                                 | 0 / 2 (0.00%)                                                       |
| occurrences (all)                                     | 0                                                                             | 0                                                                             | 0                                                                   |
| Nasopharyngitis                                       |                                                                               |                                                                               |                                                                     |
| subjects affected / exposed                           | 0 / 1 (0.00%)                                                                 | 0 / 3 (0.00%)                                                                 | 0 / 2 (0.00%)                                                       |
| occurrences (all)                                     | 0                                                                             | 0                                                                             | 0                                                                   |
| Sinusitis                                             |                                                                               |                                                                               |                                                                     |
| subjects affected / exposed                           | 0 / 1 (0.00%)                                                                 | 0 / 3 (0.00%)                                                                 | 0 / 2 (0.00%)                                                       |
| occurrences (all)                                     | 0                                                                             | 0                                                                             | 0                                                                   |
| Metabolism and nutrition disorders                    |                                                                               |                                                                               |                                                                     |
| Decreased appetite                                    |                                                                               |                                                                               |                                                                     |
| subjects affected / exposed                           | 0 / 1 (0.00%)                                                                 | 1 / 3 (33.33%)                                                                | 0 / 2 (0.00%)                                                       |
| occurrences (all)                                     | 0                                                                             | 1                                                                             | 0                                                                   |
| Dehydration                                           |                                                                               |                                                                               |                                                                     |
| subjects affected / exposed                           | 0 / 1 (0.00%)                                                                 | 0 / 3 (0.00%)                                                                 | 0 / 2 (0.00%)                                                       |
| occurrences (all)                                     | 0                                                                             | 0                                                                             | 0                                                                   |
| Hypokalaemia                                          |                                                                               |                                                                               |                                                                     |
| subjects affected / exposed                           | 0 / 1 (0.00%)                                                                 | 0 / 3 (0.00%)                                                                 | 0 / 2 (0.00%)                                                       |
| occurrences (all)                                     | 0                                                                             | 0                                                                             | 0                                                                   |
| Hypomagnesaemia                                       |                                                                               |                                                                               |                                                                     |
| subjects affected / exposed                           | 0 / 1 (0.00%)                                                                 | 0 / 3 (0.00%)                                                                 | 0 / 2 (0.00%)                                                       |
| occurrences (all)                                     | 0                                                                             | 0                                                                             | 0                                                                   |
| Hypophosphataemia                                     |                                                                               |                                                                               |                                                                     |
| subjects affected / exposed                           | 0 / 1 (0.00%)                                                                 | 0 / 3 (0.00%)                                                                 | 0 / 2 (0.00%)                                                       |
| occurrences (all)                                     | 0                                                                             | 0                                                                             | 0                                                                   |
| <b>Non-serious adverse events</b>                     | DLBCL Bridging:<br>Idasanutlin 150 mg<br>+ Rituximab 375<br>mg/m <sup>2</sup> | DLBCL Bridging:<br>Idasanutlin 200 mg<br>+ Rituximab 375<br>mg/m <sup>2</sup> | FL Non-Bridging:<br>Idasanutlin 100 mg<br>+ Obinutuzumab<br>1000 mg |
| Total subjects affected by non-serious adverse events |                                                                               |                                                                               |                                                                     |
| subjects affected / exposed                           | 3 / 3 (100.00%)                                                               | 4 / 4 (100.00%)                                                               | 2 / 2 (100.00%)                                                     |

|                                                      |                             |                |                |                |
|------------------------------------------------------|-----------------------------|----------------|----------------|----------------|
| Vascular disorders                                   | Deep vein thrombosis        |                |                |                |
|                                                      | subjects affected / exposed | 1 / 3 (33.33%) | 0 / 4 (0.00%)  | 0 / 2 (0.00%)  |
|                                                      | occurrences (all)           | 1              | 0              | 0              |
|                                                      | Hot flush                   |                |                |                |
|                                                      | subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 2 (0.00%)  |
|                                                      | occurrences (all)           | 0              | 0              | 0              |
|                                                      | Hypotension                 |                |                |                |
|                                                      | subjects affected / exposed | 0 / 3 (0.00%)  | 1 / 4 (25.00%) | 0 / 2 (0.00%)  |
|                                                      | occurrences (all)           | 0              | 1              | 0              |
| General disorders and administration site conditions | Chest pain                  |                |                |                |
|                                                      | subjects affected / exposed | 0 / 3 (0.00%)  | 1 / 4 (25.00%) | 0 / 2 (0.00%)  |
|                                                      | occurrences (all)           | 0              | 1              | 0              |
|                                                      | Fatigue                     |                |                |                |
|                                                      | subjects affected / exposed | 1 / 3 (33.33%) | 0 / 4 (0.00%)  | 1 / 2 (50.00%) |
|                                                      | occurrences (all)           | 1              | 0              | 1              |
|                                                      | Oedema                      |                |                |                |
|                                                      | subjects affected / exposed | 0 / 3 (0.00%)  | 1 / 4 (25.00%) | 0 / 2 (0.00%)  |
|                                                      | occurrences (all)           | 0              | 1              | 0              |
|                                                      | Oedema peripheral           |                |                |                |
|                                                      | subjects affected / exposed | 0 / 3 (0.00%)  | 1 / 4 (25.00%) | 0 / 2 (0.00%)  |
|                                                      | occurrences (all)           | 0              | 1              | 0              |
|                                                      | Pain                        |                |                |                |
|                                                      | subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 2 (0.00%)  |
|                                                      | occurrences (all)           | 0              | 0              | 0              |
|                                                      | Pyrexia                     |                |                |                |
|                                                      | subjects affected / exposed | 1 / 3 (33.33%) | 2 / 4 (50.00%) | 0 / 2 (0.00%)  |
|                                                      | occurrences (all)           | 1              | 3              | 0              |
| Respiratory, thoracic and mediastinal disorders      | Cough                       |                |                |                |
|                                                      | subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 2 (0.00%)  |
|                                                      | occurrences (all)           | 0              | 0              | 0              |
|                                                      | Dyspnoea exertional         |                |                |                |
|                                                      | subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 1 / 2 (50.00%) |
|                                                      | occurrences (all)           | 0              | 0              | 1              |

|                                                                                                                                 |                     |                     |                     |
|---------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|---------------------|
| Nasal congestion<br>subjects affected / exposed<br>occurrences (all)                                                            | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 1 / 2 (50.00%)<br>1 |
| Rhinitis allergic<br>subjects affected / exposed<br>occurrences (all)                                                           | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 1 / 2 (50.00%)<br>1 |
| Psychiatric disorders<br>Depression<br>subjects affected / exposed<br>occurrences (all)                                         | 1 / 3 (33.33%)<br>1 | 0 / 4 (0.00%)<br>0  | 0 / 2 (0.00%)<br>0  |
| Investigations<br>Blood albumin decreased<br>subjects affected / exposed<br>occurrences (all)                                   | 0 / 3 (0.00%)<br>0  | 1 / 4 (25.00%)<br>1 | 0 / 2 (0.00%)<br>0  |
| Blood bilirubin increased<br>subjects affected / exposed<br>occurrences (all)                                                   | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 0 / 2 (0.00%)<br>0  |
| Blood lactate dehydrogenase increased<br>subjects affected / exposed<br>occurrences (all)                                       | 0 / 3 (0.00%)<br>0  | 1 / 4 (25.00%)<br>1 | 0 / 2 (0.00%)<br>0  |
| Haemophilus test positive<br>subjects affected / exposed<br>occurrences (all)                                                   | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 1 / 2 (50.00%)<br>1 |
| Lipase increased<br>subjects affected / exposed<br>occurrences (all)                                                            | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 1 / 2 (50.00%)<br>1 |
| Injury, poisoning and procedural complications<br>Infusion related reaction<br>subjects affected / exposed<br>occurrences (all) | 0 / 3 (0.00%)<br>0  | 1 / 4 (25.00%)<br>1 | 0 / 2 (0.00%)<br>0  |
| Cardiac disorders<br>Atrial fibrillation<br>subjects affected / exposed<br>occurrences (all)                                    | 0 / 3 (0.00%)<br>0  | 0 / 4 (0.00%)<br>0  | 0 / 2 (0.00%)<br>0  |
| Tachycardia                                                                                                                     |                     |                     |                     |

|                                                  |                    |                     |                    |
|--------------------------------------------------|--------------------|---------------------|--------------------|
| subjects affected / exposed<br>occurrences (all) | 0 / 3 (0.00%)<br>0 | 1 / 4 (25.00%)<br>3 | 0 / 2 (0.00%)<br>0 |
| Nervous system disorders                         |                    |                     |                    |
| Dizziness                                        |                    |                     |                    |
| subjects affected / exposed                      | 2 / 3 (66.67%)     | 0 / 4 (0.00%)       | 0 / 2 (0.00%)      |
| occurrences (all)                                | 2                  | 0                   | 0                  |
| Dysgeusia                                        |                    |                     |                    |
| subjects affected / exposed                      | 1 / 3 (33.33%)     | 0 / 4 (0.00%)       | 0 / 2 (0.00%)      |
| occurrences (all)                                | 1                  | 0                   | 0                  |
| Headache                                         |                    |                     |                    |
| subjects affected / exposed                      | 1 / 3 (33.33%)     | 1 / 4 (25.00%)      | 0 / 2 (0.00%)      |
| occurrences (all)                                | 1                  | 1                   | 0                  |
| Memory impairment                                |                    |                     |                    |
| subjects affected / exposed                      | 0 / 3 (0.00%)      | 0 / 4 (0.00%)       | 1 / 2 (50.00%)     |
| occurrences (all)                                | 0                  | 0                   | 1                  |
| Taste disorder                                   |                    |                     |                    |
| subjects affected / exposed                      | 0 / 3 (0.00%)      | 0 / 4 (0.00%)       | 0 / 2 (0.00%)      |
| occurrences (all)                                | 0                  | 0                   | 0                  |
| Trigeminal neuralgia                             |                    |                     |                    |
| subjects affected / exposed                      | 0 / 3 (0.00%)      | 1 / 4 (25.00%)      | 0 / 2 (0.00%)      |
| occurrences (all)                                | 0                  | 1                   | 0                  |
| Blood and lymphatic system disorders             |                    |                     |                    |
| Anaemia                                          |                    |                     |                    |
| subjects affected / exposed                      | 1 / 3 (33.33%)     | 0 / 4 (0.00%)       | 1 / 2 (50.00%)     |
| occurrences (all)                                | 1                  | 0                   | 2                  |
| Leukopenia                                       |                    |                     |                    |
| subjects affected / exposed                      | 0 / 3 (0.00%)      | 1 / 4 (25.00%)      | 0 / 2 (0.00%)      |
| occurrences (all)                                | 0                  | 1                   | 0                  |
| Lymphopenia                                      |                    |                     |                    |
| subjects affected / exposed                      | 1 / 3 (33.33%)     | 0 / 4 (0.00%)       | 0 / 2 (0.00%)      |
| occurrences (all)                                | 2                  | 0                   | 0                  |
| Neutropenia                                      |                    |                     |                    |
| subjects affected / exposed                      | 1 / 3 (33.33%)     | 4 / 4 (100.00%)     | 1 / 2 (50.00%)     |
| occurrences (all)                                | 2                  | 4                   | 2                  |
| Thrombocytopenia                                 |                    |                     |                    |

|                             |                |                |                 |
|-----------------------------|----------------|----------------|-----------------|
| subjects affected / exposed | 1 / 3 (33.33%) | 2 / 4 (50.00%) | 2 / 2 (100.00%) |
| occurrences (all)           | 2              | 2              | 2               |
| Gastrointestinal disorders  |                |                |                 |
| Diarrhoea                   |                |                |                 |
| subjects affected / exposed | 2 / 3 (66.67%) | 0 / 4 (0.00%)  | 1 / 2 (50.00%)  |
| occurrences (all)           | 3              | 0              | 4               |
| Nausea                      |                |                |                 |
| subjects affected / exposed | 2 / 3 (66.67%) | 0 / 4 (0.00%)  | 2 / 2 (100.00%) |
| occurrences (all)           | 2              | 0              | 6               |
| Constipation                |                |                |                 |
| subjects affected / exposed | 0 / 3 (0.00%)  | 1 / 4 (25.00%) | 1 / 2 (50.00%)  |
| occurrences (all)           | 0              | 1              | 1               |
| Gastrointestinal pain       |                |                |                 |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 2 (0.00%)   |
| occurrences (all)           | 0              | 0              | 0               |
| Abdominal pain              |                |                |                 |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 1 / 2 (50.00%)  |
| occurrences (all)           | 0              | 0              | 1               |
| Anal inflammation           |                |                |                 |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 1 / 2 (50.00%)  |
| occurrences (all)           | 0              | 0              | 1               |
| Dry mouth                   |                |                |                 |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 2 (0.00%)   |
| occurrences (all)           | 0              | 0              | 0               |
| Dyspepsia                   |                |                |                 |
| subjects affected / exposed | 0 / 3 (0.00%)  | 1 / 4 (25.00%) | 1 / 2 (50.00%)  |
| occurrences (all)           | 0              | 1              | 1               |
| Flatulence                  |                |                |                 |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 2 (0.00%)   |
| occurrences (all)           | 0              | 0              | 0               |
| Stomatitis                  |                |                |                 |
| subjects affected / exposed | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 1 / 2 (50.00%)  |
| occurrences (all)           | 0              | 0              | 1               |
| Vomiting                    |                |                |                 |
| subjects affected / exposed | 1 / 3 (33.33%) | 0 / 4 (0.00%)  | 0 / 2 (0.00%)   |
| occurrences (all)           | 1              | 0              | 0               |

|                                                 |                |                |                |
|-------------------------------------------------|----------------|----------------|----------------|
| Skin and subcutaneous tissue disorders          |                |                |                |
| Eczema                                          |                |                |                |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 1 / 2 (50.00%) |
| occurrences (all)                               | 0              | 0              | 1              |
| Night sweats                                    |                |                |                |
| subjects affected / exposed                     | 1 / 3 (33.33%) | 0 / 4 (0.00%)  | 0 / 2 (0.00%)  |
| occurrences (all)                               | 1              | 0              | 0              |
| Skin ulcer                                      |                |                |                |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 1 / 4 (25.00%) | 0 / 2 (0.00%)  |
| occurrences (all)                               | 0              | 1              | 0              |
| Musculoskeletal and connective tissue disorders |                |                |                |
| Muscle spasms                                   |                |                |                |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 2 (0.00%)  |
| occurrences (all)                               | 0              | 0              | 0              |
| Musculoskeletal chest pain                      |                |                |                |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 2 (0.00%)  |
| occurrences (all)                               | 0              | 0              | 0              |
| Arthritis                                       |                |                |                |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 2 (0.00%)  |
| occurrences (all)                               | 0              | 0              | 0              |
| Groin pain                                      |                |                |                |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 2 (0.00%)  |
| occurrences (all)                               | 0              | 0              | 0              |
| Myalgia                                         |                |                |                |
| subjects affected / exposed                     | 1 / 3 (33.33%) | 0 / 4 (0.00%)  | 0 / 2 (0.00%)  |
| occurrences (all)                               | 1              | 0              | 0              |
| Pain in extremity                               |                |                |                |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 0 / 2 (0.00%)  |
| occurrences (all)                               | 0              | 0              | 0              |
| Infections and infestations                     |                |                |                |
| Clostridium difficile infection                 |                |                |                |
| subjects affected / exposed                     | 1 / 3 (33.33%) | 0 / 4 (0.00%)  | 0 / 2 (0.00%)  |
| occurrences (all)                               | 2              | 0              | 0              |
| Upper respiratory tract infection               |                |                |                |
| subjects affected / exposed                     | 0 / 3 (0.00%)  | 0 / 4 (0.00%)  | 1 / 2 (50.00%) |
| occurrences (all)                               | 0              | 0              | 1              |

|                                                       |                                                                     |                                                                 |                |
|-------------------------------------------------------|---------------------------------------------------------------------|-----------------------------------------------------------------|----------------|
| Herpes zoster                                         |                                                                     |                                                                 |                |
| subjects affected / exposed                           | 0 / 3 (0.00%)                                                       | 0 / 4 (0.00%)                                                   | 0 / 2 (0.00%)  |
| occurrences (all)                                     | 0                                                                   | 0                                                               | 0              |
| Skin infection                                        |                                                                     |                                                                 |                |
| subjects affected / exposed                           | 0 / 3 (0.00%)                                                       | 0 / 4 (0.00%)                                                   | 1 / 2 (50.00%) |
| occurrences (all)                                     | 0                                                                   | 0                                                               | 1              |
| Chronic sinusitis                                     |                                                                     |                                                                 |                |
| subjects affected / exposed                           | 0 / 3 (0.00%)                                                       | 0 / 4 (0.00%)                                                   | 1 / 2 (50.00%) |
| occurrences (all)                                     | 0                                                                   | 0                                                               | 1              |
| Nasopharyngitis                                       |                                                                     |                                                                 |                |
| subjects affected / exposed                           | 0 / 3 (0.00%)                                                       | 0 / 4 (0.00%)                                                   | 0 / 2 (0.00%)  |
| occurrences (all)                                     | 0                                                                   | 0                                                               | 0              |
| Sinusitis                                             |                                                                     |                                                                 |                |
| subjects affected / exposed                           | 0 / 3 (0.00%)                                                       | 0 / 4 (0.00%)                                                   | 0 / 2 (0.00%)  |
| occurrences (all)                                     | 0                                                                   | 0                                                               | 0              |
| Metabolism and nutrition disorders                    |                                                                     |                                                                 |                |
| Decreased appetite                                    |                                                                     |                                                                 |                |
| subjects affected / exposed                           | 0 / 3 (0.00%)                                                       | 1 / 4 (25.00%)                                                  | 0 / 2 (0.00%)  |
| occurrences (all)                                     | 0                                                                   | 1                                                               | 0              |
| Dehydration                                           |                                                                     |                                                                 |                |
| subjects affected / exposed                           | 1 / 3 (33.33%)                                                      | 0 / 4 (0.00%)                                                   | 0 / 2 (0.00%)  |
| occurrences (all)                                     | 1                                                                   | 0                                                               | 0              |
| Hypokalaemia                                          |                                                                     |                                                                 |                |
| subjects affected / exposed                           | 1 / 3 (33.33%)                                                      | 1 / 4 (25.00%)                                                  | 1 / 2 (50.00%) |
| occurrences (all)                                     | 1                                                                   | 1                                                               | 1              |
| Hypomagnesaemia                                       |                                                                     |                                                                 |                |
| subjects affected / exposed                           | 1 / 3 (33.33%)                                                      | 0 / 4 (0.00%)                                                   | 0 / 2 (0.00%)  |
| occurrences (all)                                     | 1                                                                   | 0                                                               | 0              |
| Hypophosphataemia                                     |                                                                     |                                                                 |                |
| subjects affected / exposed                           | 0 / 3 (0.00%)                                                       | 1 / 4 (25.00%)                                                  | 0 / 2 (0.00%)  |
| occurrences (all)                                     | 0                                                                   | 1                                                               | 0              |
| <b>Non-serious adverse events</b>                     | FL Non-Bridging:<br>Idasanutlin 150 mg<br>+ Obinutuzumab<br>1000 mg | FL Bridging:<br>Idasanutlin 150 mg<br>+ Obinutuzumab<br>1000 mg |                |
| Total subjects affected by non-serious adverse events |                                                                     |                                                                 |                |
| subjects affected / exposed                           | 4 / 4 (100.00%)                                                     | 5 / 5 (100.00%)                                                 |                |

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                     |                     |  |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|--|
| Vascular disorders<br>Deep vein thrombosis<br>subjects affected / exposed<br>occurrences (all)<br><br>Hot flush<br>subjects affected / exposed<br>occurrences (all)<br><br>Hypotension<br>subjects affected / exposed<br>occurrences (all)                                                                                                                                                                                                                                     | 0 / 4 (0.00%)<br>0  | 0 / 5 (0.00%)<br>0  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 0 / 4 (0.00%)<br>0  | 0 / 5 (0.00%)<br>0  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 0 / 4 (0.00%)<br>0  | 1 / 5 (20.00%)<br>1 |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                     |                     |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                     |                     |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                     |                     |  |
| General disorders and administration<br>site conditions<br>Chest pain<br>subjects affected / exposed<br>occurrences (all)<br><br>Fatigue<br>subjects affected / exposed<br>occurrences (all)<br><br>Oedema<br>subjects affected / exposed<br>occurrences (all)<br><br>Oedema peripheral<br>subjects affected / exposed<br>occurrences (all)<br><br>Pain<br>subjects affected / exposed<br>occurrences (all)<br><br>Pyrexia<br>subjects affected / exposed<br>occurrences (all) | 0 / 4 (0.00%)<br>0  | 0 / 5 (0.00%)<br>0  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 3 / 4 (75.00%)<br>8 | 1 / 5 (20.00%)<br>1 |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 0 / 4 (0.00%)<br>0  | 0 / 5 (0.00%)<br>0  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                     |                     |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 0 / 4 (0.00%)<br>0  | 0 / 5 (0.00%)<br>0  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                     |                     |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 0 / 4 (0.00%)<br>0  | 1 / 5 (20.00%)<br>1 |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                     |                     |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 0 / 4 (0.00%)<br>0  | 0 / 5 (0.00%)<br>0  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                     |                     |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                     |                     |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                     |                     |  |
| Respiratory, thoracic and mediastinal<br>disorders<br>Cough<br>subjects affected / exposed<br>occurrences (all)<br><br>Dyspnoea exertional<br>subjects affected / exposed<br>occurrences (all)                                                                                                                                                                                                                                                                                 | 0 / 4 (0.00%)<br>0  | 2 / 5 (40.00%)<br>2 |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                     |                     |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 0 / 4 (0.00%)<br>0  | 0 / 5 (0.00%)<br>0  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                     |                     |  |

|                                                                                                                                    |                     |                     |  |
|------------------------------------------------------------------------------------------------------------------------------------|---------------------|---------------------|--|
| Nasal congestion<br>subjects affected / exposed<br>occurrences (all)                                                               | 0 / 4 (0.00%)<br>0  | 1 / 5 (20.00%)<br>1 |  |
| Rhinitis allergic<br>subjects affected / exposed<br>occurrences (all)                                                              | 0 / 4 (0.00%)<br>0  | 0 / 5 (0.00%)<br>0  |  |
| Psychiatric disorders<br>Depression<br>subjects affected / exposed<br>occurrences (all)                                            | 0 / 4 (0.00%)<br>0  | 0 / 5 (0.00%)<br>0  |  |
| Investigations<br>Blood albumin decreased<br>subjects affected / exposed<br>occurrences (all)                                      | 0 / 4 (0.00%)<br>0  | 0 / 5 (0.00%)<br>0  |  |
| Blood bilirubin increased<br>subjects affected / exposed<br>occurrences (all)                                                      | 1 / 4 (25.00%)<br>1 | 0 / 5 (0.00%)<br>0  |  |
| Blood lactate dehydrogenase<br>increased<br>subjects affected / exposed<br>occurrences (all)                                       | 0 / 4 (0.00%)<br>0  | 0 / 5 (0.00%)<br>0  |  |
| Haemophilus test positive<br>subjects affected / exposed<br>occurrences (all)                                                      | 0 / 4 (0.00%)<br>0  | 0 / 5 (0.00%)<br>0  |  |
| Lipase increased<br>subjects affected / exposed<br>occurrences (all)                                                               | 0 / 4 (0.00%)<br>0  | 0 / 5 (0.00%)<br>0  |  |
| Injury, poisoning and procedural<br>complications<br>Infusion related reaction<br>subjects affected / exposed<br>occurrences (all) | 1 / 4 (25.00%)<br>1 | 1 / 5 (20.00%)<br>1 |  |
| Cardiac disorders<br>Atrial fibrillation<br>subjects affected / exposed<br>occurrences (all)                                       | 0 / 4 (0.00%)<br>0  | 1 / 5 (20.00%)<br>1 |  |
| Tachycardia                                                                                                                        |                     |                     |  |

|                                                  |                    |                    |  |
|--------------------------------------------------|--------------------|--------------------|--|
| subjects affected / exposed<br>occurrences (all) | 0 / 4 (0.00%)<br>0 | 0 / 5 (0.00%)<br>0 |  |
| Nervous system disorders                         |                    |                    |  |
| Dizziness                                        |                    |                    |  |
| subjects affected / exposed                      | 0 / 4 (0.00%)      | 1 / 5 (20.00%)     |  |
| occurrences (all)                                | 0                  | 1                  |  |
| Dysgeusia                                        |                    |                    |  |
| subjects affected / exposed                      | 0 / 4 (0.00%)      | 1 / 5 (20.00%)     |  |
| occurrences (all)                                | 0                  | 1                  |  |
| Headache                                         |                    |                    |  |
| subjects affected / exposed                      | 0 / 4 (0.00%)      | 1 / 5 (20.00%)     |  |
| occurrences (all)                                | 0                  | 1                  |  |
| Memory impairment                                |                    |                    |  |
| subjects affected / exposed                      | 0 / 4 (0.00%)      | 0 / 5 (0.00%)      |  |
| occurrences (all)                                | 0                  | 0                  |  |
| Taste disorder                                   |                    |                    |  |
| subjects affected / exposed                      | 1 / 4 (25.00%)     | 0 / 5 (0.00%)      |  |
| occurrences (all)                                | 1                  | 0                  |  |
| Trigeminal neuralgia                             |                    |                    |  |
| subjects affected / exposed                      | 0 / 4 (0.00%)      | 0 / 5 (0.00%)      |  |
| occurrences (all)                                | 0                  | 0                  |  |
| Blood and lymphatic system disorders             |                    |                    |  |
| Anaemia                                          |                    |                    |  |
| subjects affected / exposed                      | 3 / 4 (75.00%)     | 2 / 5 (40.00%)     |  |
| occurrences (all)                                | 3                  | 3                  |  |
| Leukopenia                                       |                    |                    |  |
| subjects affected / exposed                      | 1 / 4 (25.00%)     | 1 / 5 (20.00%)     |  |
| occurrences (all)                                | 2                  | 1                  |  |
| Lymphopenia                                      |                    |                    |  |
| subjects affected / exposed                      | 1 / 4 (25.00%)     | 1 / 5 (20.00%)     |  |
| occurrences (all)                                | 1                  | 1                  |  |
| Neutropenia                                      |                    |                    |  |
| subjects affected / exposed                      | 3 / 4 (75.00%)     | 3 / 5 (60.00%)     |  |
| occurrences (all)                                | 10                 | 4                  |  |
| Thrombocytopenia                                 |                    |                    |  |

|                                                  |                      |                     |  |
|--------------------------------------------------|----------------------|---------------------|--|
| subjects affected / exposed<br>occurrences (all) | 4 / 4 (100.00%)<br>7 | 4 / 5 (80.00%)<br>6 |  |
| Gastrointestinal disorders                       |                      |                     |  |
| Diarrhoea                                        |                      |                     |  |
| subjects affected / exposed                      | 3 / 4 (75.00%)       | 3 / 5 (60.00%)      |  |
| occurrences (all)                                | 8                    | 5                   |  |
| Nausea                                           |                      |                     |  |
| subjects affected / exposed                      | 3 / 4 (75.00%)       | 5 / 5 (100.00%)     |  |
| occurrences (all)                                | 8                    | 11                  |  |
| Constipation                                     |                      |                     |  |
| subjects affected / exposed                      | 0 / 4 (0.00%)        | 2 / 5 (40.00%)      |  |
| occurrences (all)                                | 0                    | 3                   |  |
| Gastrointestinal pain                            |                      |                     |  |
| subjects affected / exposed                      | 2 / 4 (50.00%)       | 0 / 5 (0.00%)       |  |
| occurrences (all)                                | 3                    | 0                   |  |
| Abdominal pain                                   |                      |                     |  |
| subjects affected / exposed                      | 0 / 4 (0.00%)        | 0 / 5 (0.00%)       |  |
| occurrences (all)                                | 0                    | 0                   |  |
| Anal inflammation                                |                      |                     |  |
| subjects affected / exposed                      | 0 / 4 (0.00%)        | 0 / 5 (0.00%)       |  |
| occurrences (all)                                | 0                    | 0                   |  |
| Dry mouth                                        |                      |                     |  |
| subjects affected / exposed                      | 0 / 4 (0.00%)        | 1 / 5 (20.00%)      |  |
| occurrences (all)                                | 0                    | 1                   |  |
| Dyspepsia                                        |                      |                     |  |
| subjects affected / exposed                      | 0 / 4 (0.00%)        | 0 / 5 (0.00%)       |  |
| occurrences (all)                                | 0                    | 0                   |  |
| Flatulence                                       |                      |                     |  |
| subjects affected / exposed                      | 0 / 4 (0.00%)        | 0 / 5 (0.00%)       |  |
| occurrences (all)                                | 0                    | 0                   |  |
| Stomatitis                                       |                      |                     |  |
| subjects affected / exposed                      | 0 / 4 (0.00%)        | 0 / 5 (0.00%)       |  |
| occurrences (all)                                | 0                    | 0                   |  |
| Vomiting                                         |                      |                     |  |
| subjects affected / exposed                      | 0 / 4 (0.00%)        | 3 / 5 (60.00%)      |  |
| occurrences (all)                                | 0                    | 4                   |  |

|                                                 |                                   |                |                |  |
|-------------------------------------------------|-----------------------------------|----------------|----------------|--|
| Skin and subcutaneous tissue disorders          | Eczema                            |                |                |  |
|                                                 | subjects affected / exposed       | 0 / 4 (0.00%)  | 0 / 5 (0.00%)  |  |
|                                                 | occurrences (all)                 | 0              | 0              |  |
|                                                 | Night sweats                      |                |                |  |
|                                                 | subjects affected / exposed       | 0 / 4 (0.00%)  | 0 / 5 (0.00%)  |  |
|                                                 | occurrences (all)                 | 0              | 0              |  |
|                                                 | Skin ulcer                        |                |                |  |
|                                                 | subjects affected / exposed       | 0 / 4 (0.00%)  | 0 / 5 (0.00%)  |  |
|                                                 | occurrences (all)                 | 0              | 0              |  |
| Musculoskeletal and connective tissue disorders | Muscle spasms                     |                |                |  |
|                                                 | subjects affected / exposed       | 0 / 4 (0.00%)  | 0 / 5 (0.00%)  |  |
|                                                 | occurrences (all)                 | 0              | 0              |  |
|                                                 | Musculoskeletal chest pain        |                |                |  |
|                                                 | subjects affected / exposed       | 0 / 4 (0.00%)  | 0 / 5 (0.00%)  |  |
|                                                 | occurrences (all)                 | 0              | 0              |  |
|                                                 | Arthritis                         |                |                |  |
|                                                 | subjects affected / exposed       | 0 / 4 (0.00%)  | 1 / 5 (20.00%) |  |
|                                                 | occurrences (all)                 | 0              | 1              |  |
|                                                 | Groin pain                        |                |                |  |
|                                                 | subjects affected / exposed       | 0 / 4 (0.00%)  | 0 / 5 (0.00%)  |  |
|                                                 | occurrences (all)                 | 0              | 0              |  |
| Infections and infestations                     | Myalgia                           |                |                |  |
|                                                 | subjects affected / exposed       | 0 / 4 (0.00%)  | 0 / 5 (0.00%)  |  |
|                                                 | occurrences (all)                 | 0              | 0              |  |
|                                                 | Pain in extremity                 |                |                |  |
|                                                 | subjects affected / exposed       | 0 / 4 (0.00%)  | 0 / 5 (0.00%)  |  |
|                                                 | occurrences (all)                 | 0              | 0              |  |
|                                                 | Clostridium difficile infection   |                |                |  |
|                                                 | subjects affected / exposed       | 0 / 4 (0.00%)  | 0 / 5 (0.00%)  |  |
|                                                 | occurrences (all)                 | 0              | 0              |  |
|                                                 | Upper respiratory tract infection |                |                |  |
|                                                 | subjects affected / exposed       | 1 / 4 (25.00%) | 0 / 5 (0.00%)  |  |
|                                                 | occurrences (all)                 | 1              | 0              |  |

|                                    |                |                |  |
|------------------------------------|----------------|----------------|--|
| Herpes zoster                      |                |                |  |
| subjects affected / exposed        | 1 / 4 (25.00%) | 0 / 5 (0.00%)  |  |
| occurrences (all)                  | 1              | 0              |  |
| Skin infection                     |                |                |  |
| subjects affected / exposed        | 0 / 4 (0.00%)  | 0 / 5 (0.00%)  |  |
| occurrences (all)                  | 0              | 0              |  |
| Chronic sinusitis                  |                |                |  |
| subjects affected / exposed        | 0 / 4 (0.00%)  | 0 / 5 (0.00%)  |  |
| occurrences (all)                  | 0              | 0              |  |
| Nasopharyngitis                    |                |                |  |
| subjects affected / exposed        | 2 / 4 (50.00%) | 0 / 5 (0.00%)  |  |
| occurrences (all)                  | 2              | 0              |  |
| Sinusitis                          |                |                |  |
| subjects affected / exposed        | 1 / 4 (25.00%) | 1 / 5 (20.00%) |  |
| occurrences (all)                  | 1              | 1              |  |
| Metabolism and nutrition disorders |                |                |  |
| Decreased appetite                 |                |                |  |
| subjects affected / exposed        | 1 / 4 (25.00%) | 1 / 5 (20.00%) |  |
| occurrences (all)                  | 1              | 1              |  |
| Dehydration                        |                |                |  |
| subjects affected / exposed        | 0 / 4 (0.00%)  | 1 / 5 (20.00%) |  |
| occurrences (all)                  | 0              | 1              |  |
| Hypokalaemia                       |                |                |  |
| subjects affected / exposed        | 0 / 4 (0.00%)  | 0 / 5 (0.00%)  |  |
| occurrences (all)                  | 0              | 0              |  |
| Hypomagnesaemia                    |                |                |  |
| subjects affected / exposed        | 0 / 4 (0.00%)  | 0 / 5 (0.00%)  |  |
| occurrences (all)                  | 0              | 0              |  |
| Hypophosphataemia                  |                |                |  |
| subjects affected / exposed        | 0 / 4 (0.00%)  | 0 / 5 (0.00%)  |  |
| occurrences (all)                  | 0              | 0              |  |

## More information

### Substantial protocol amendments (globally)

Were there any global substantial amendments to the protocol? Yes

| Date             | Amendment                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 14 October 2015  | Key changes in the protocol amendment (Version 2): -Revised inclusion criterion #4 and deleted inclusion criterion #5; -Revised definition of a dose-limiting toxicity (DLT); -Added the IND number; -Changed the Medical Monitor; -Corrected the start of obinutuzumab regimen at Month 2, instead of at Month 1, during the maintenance treatment for patients with follicular lymphoma (FL). Patient enrollment commenced under Protocol Version 2.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 12 February 2016 | Key changes in the protocol amendment (Version 3): -Clarified patients to receive maintenance treatment; -Updated the number of study sites to 25; -Updated inclusion criteria; -Added uncontrolled GI conditions as part of the uncontrolled concomitant disease exclusion criteria; -Corrected concomitant therapy reporting period; -Clarified the modified Lugano criteria for designation of PET-CT-based PR; -Added an exploratory efficacy endpoint to analyze efficacy based on TP53 status; -Clarified that diarrhea (Grade $\geq 2$ ), neutropenia (Grade $\geq 3$ ), and thrombocytopenia (Grade $\geq 3$ or Grade $\geq 2$ if associated with hemorrhage or bleeding) are considered AESI; -Clarified criteria for patient replacement during the dose escalation phase; -Updated the dose-limiting toxicity criteria that Grade 3 diarrhea that responds to adequate therapy within 48 hours (instead of 72 hours) is not considered as a DLT; -Removed need for collection of human anti-human antibody samples; -Clarified that obinutuzumab PK samples are to be collected at Cycle 6 Day 1; -Clarified that Roche Clinical Repository sample collection is needed at Cycle 1 Day 5; -Clarified that deaths attributed to progression of lymphoma during follow-up is to be reported on the Study Completion/Early Discontinuation eCRF instead of being reported as an adverse event; -Added monthly pregnancy testing; -Included additional ECG collection timepoints (coupled to PK sampling) and instructions to enhance safety monitoring; -Clarified idasanutlin dose reduction steps; -Clarified management of other non-hematologic Grade 3 or 4 toxicities in patients who have had 0-2 prior dose reductions; -Updated dose and guidelines for loperamide usage; -Clarified prohibited therapy and exclusion criteria for anticoagulant treatment; -Updated background on idasanutlin for clarity; -Updated prohibited therapy list with OATP-1B1/3 transporter substrates as part of the prohibited therapy; -Harmonized obinutuzumab language among the obinutuzumab program. |
| 02 March 2017    | Key changes in the protocol amendment (Version 4): -Changed study design to add bridging cohort(s) in the dose escalation phase. Patients with DLBCL to receive idasanutlin in combination with rituximab after the MTD of idasanutlin is identified in combination with obinutuzumab. Updated background, rationale, study objectives, study design, eligibility criteria, and statistical plan; -Updated obinutuzumab exposure data; -Updated idasanutlin data based on the idasanutlin Investigator's Brochure, Version 9 (November 2016, cutoff 13 September 2016); -Added idasanutlin as post-induction treatment in the expansion phase; exploratory efficacy endpoint added accordingly; -Clarified guidelines for the second and subsequent infusion of obinutuzumab; -Updated the classification of second malignancies; -Added Grade $\geq 2$ Clostridium difficile infection as AESI; -Added an alternative regimen with obinutuzumab given alone at Cycle 1, followed by obinutuzumab in combination with idasanutlin from Cycles 2 to 6 (dose escalation phase for FL patients); -Modified the DLT definition to include any Grade 5 toxicities; -Updated the DLT criterion regarding thrombocytopenia; -Introduced a new DLT criterion regarding changes in liver enzyme; -Treatment Regimen and Expansion Phase (Part 2) sections; -Clarified exclusion criteria regarding the use of strong and moderate CYP3A inhibitors, strong and moderate CYP3A inducers, and CYP2C8 and OATP1B1/3 substrates; -Updated guidance on prohibited and cautionary therapies and their respective washout periods; -Clarified the dose reduction guidance; -Updated guidance regarding liver function test criteria -Updated guidance on the allowed time window for PK sample collection; -Defined interim analysis; -Clarified rescreening of patients.                                                                                                                                                                                                                                                 |

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Notes:

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## **Interruptions (globally)**

Were there any global interruptions to the trial? No

## **Limitations and caveats**

Limitations of the trial such as small numbers of subjects analysed or technical problems leading to unreliable data.

|                                                                                                                                                                                                                                                        |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Due to the overall modest benefit achieved with the maximum tolerated dose during the dose escalation phase, the Sponsor decided not to open the expansion phase and terminated the study. Consequently, some planned analyses could not be performed. |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

Notes: